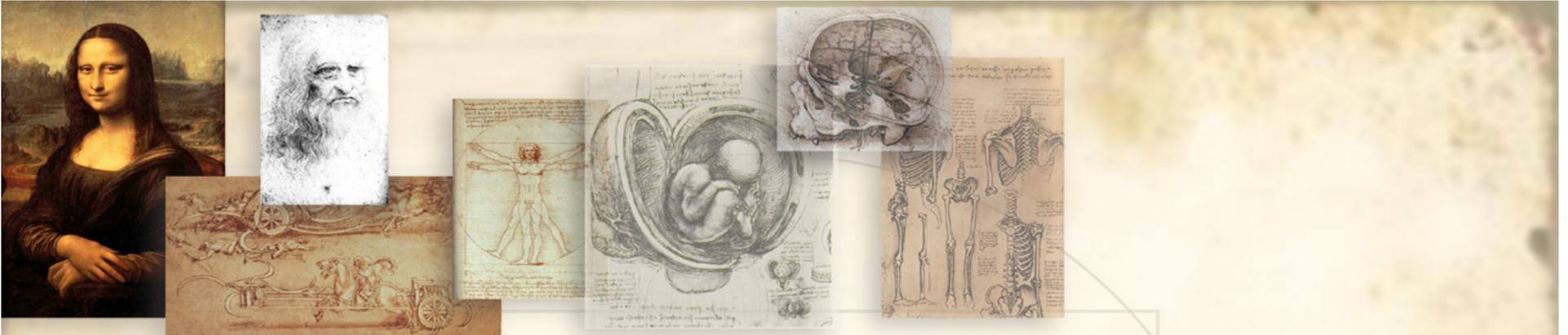




# **Total Enterprise Assurance: A Practical Guide**

By: Benjamin Tomhave, MS, CISSP  
CIScon 2009 Post-Session



## **A Few Requests**

- Phones: silent/vibrate + calls outside
- Breaks (yes, please!)
- Please ask lots of questions!!



# Instructor Background

- ~15 years security experience
- A mile wide, a mile deep
- MS InfoSec Mgmt (GWU in DC)
- Risk, Architecture, Compliance, Solutions, Policies, Etc.

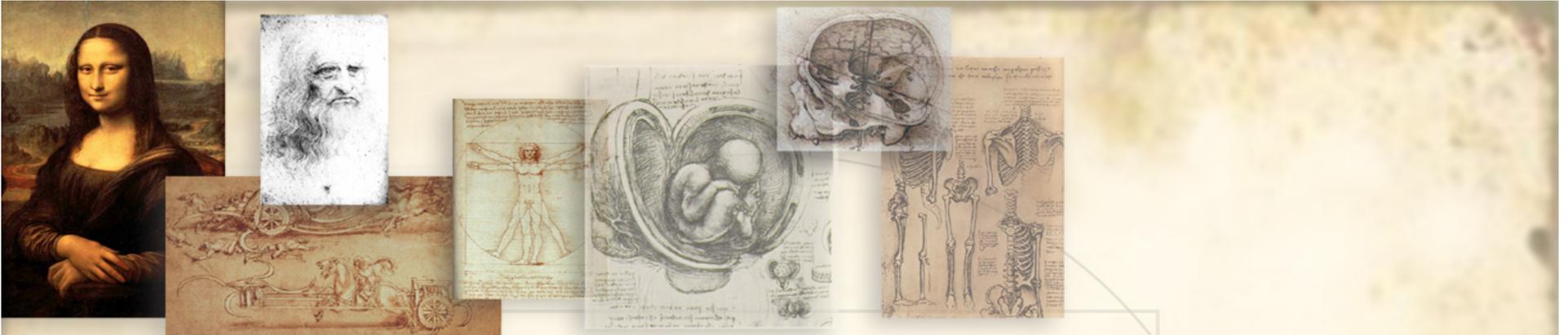


**ARE YOU READY?**



# Introduction

- Course Objectives
- Agenda
- Key Definitions



# Course Objectives

- Baseline Key Concepts
- Challenge Conventional Thinking
- Provide an Actionable Roadmap
- Motivate You to Adapt to Succeed



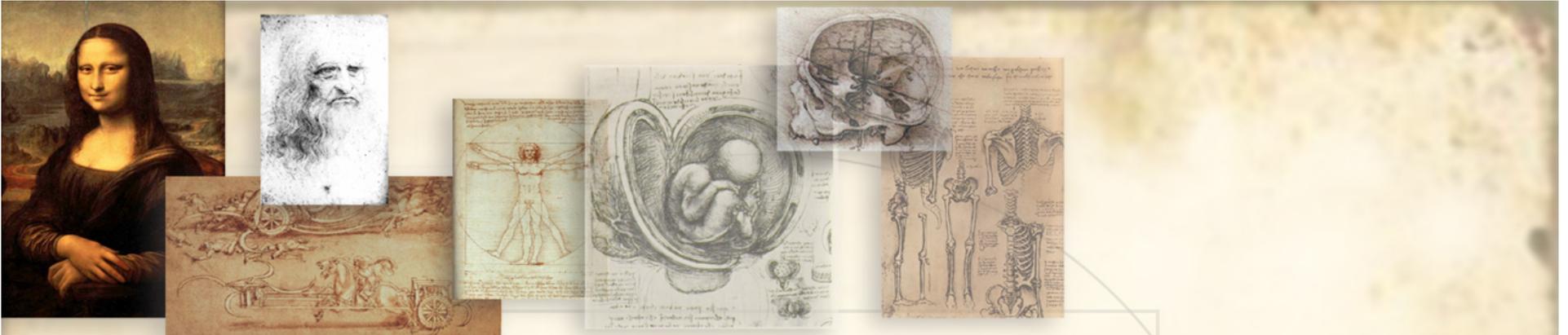
# Agenda

## Morning

- Introduction
- Survivability
- Risk Mgmt. Fail
- TEAM for Success
- Policy Framework

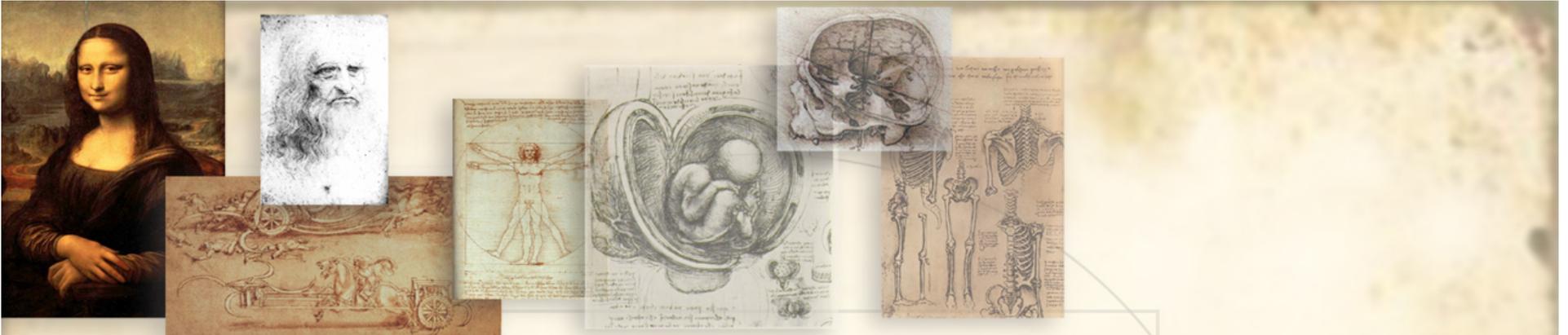
## Afternoon

- Info. Risk Mgmt.
- Info. Sec. Mgmt.
- Q&P Mgmt.
- Putting it Together
- Advanced Topics



## Key Definitions

- Why “Assurance Management”?
- Risk = loss or probability of loss
- Threats, Vulnerabilities, Countermeasures, Controls, Safeguards

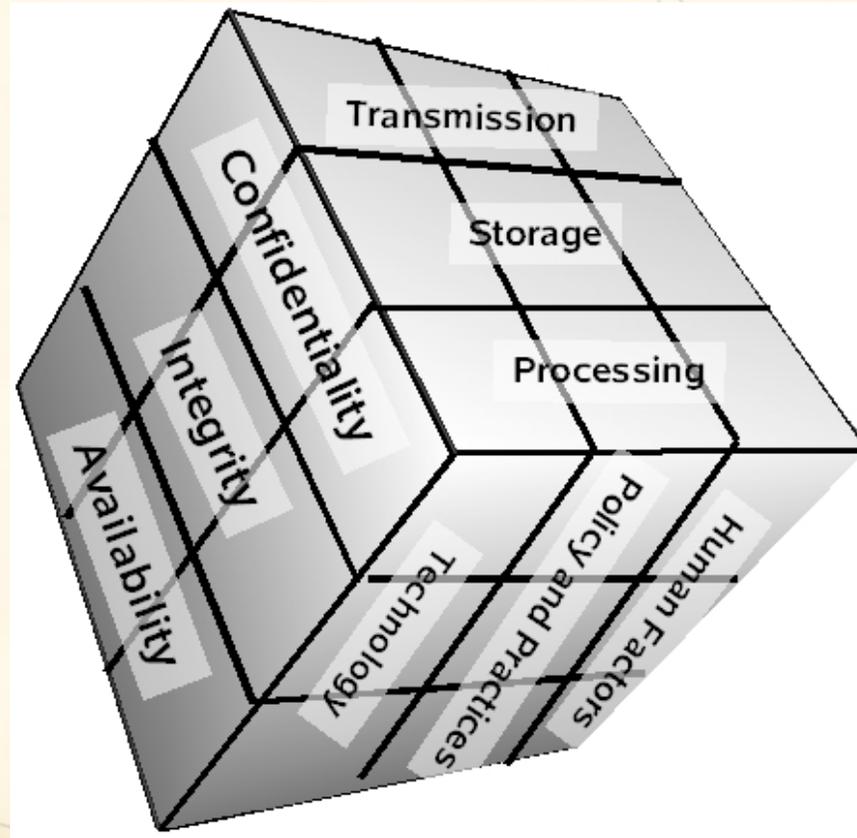


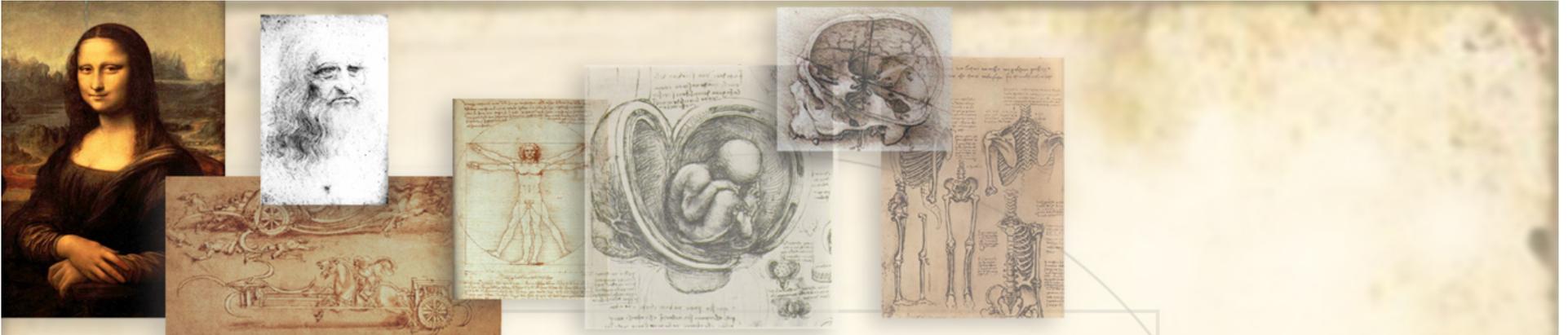
## Key Definitions

- Confidentiality, Integrity, Availability
- People (Human Factors), Processes (Policies and Practices), Technology
- Information States (S, T, P)



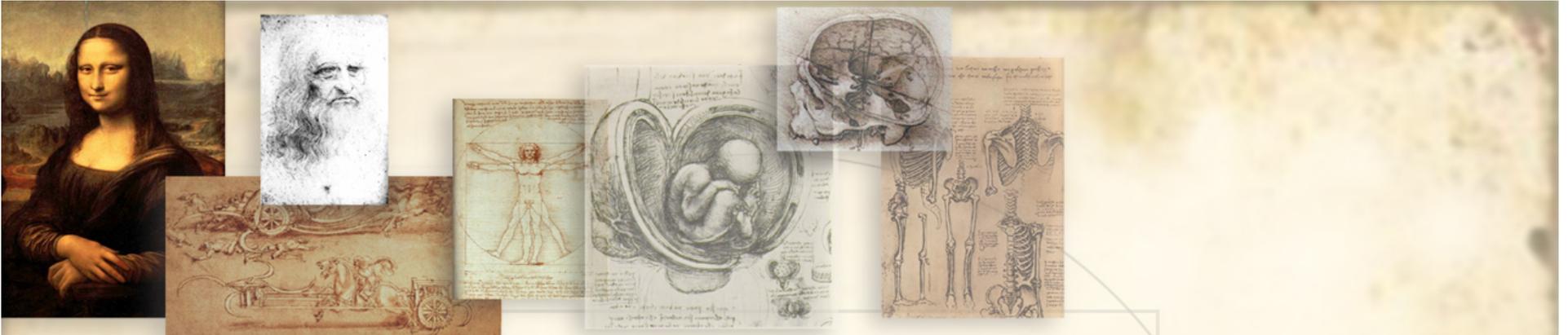
# The McCumber Cube





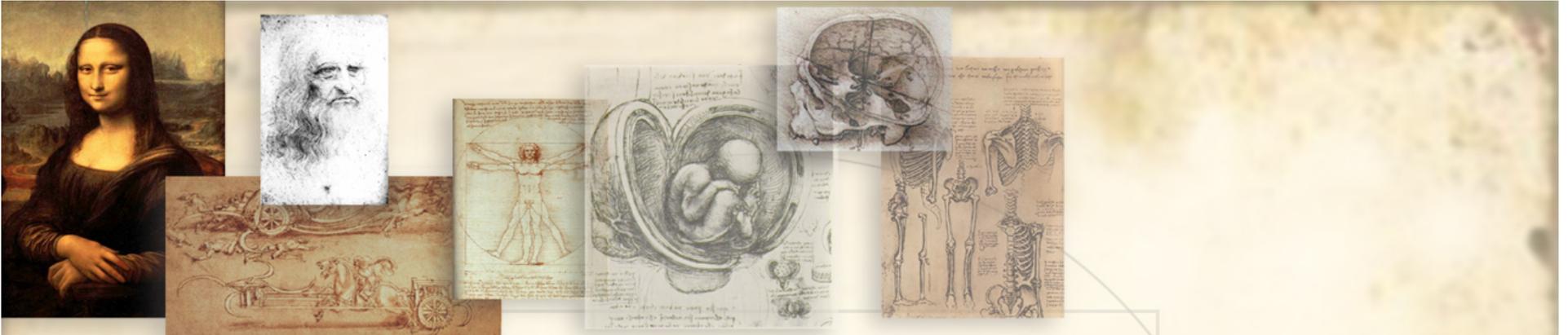
# Survivability

- What is it?
- What does it mean in practical terms?
- How does it apply to assurance mgmt?
- Defensibility & Recoverability



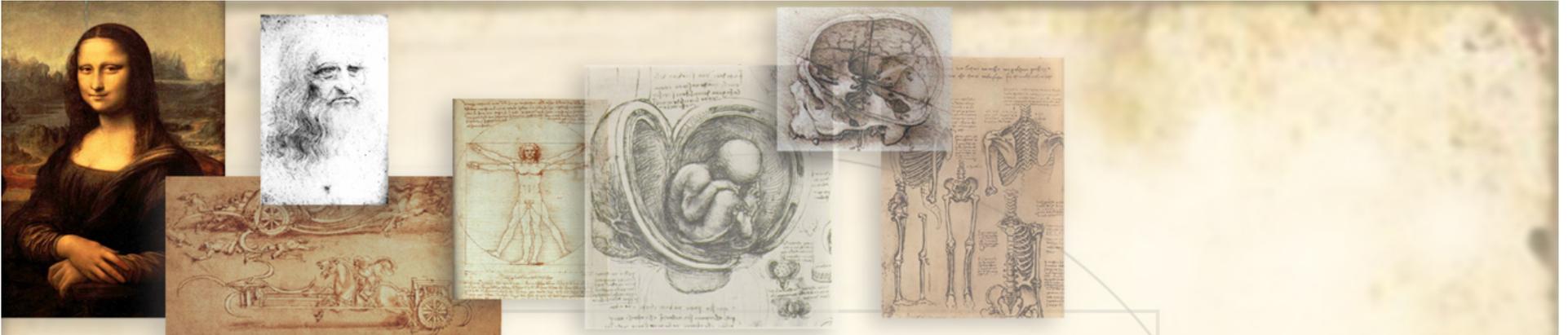
# What Is Survivability?

- Fault tolerance
- Performing Despite Degradation
- Not Just Availability or Reliability
- Defensibility & Recoverability



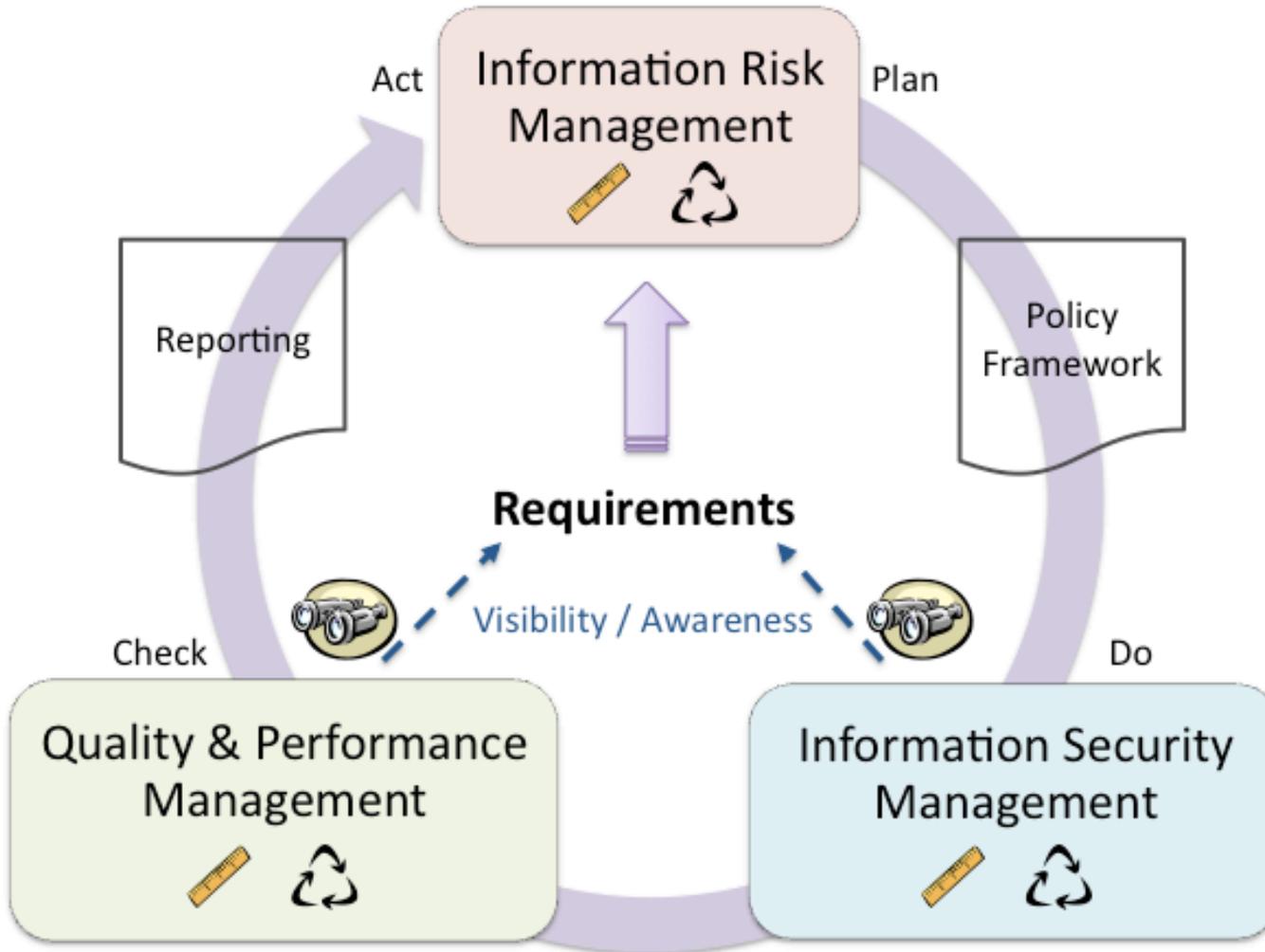
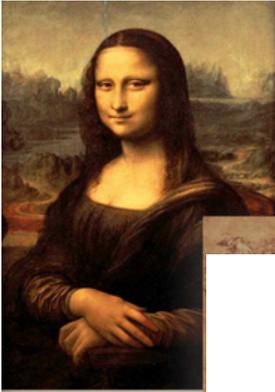
## Meaning What, Practically?

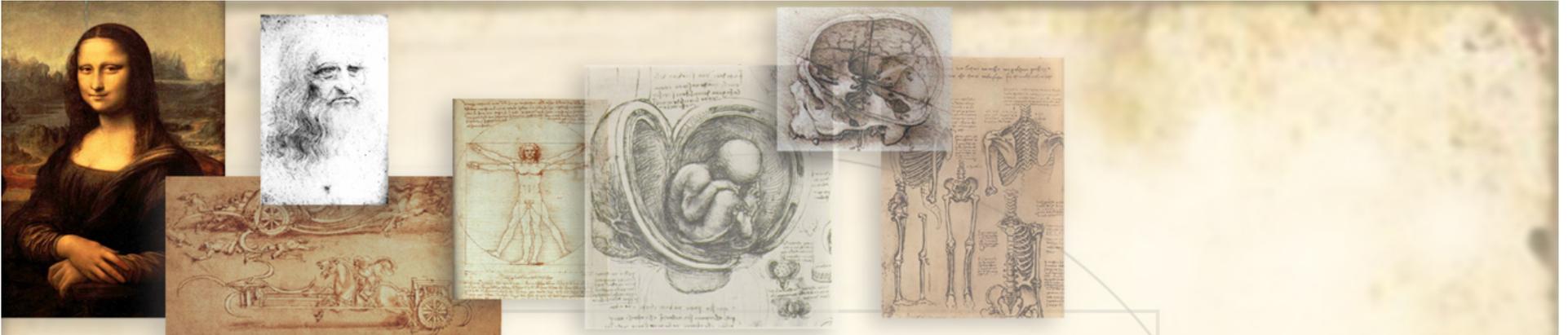
- Not *if*, but *when* bad things happen...
- We cannot win the current war
- Changing the rules
- Ties into compliance and law



## **Survivability + Assurance = ?**

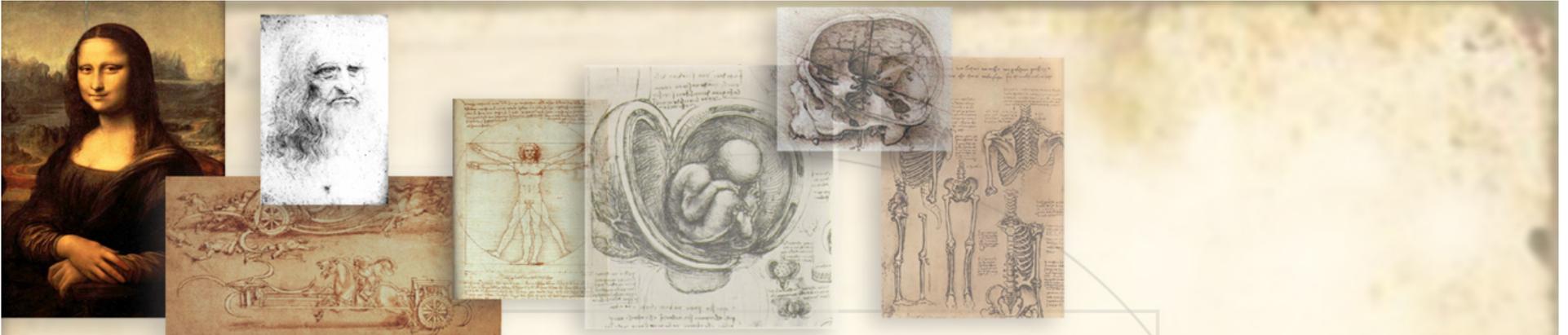
- Wait, hold up – assurance mgmt wha?
- We'll talk about TEAM in a bit, but...





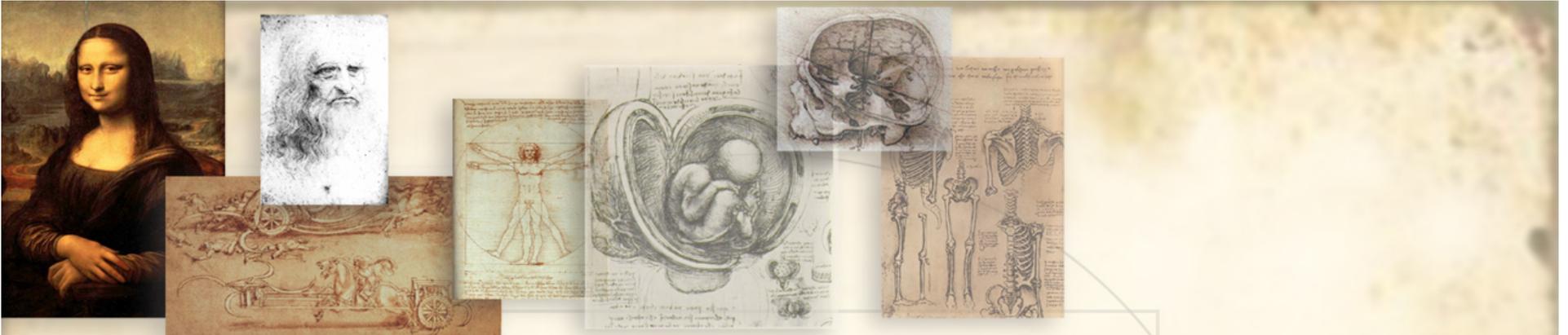
## **Survivability + Assurance = ?**

- Ok, so... how do they work together?
- It's about building-in fault tolerance...
- It's about a defensible position...
- It's about recoverability...



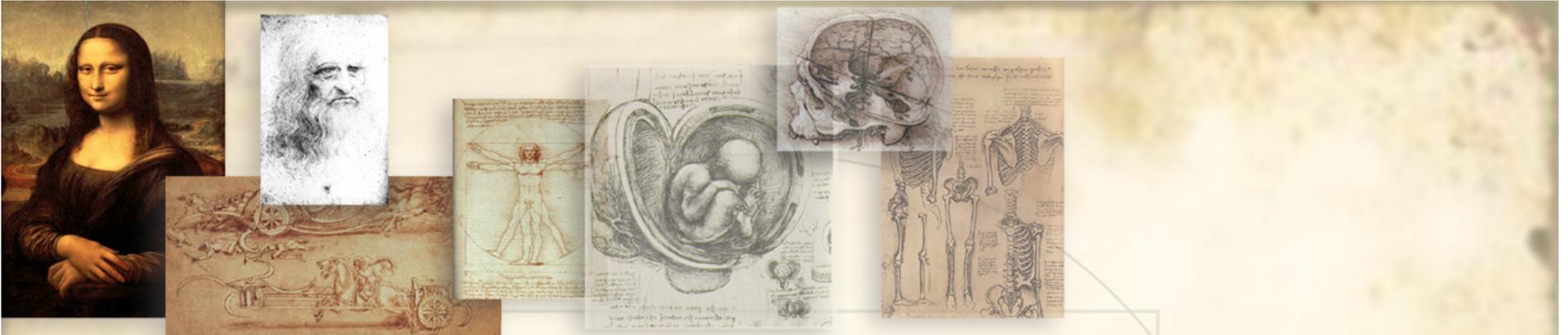
# Defensibility

- The Defense-in-Depth myth...
  - Pete Herzog's Möbius Defense
- Legal Angle
  - Due diligence & reasonable care
- Beyond “best practices”



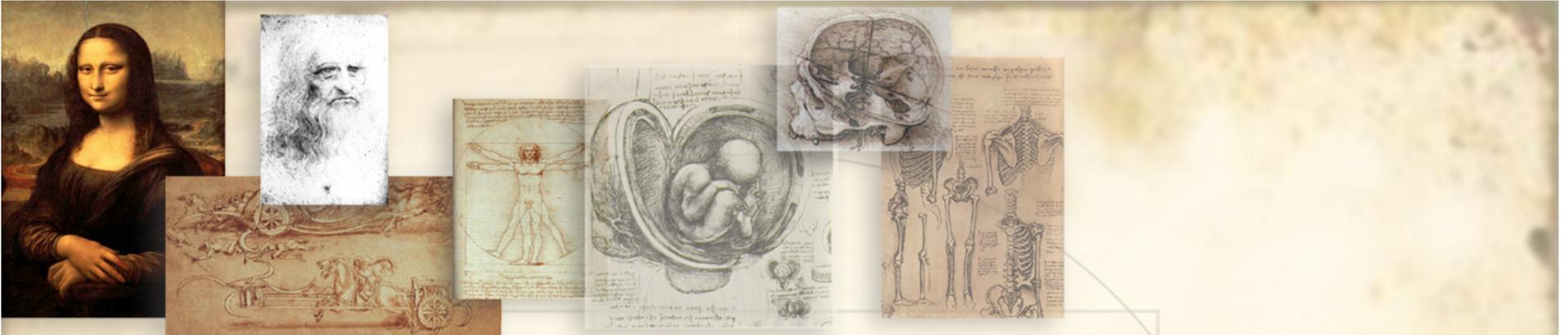
Source: <http://www.dilbert.com/strips/comic/2008-09-03/>

**BEST PRACTICES  
ARE MEDIOCRITY!**



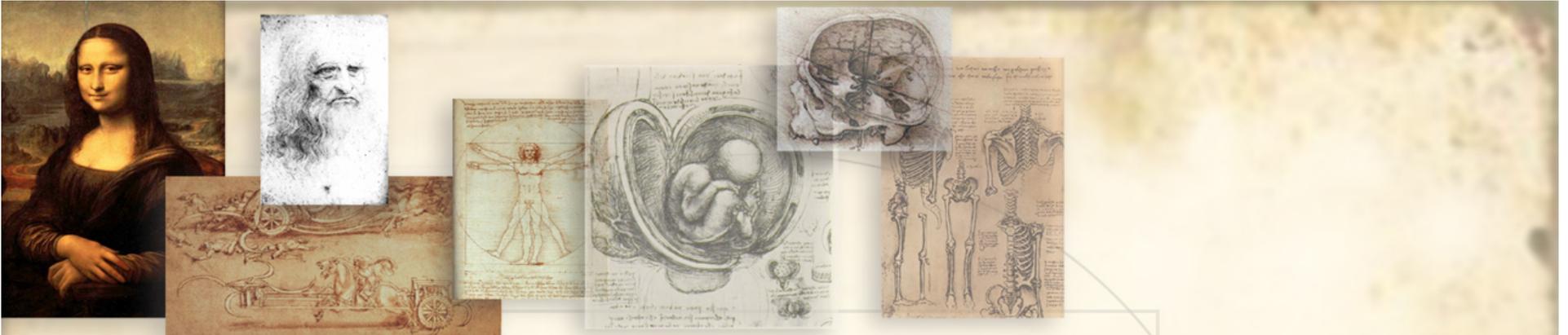
# Defensibility

- Plan for failure...
- Accept operating with degradation...
- Ties into risk tolerance – elasticity!



# Recoverability

- What good is elasticity without recovery?
- Who ya gonna call?
- Incident Response = Biz Continuity!



# Recoverability

- Compartmentalization?
- Data encryption & key management?
- Remote wipe for mobile devices?
- Logging & monitoring



# Defensibility & Recoverability

“The objective is clear:  
Identify what’s important and then  
model threats against those things to  
build contingency plans.”

“Modeling is not 100%!”





**SHORT BREAK!**

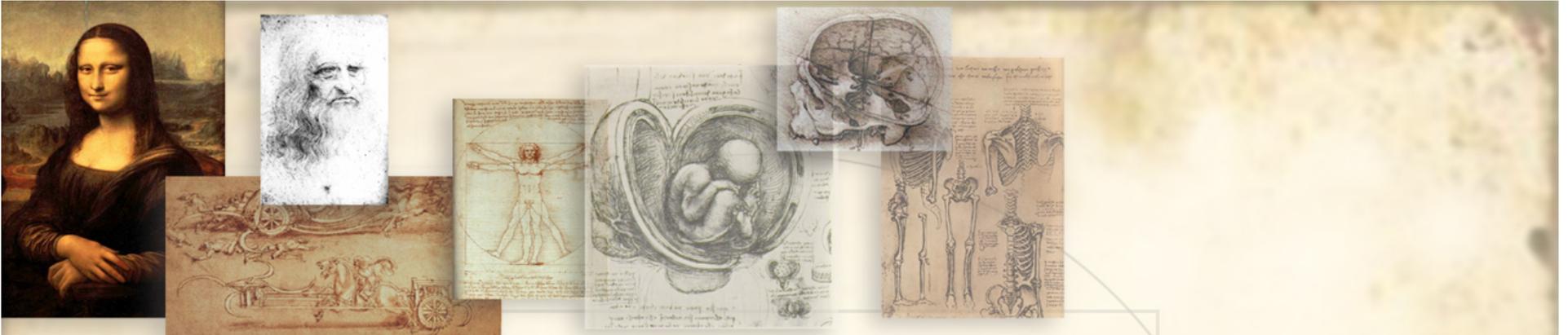


# Risk Management Fail

- Need for visibility, transparency, & honesty
- Focus on survivability

“You’re doing it wrong!”





## What about...?

- Do you have visibility?
- What about transparency?
- How about honesty?
  - Can you trust what you're told?
  - How do you know?

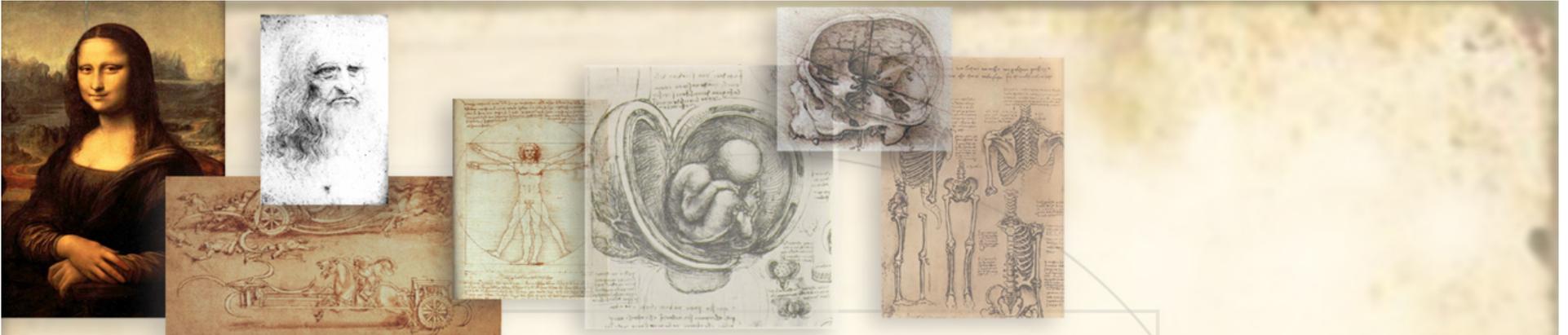


## Surviving Risk

- As we already discussed... elasticity!
- But, here's the thing...
  - Is your risk data good?
  - Beware GIGO!

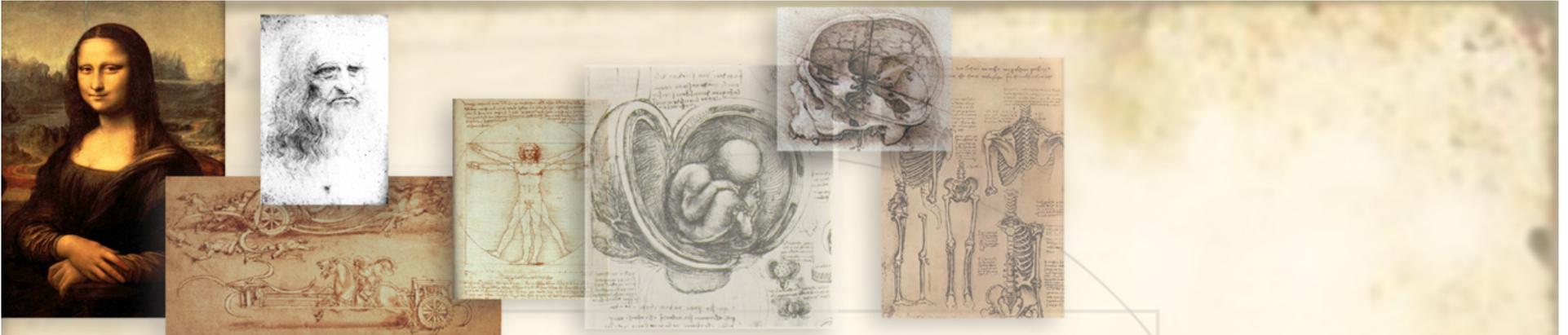


“Uh oh!”



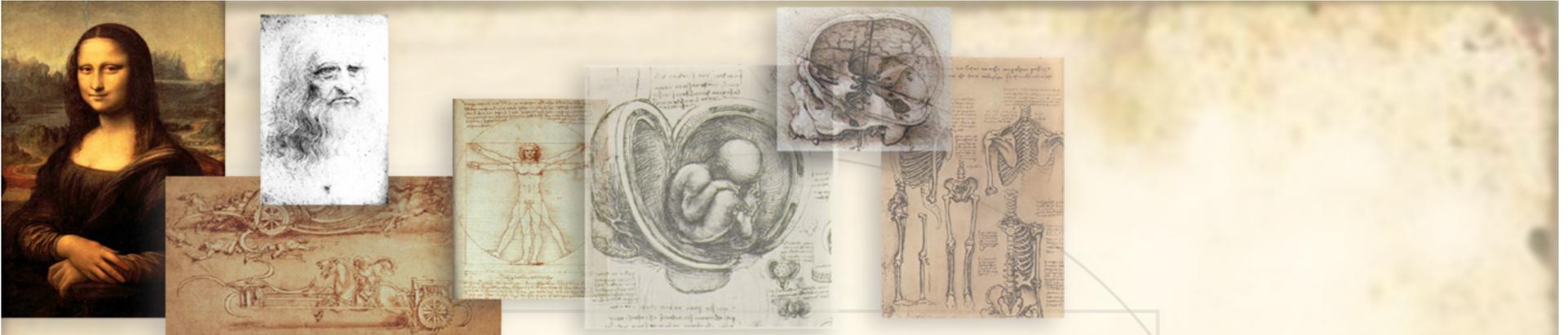
## You're Doing it Wrong

- You assessed, you remediated, what?
- Did you model?
  - Huh?
- Did you analyze?
  - Metrics? What are those?



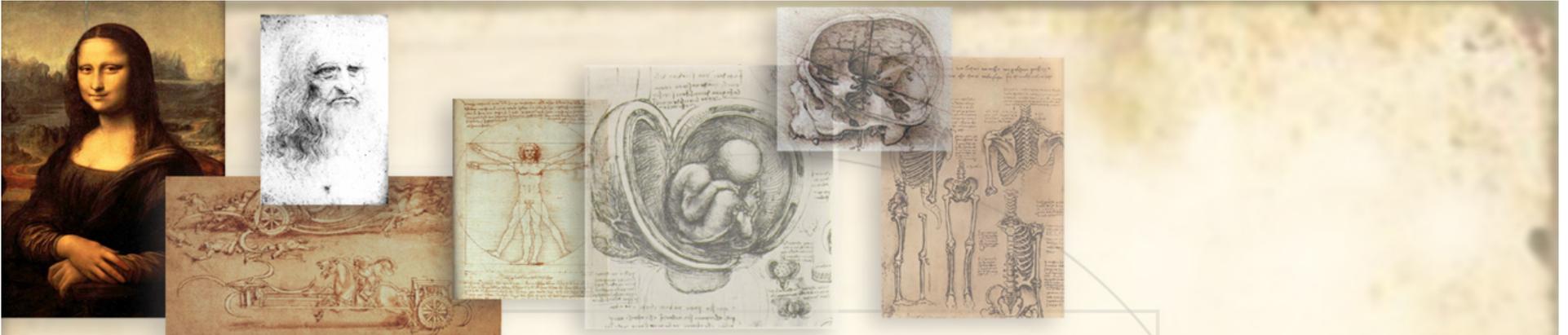
## We'll Come Back to Risk...

- For now, chew on this...
  - You're probably being lied to today.
    - ((No, not by me.))
  - You're probably not truly "managing" risk.



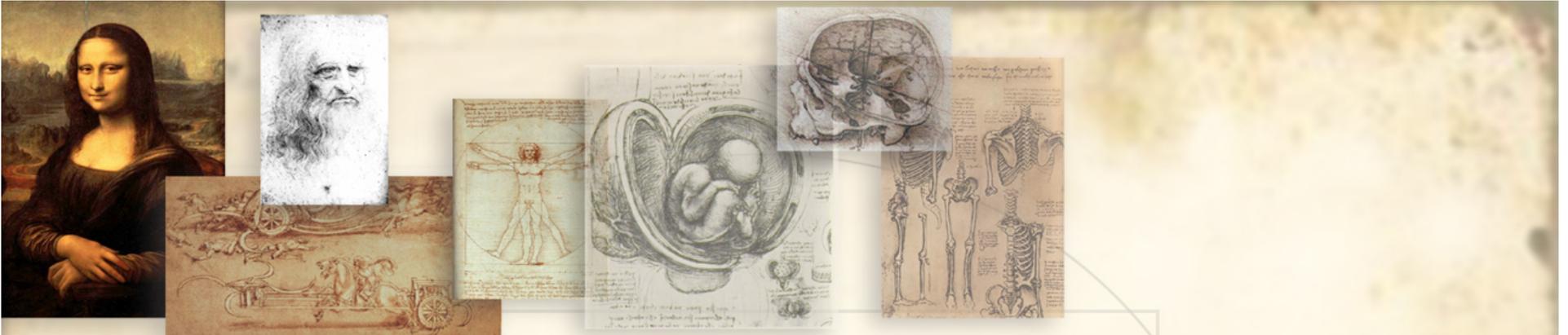
## So, Now What?

- Survivability is cool, but...
- I thought I was doing RM, but...
- Surely there must be a another way...
  - ((Please don't call me Shirley.))
    - ((Shirley is my mother-in-law... TMI!))



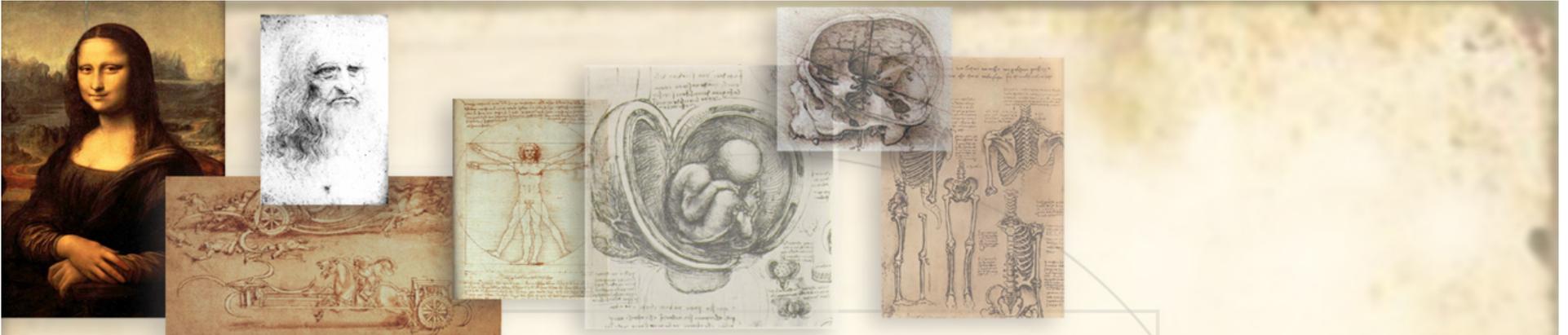
## **TEAM for Success!**

- Total Enterprise Assurance Management Model v2
- Using Business Requirements to drive Assurance Management



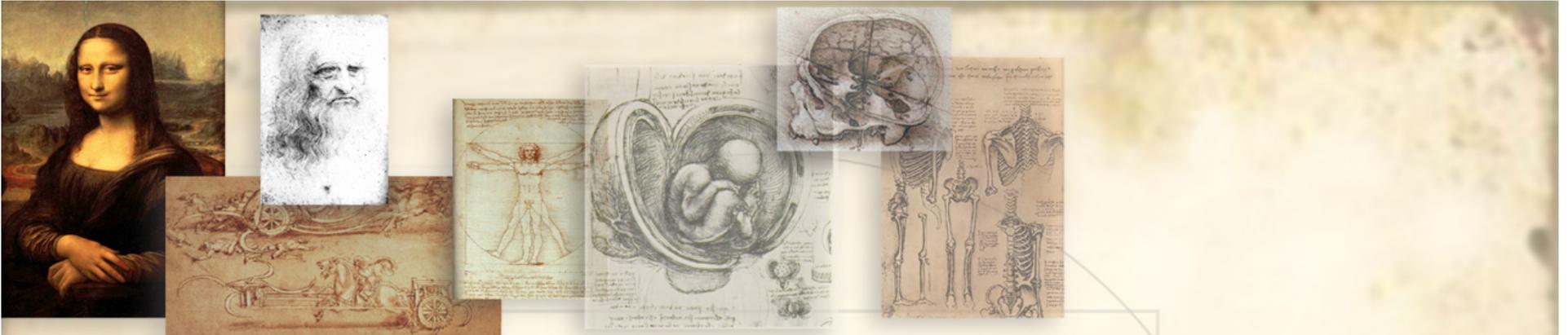
# A Brief History of TEAM

- Published in 2006
- Masters' Thesis at GWU
- v2 Released in 2009
- Why should you care / adopt?



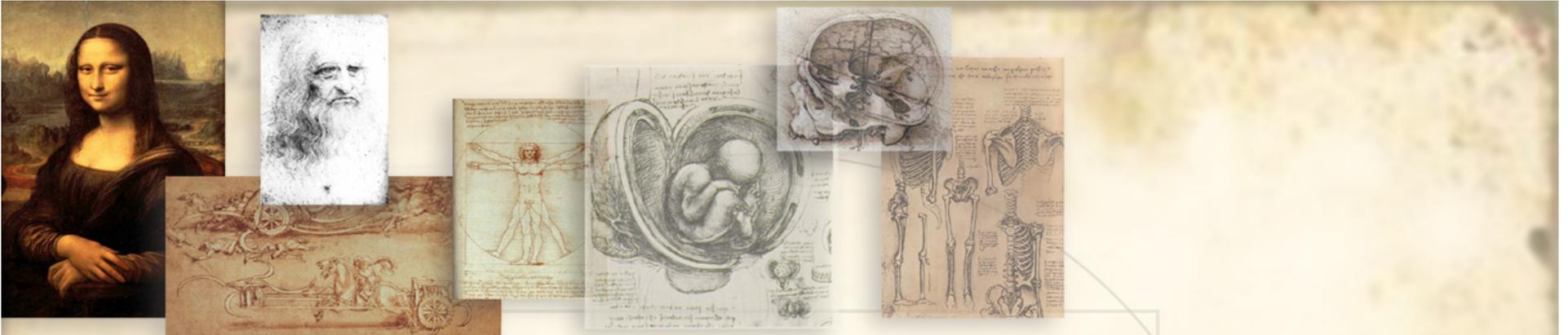
## Quick Selling Points

- Mostly just common sense...
- De-conflicts silos
  - A seat for everyone at the table
- Allows embedding best-fit methods



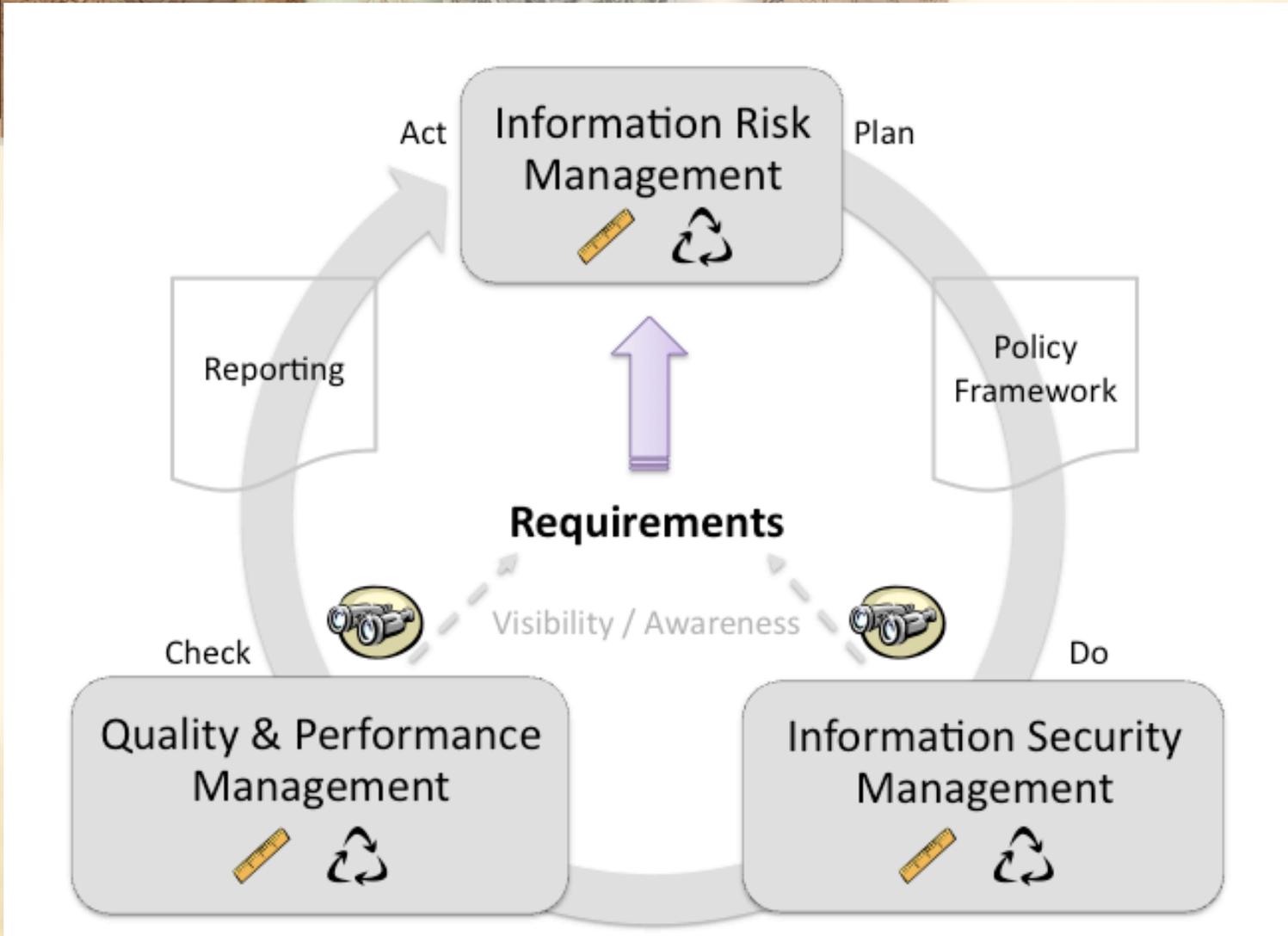
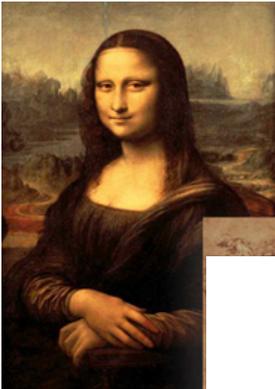
## **TEAM Model v2**

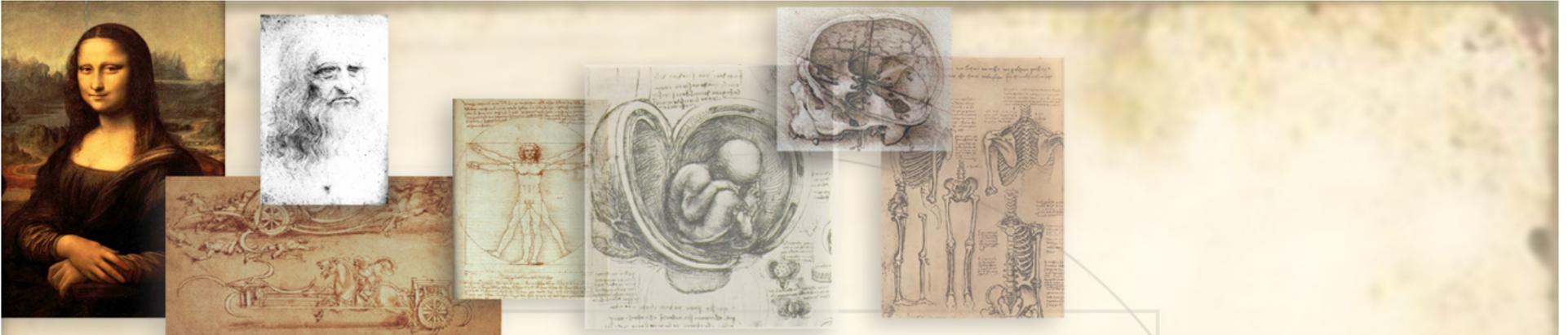
- Information Risk Management
- Security Policies
- Information Security Management
- Quality & Performance Management
- Thin Grey Line of Auditor Independence



## So, Let's Talk About...

- Business Requirements...
- Then, TEAM Model from 50k feet...
- Then, A Short Break...
- Then, the Policy Framework...
- Then, Lunch...





# Business Requirements

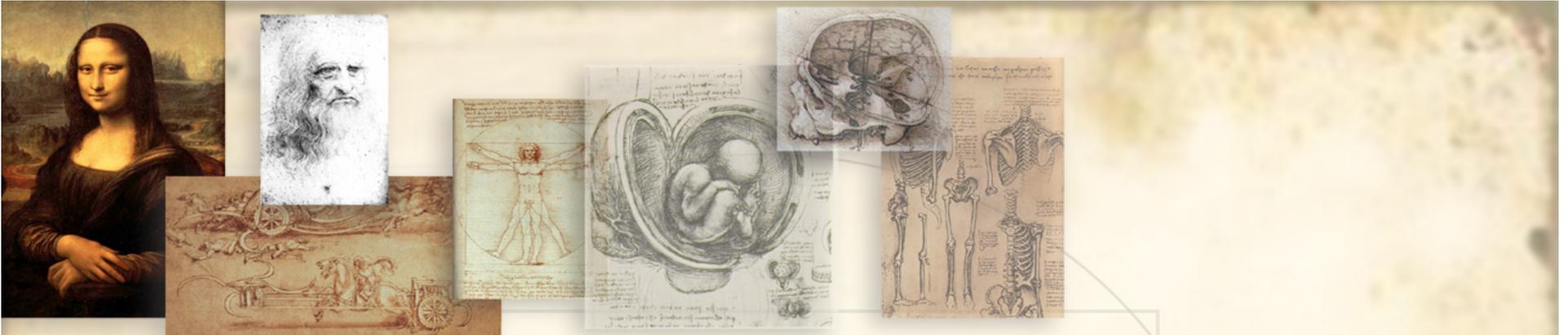
- What's important to the business?
  - How do you know?
- What metrics do you use for success?
  - Have you defined “success”?
  - Is it achievable?



# Collaboration = Leadership

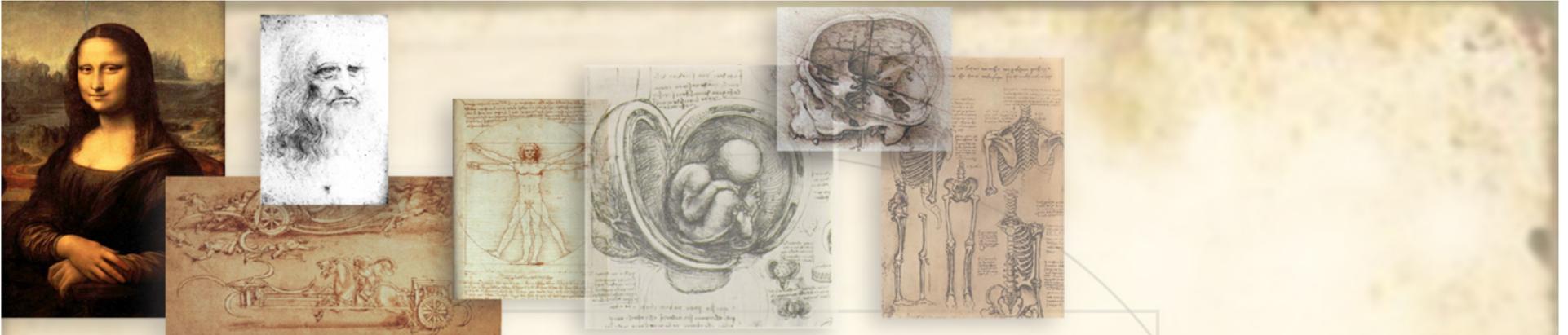
- Which is your organization?





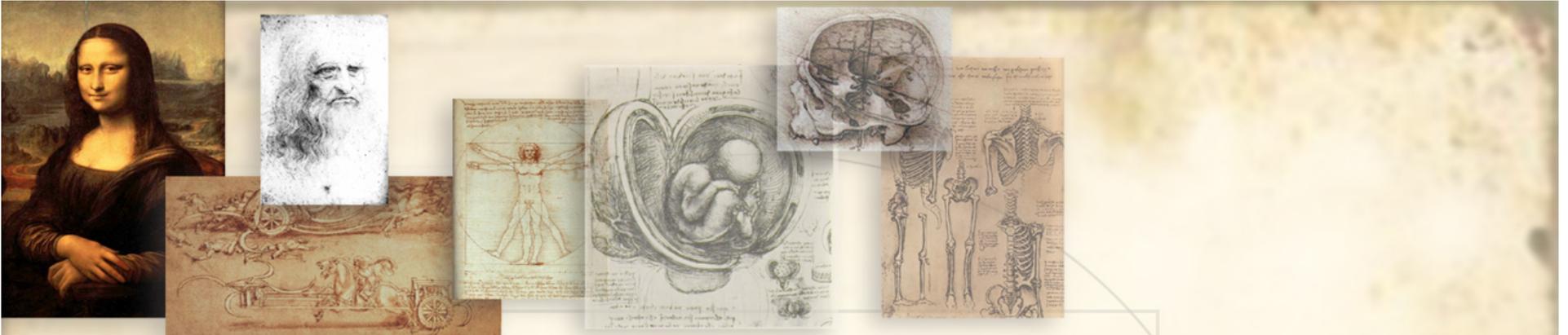
## Who Knows What?

- Does your business have well-defined objectives or mission?
- Is everybody on-board?
- Requirements *should* drive much...



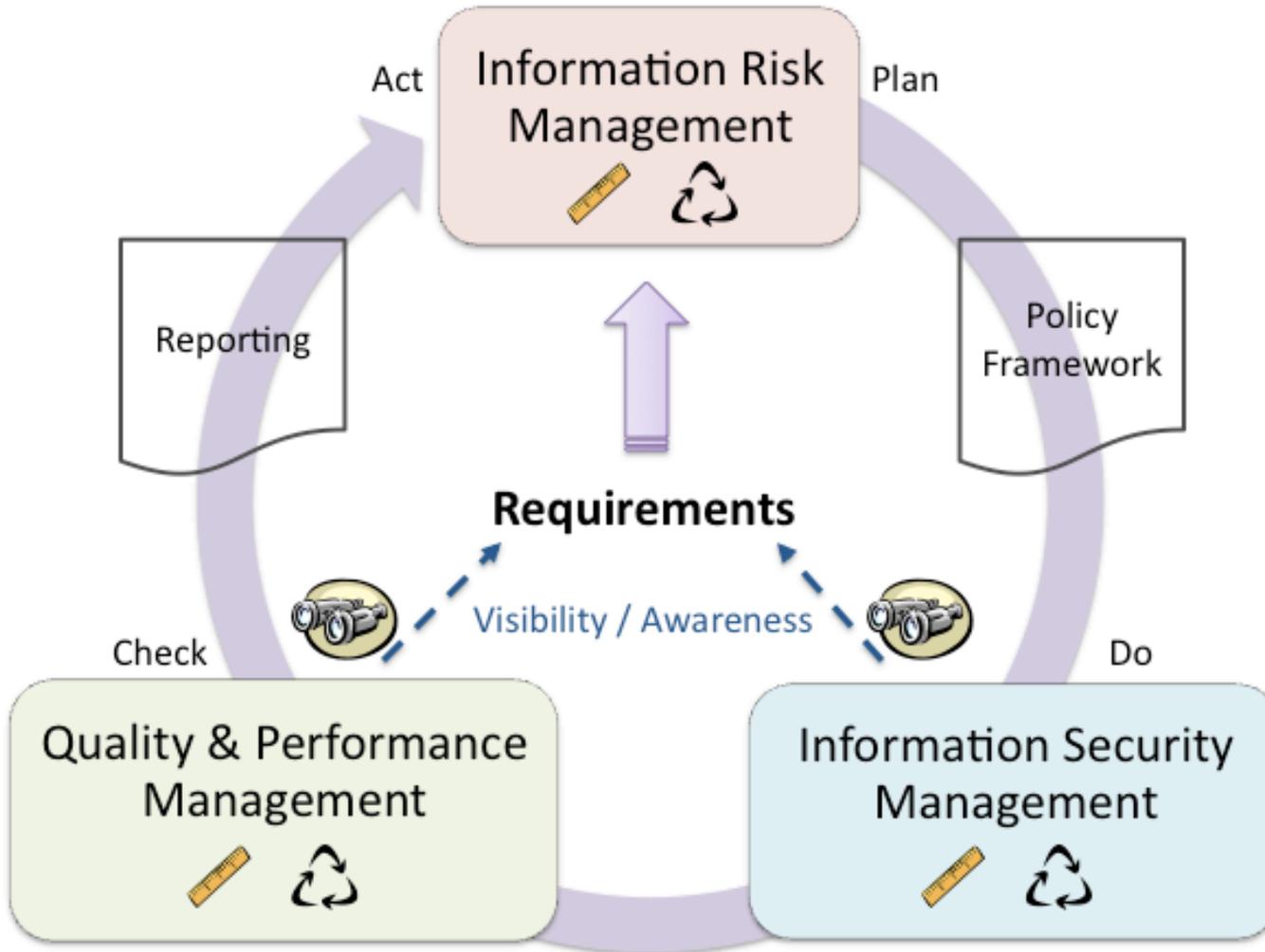
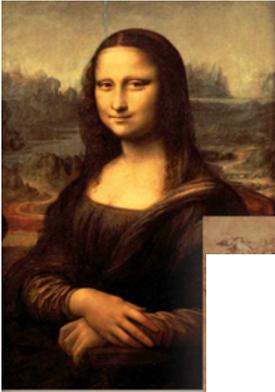
## Back to the TEAM Model...

- What was it again?
  - Total Enterprise Assurance Management
  - Masters' Thesis circa 2006
  - Revised Summer 2009



## **TEAM Model v2**

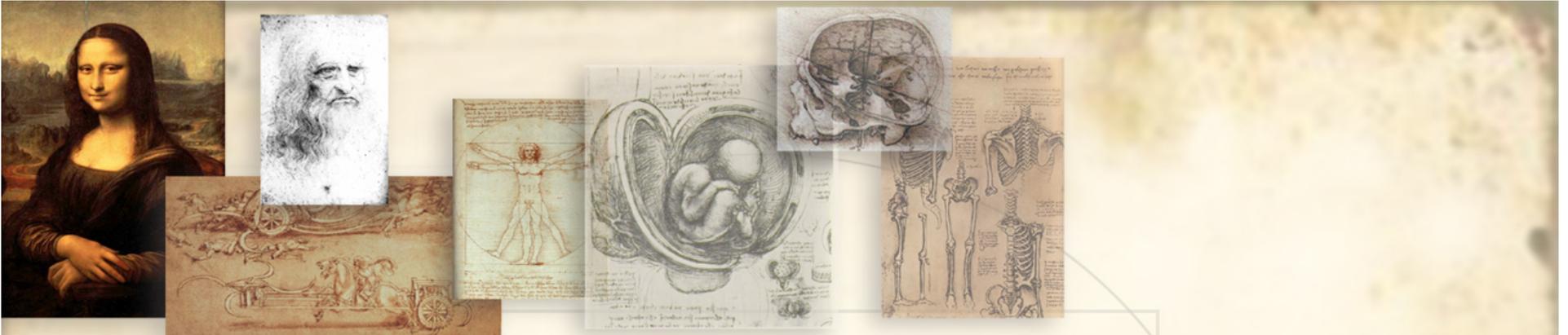
- Information Risk Management
- Security Policies
- Information Security Management
- Quality & Performance Management
- Thin Grey Line of Auditor Independence





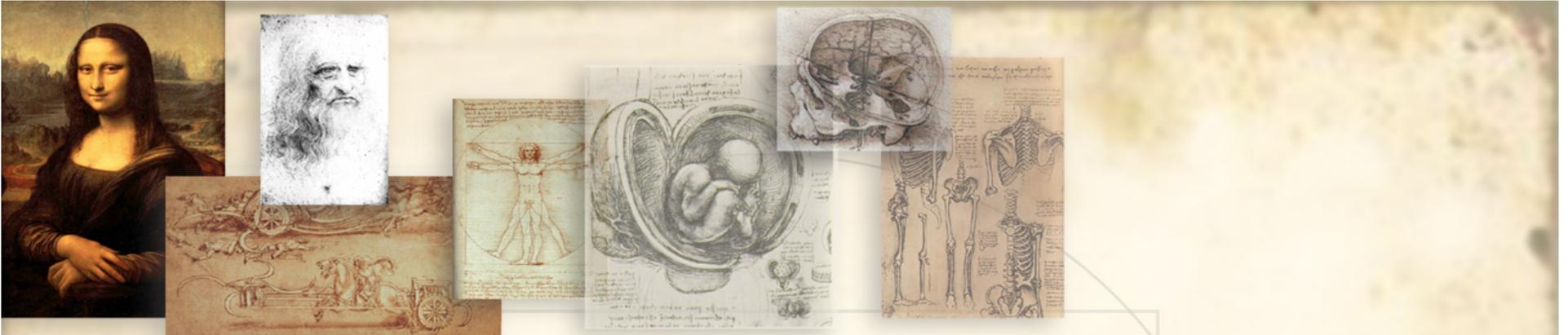
# Plan-Do-Check-Act

- AKA “The Deming Cycle”
  - Google “W. Edwards Deming”
- <http://en.wikipedia.org/wiki/PDCA>
- Basis of many ISO Standards



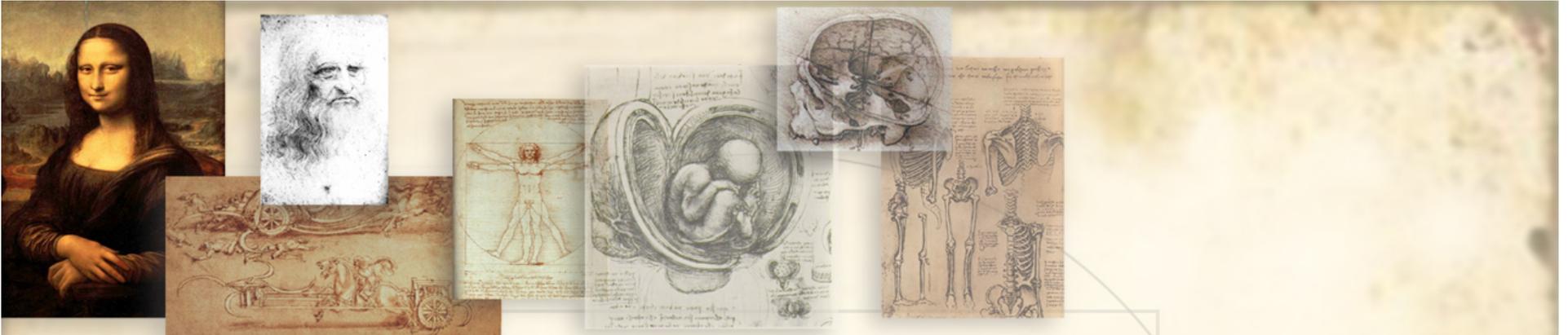
## Plan

- Establish objectives and processes
- Targeted toward expected results
- Key: Completeness & Accuracy
- Spend time on thorough designs



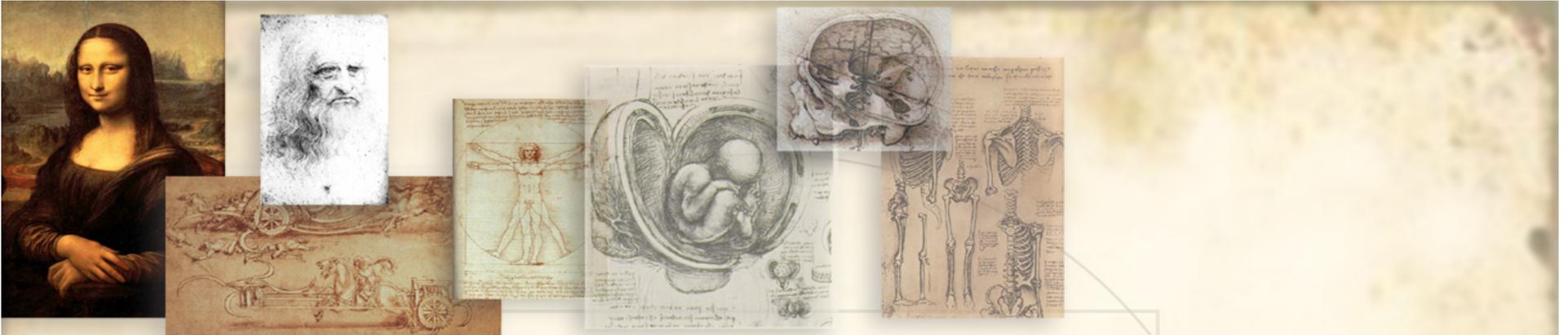
## Do

- Implement the process(es)
- Limit scale (if possible)
- It's just that simple...



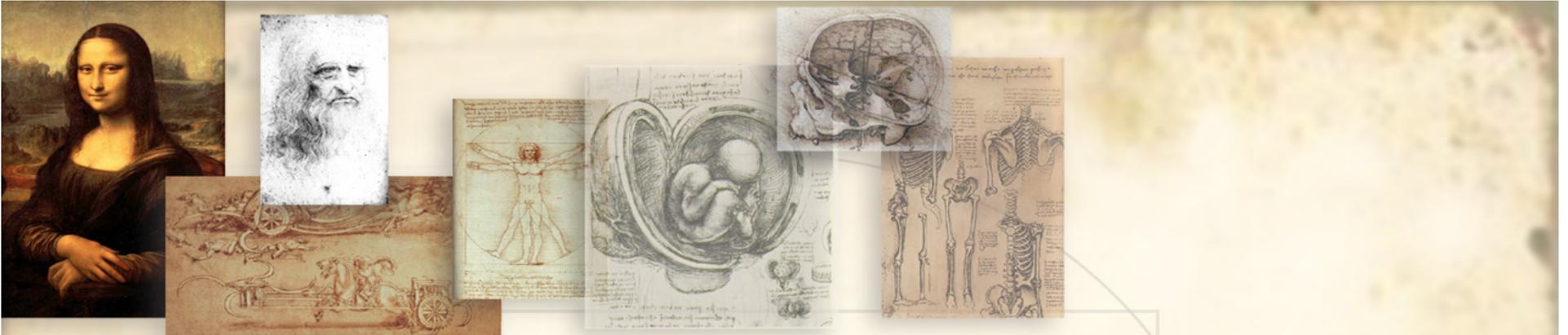
## Check (or Study)

- Measure processes against expected results
- Document differences for further analysis



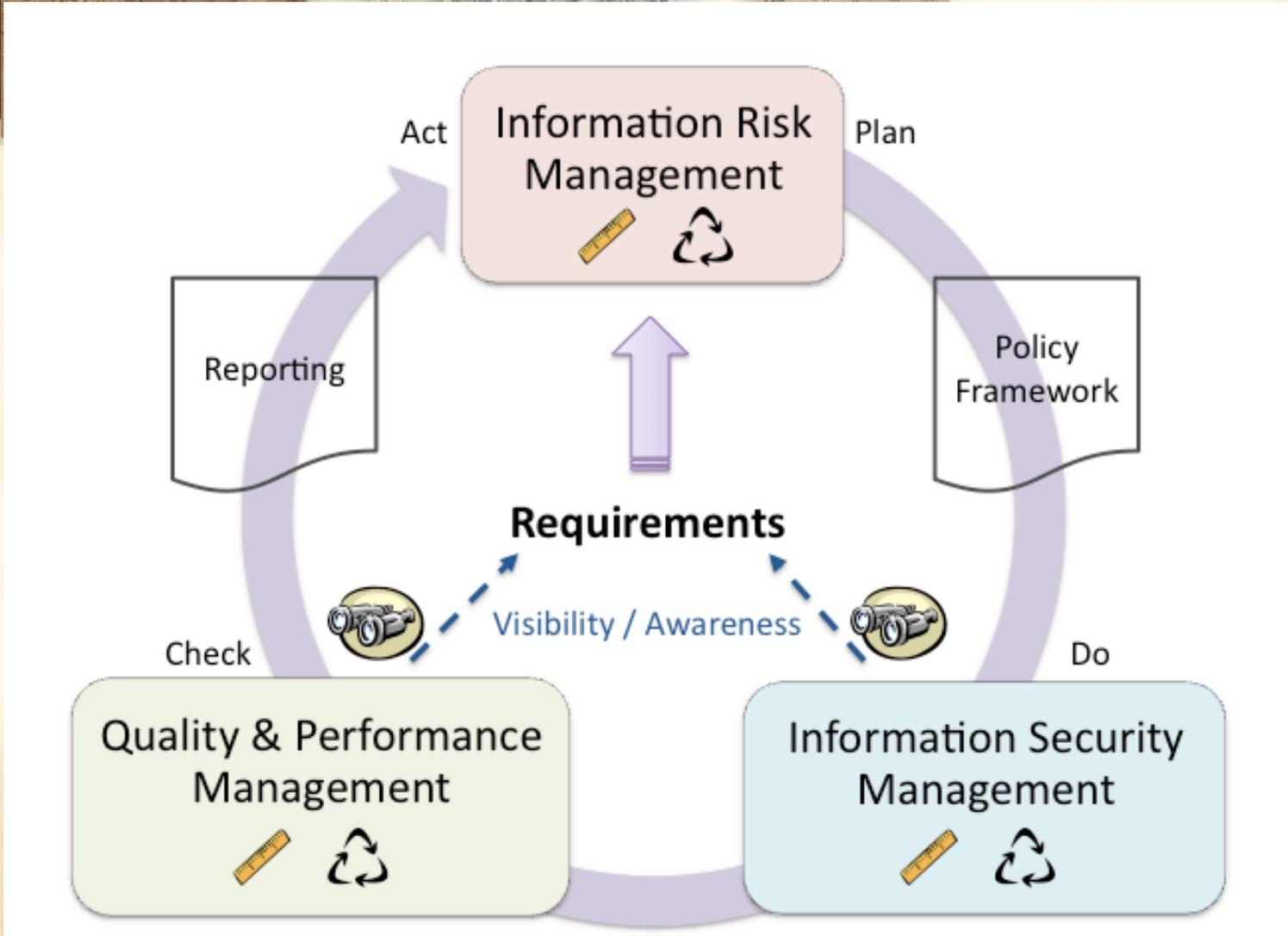
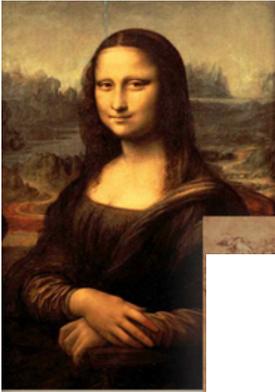
## Act

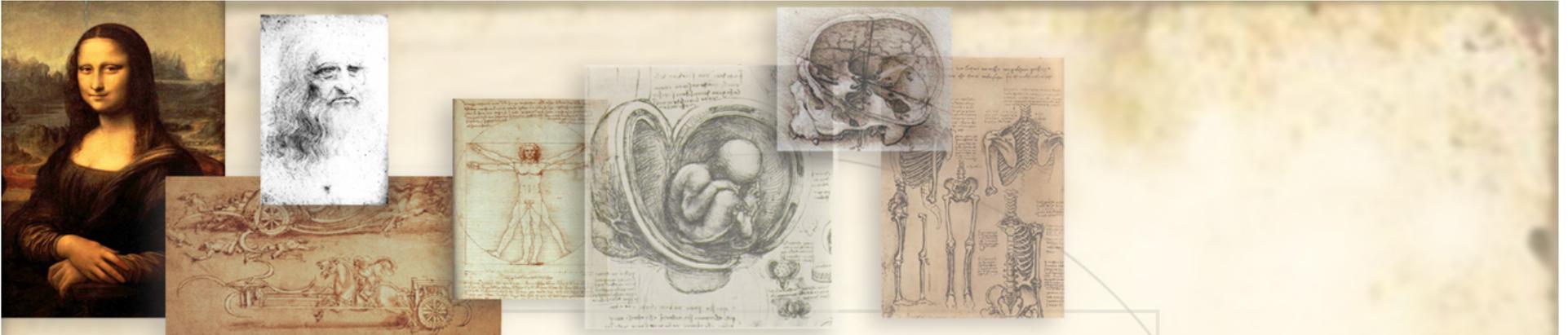
- Analyze differences (cause analysis)
- Determine where changes should be made
- Document recommendations
- No differences? Reinvent...



## PDCA and the TEAM Model

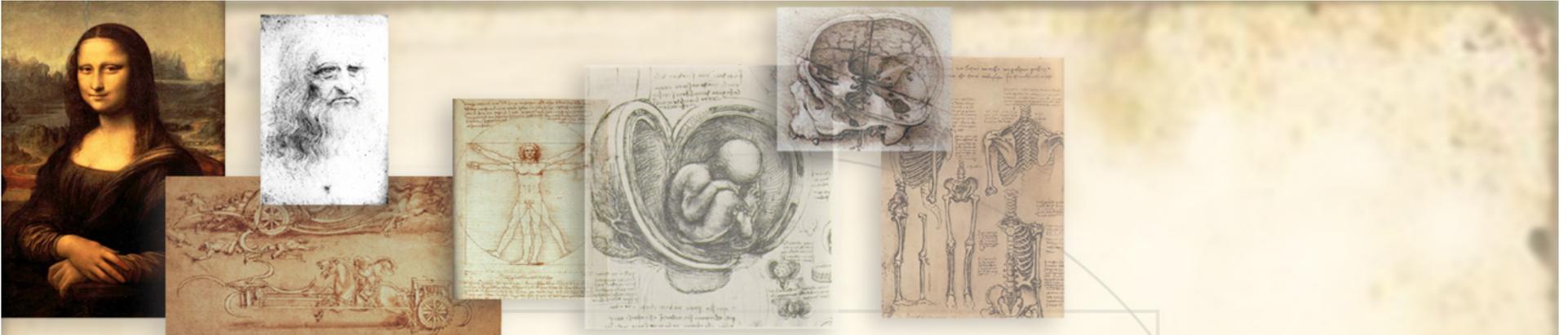
- Learning, lifecycle approach
- Always room for improvement
- It doesn't map cleanly, per se...
- It provides a reference model...





## Key Attributes

- Requirements-driven
- Nested lifecycles
- Allows best-fit methods in key areas
- De-conflicts / Cross-functional silos
- Promotes visibility & awareness



# Information Risk Mgmt

- “Risk is loss or the probability of loss.”
- A lifecycle approach...
- Based on business requirements
- Sets the strategy...

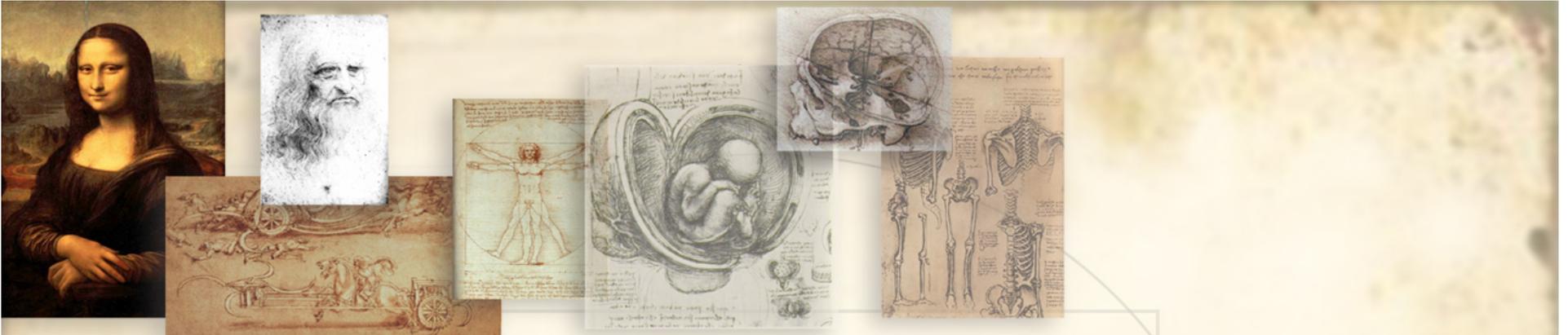


# Policy Framework

- A communication vehicle
- The path from strategy to operations
- More to come...

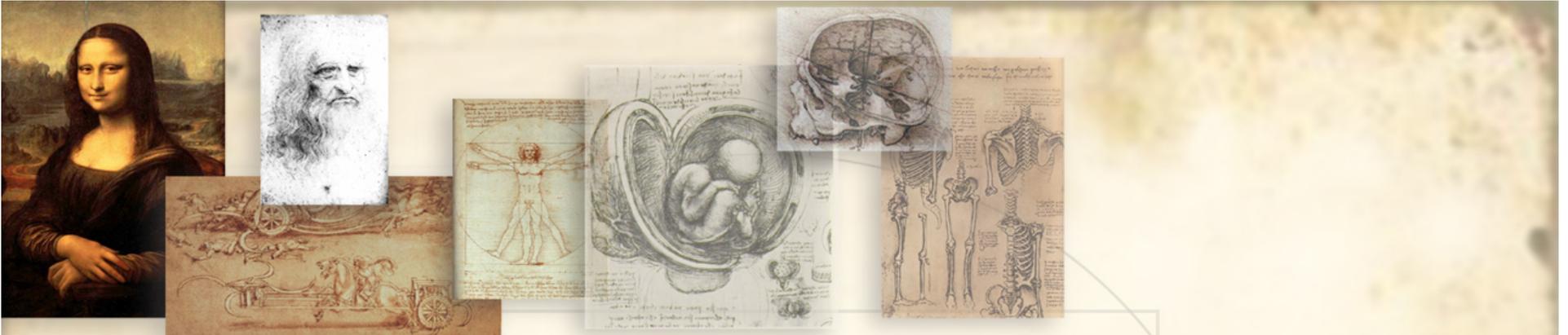
“Rules without consequences  
are just suggestions.”





# Information Security Mgmt

- Operations management
- Includes processes
- Could be ITIL, could be ISO 27002
- Must cover ALL operations



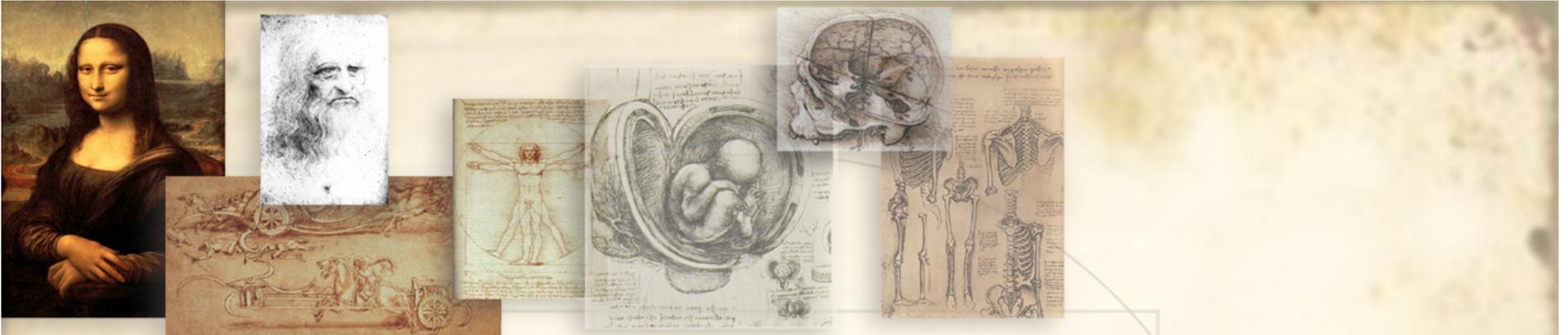
# Quality & Performance Mgmt

- Metrics & Measurements
- Security Testing
- Audit & Compliance
- Lots and Lots and Lots of Analysis



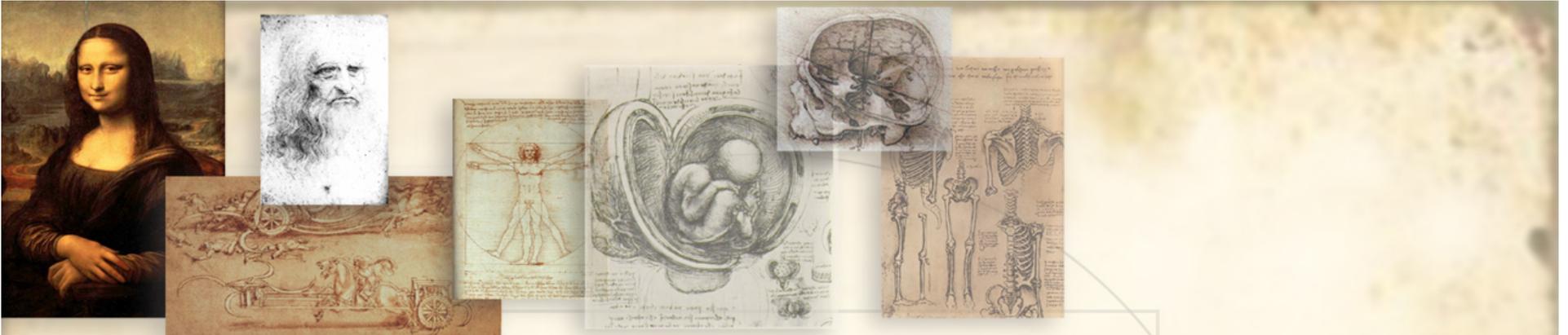
# Auditor Independence

- The thin grey line...
- This is very important!
- Scope, roles, control...



# Reporting

- Could be compliance reports...
- Could be audit reports...
- Could be weather reports...
  - ((think dashboard))
- Drives accountability + improvement!

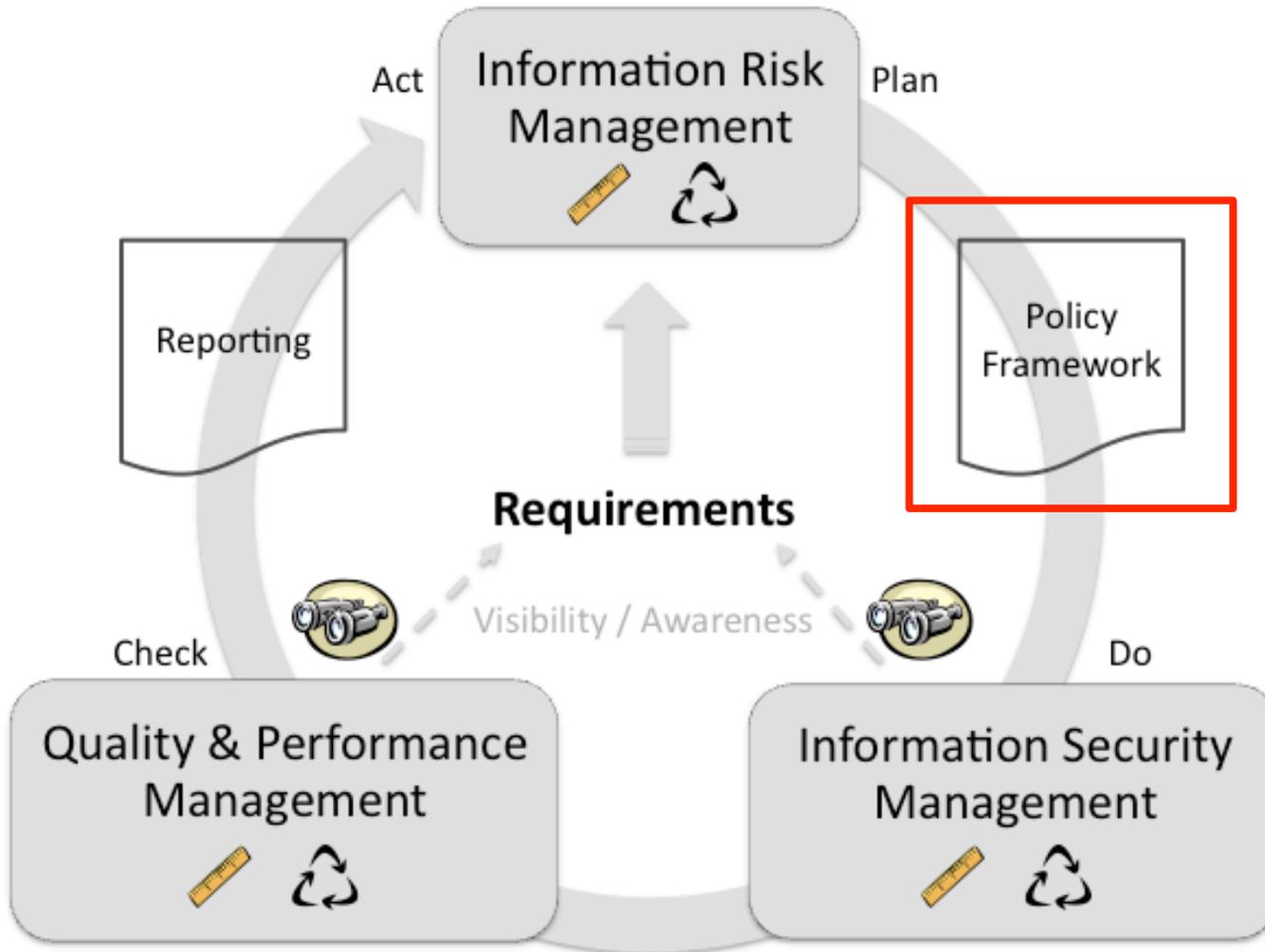
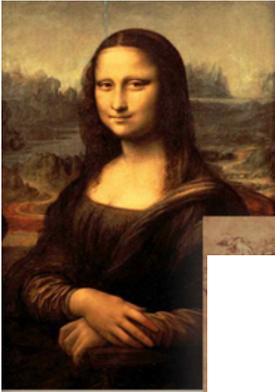


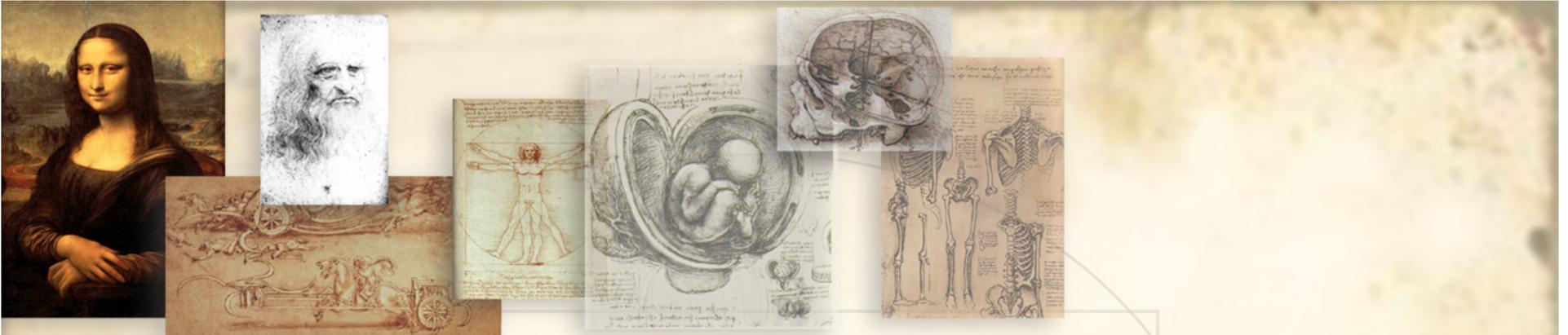
# Reporting

- Inform management and executives
- Inform the board
- Helps ensure due diligence
- KISS Principle



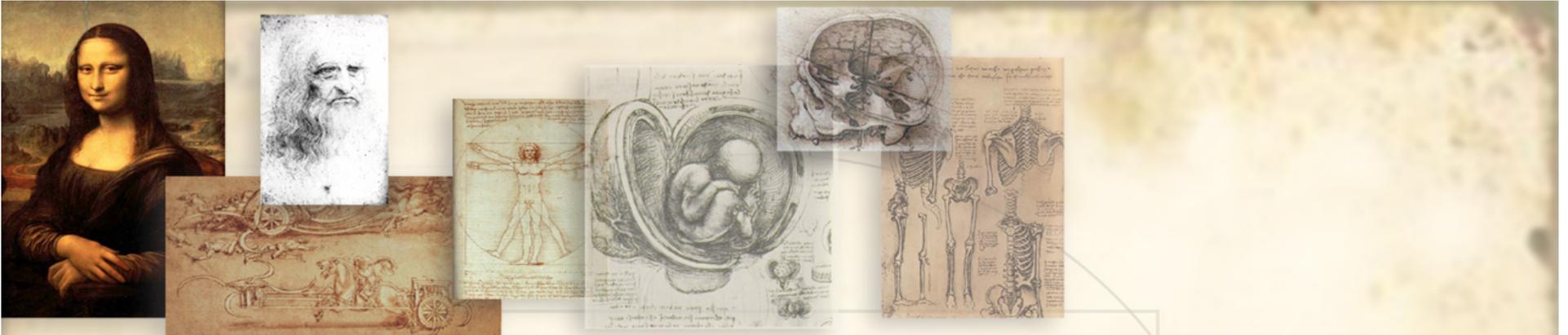
**SHORT BREAK!**





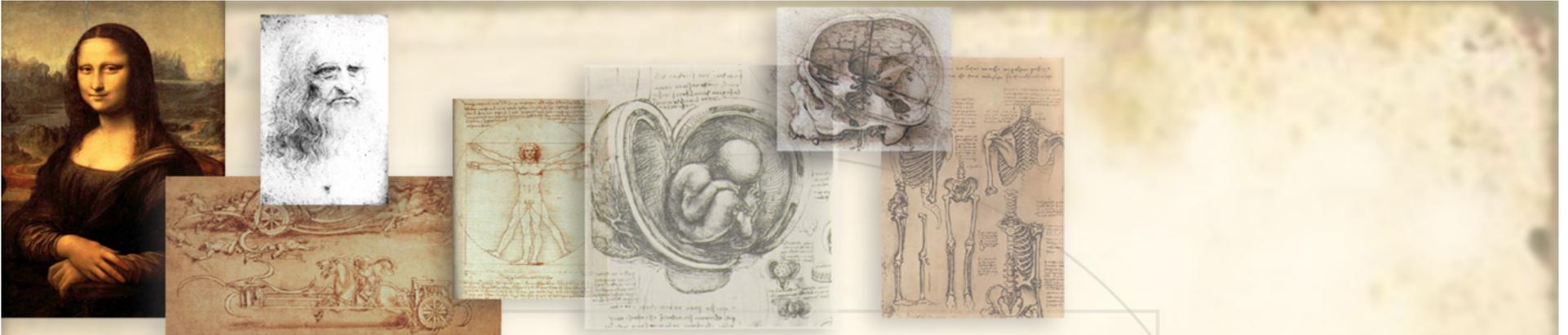
# The Policy Framework

- Queue dramatic music...
- Picture a world...
- Queue Oompaloompas...
- Ok, now stop it. 😊



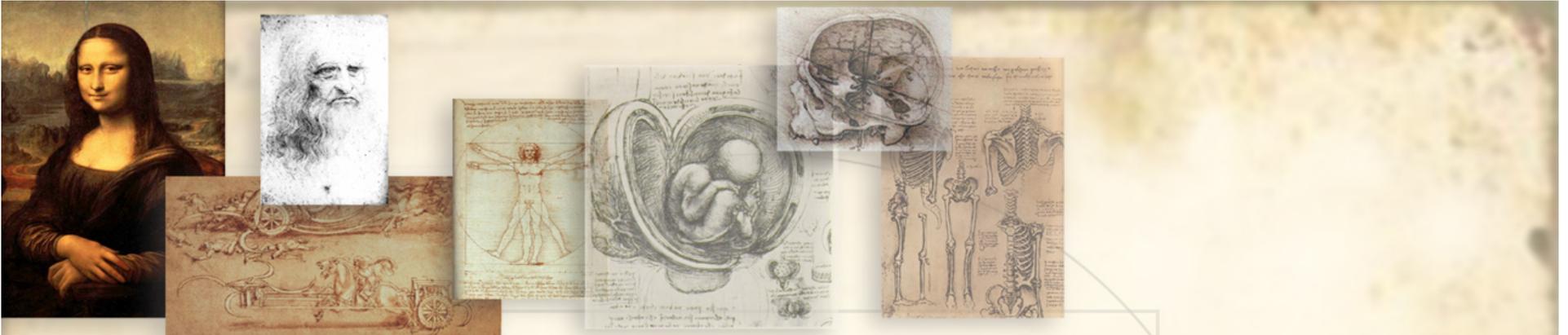
# The Policy Framework

- Communication vehicle
- Policies, Standards, Procedures
  - Maybe Guidelines, Processes
- How to...
- Some practical guidance



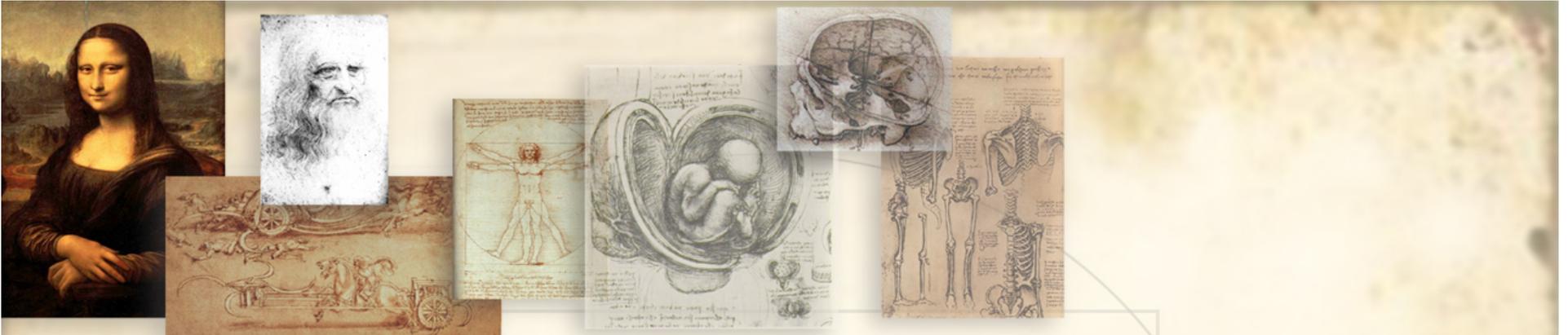
# A Communication Vehicle

- Why do you need this?
- What are you trying to say?
- Translating strategy into operations
- Setting expectations



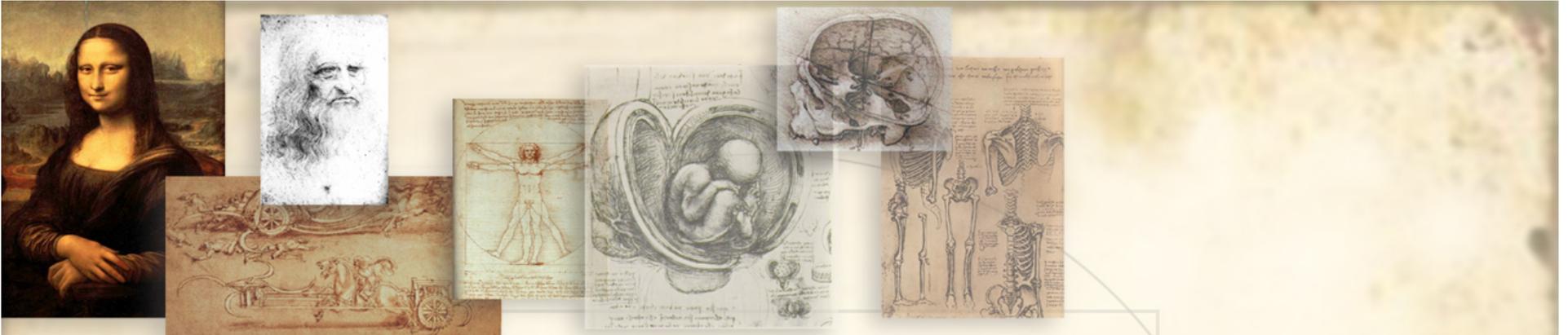
# A Communication Vehicle

- Support with training and awareness
- The vehicle should keep moving
  - Revise regularly
  - Adapt, evolve, survive
- Officially sanctioned



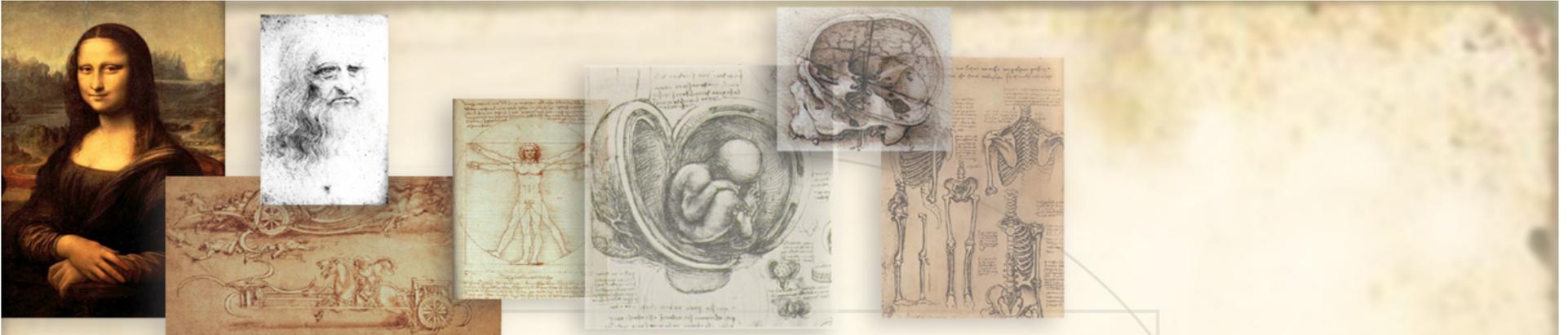
## Comprised of...

- Policy: high-level requirements statements
- Standard: detailed requirements
- Procedures: step-by-step guidance
- Guidelines? Processes?



# Lineage

- All standards derive from policies
- All procedures derive from standards
- Lineage communicates authority
- Authority must be clear and absolute



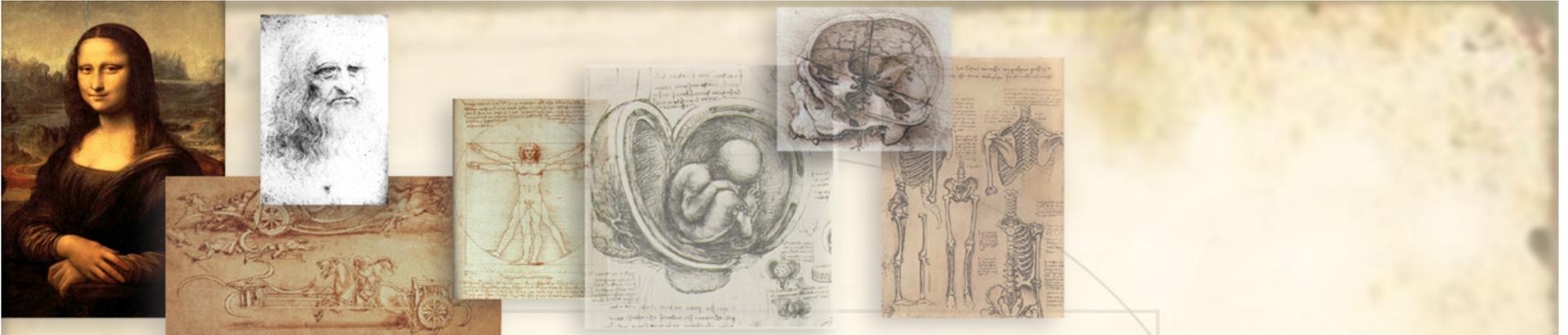
## How to... Author

### Do

- Build a team
- Define a process
- Meet regularly
- Set deadlines
- Report to board

### Don't

- Work alone
- Allow time leaks
- Expect perfection
- Accept excuses
- Work in a vacuum



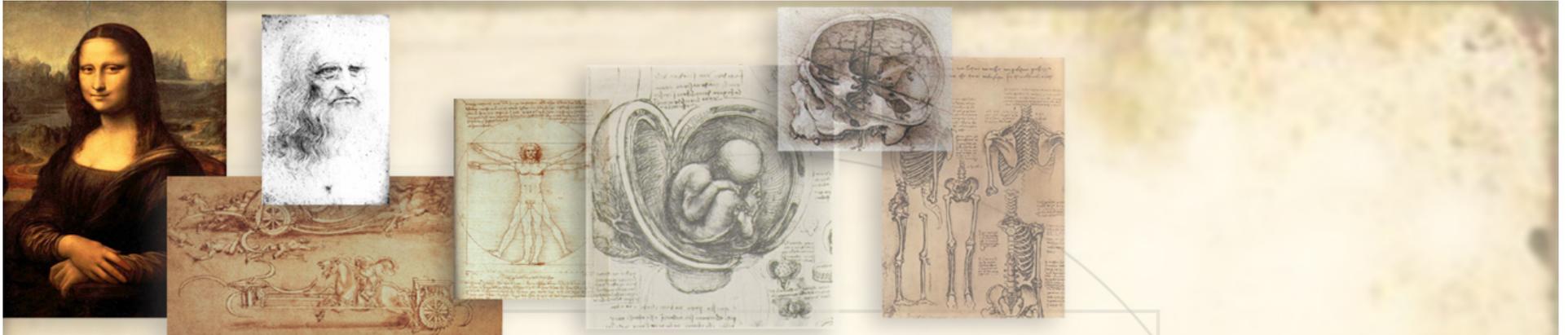
## How to... Approve

### Do

- Include executives
- Include the board
- Use stakeholders
- Promote positives
- Be flexible
- Acknowledge

### Don't

- Ignore stakeholders
- Forget legal dept.
- Allow time leaks
- Give up
- Be combative
- Expect an easy road



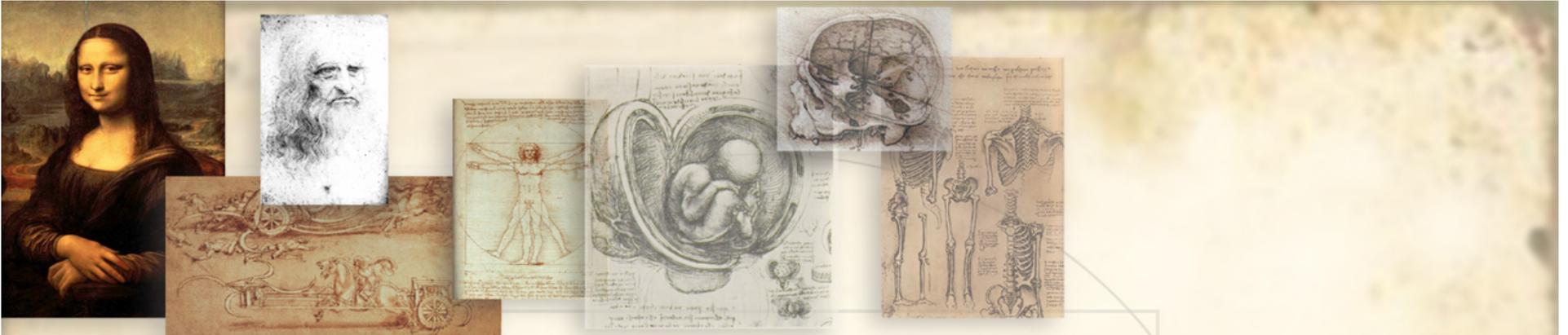
## How to... Promulgate

### Do

- Over-communicate
- Use diff. methods
- Make it easy
- Provide training
- Integrate with HR
- Publish clearly

### Don't

- Send and forget
- Be jack-booted
- Overcomplicate
- Forget training
- Forget HR
- Be rude



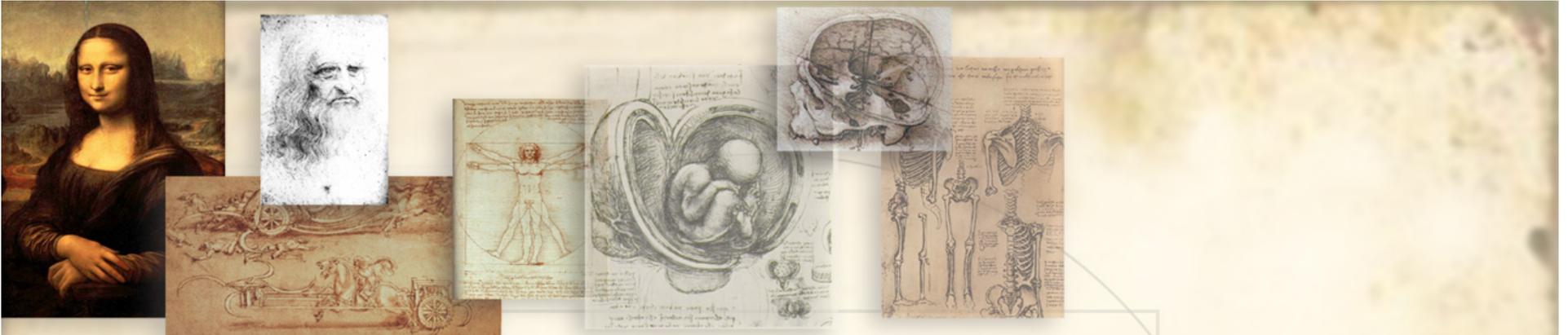
## How to... Revise

### Do

- Have a process
- Build a team
- Advise the execs
- Advise the board
- Notify everyone
- Update training

### Don't

- Have surprises
- Ignore input
- Forget feedback
- Rush controversy
- Forget to publish
- Exclude people



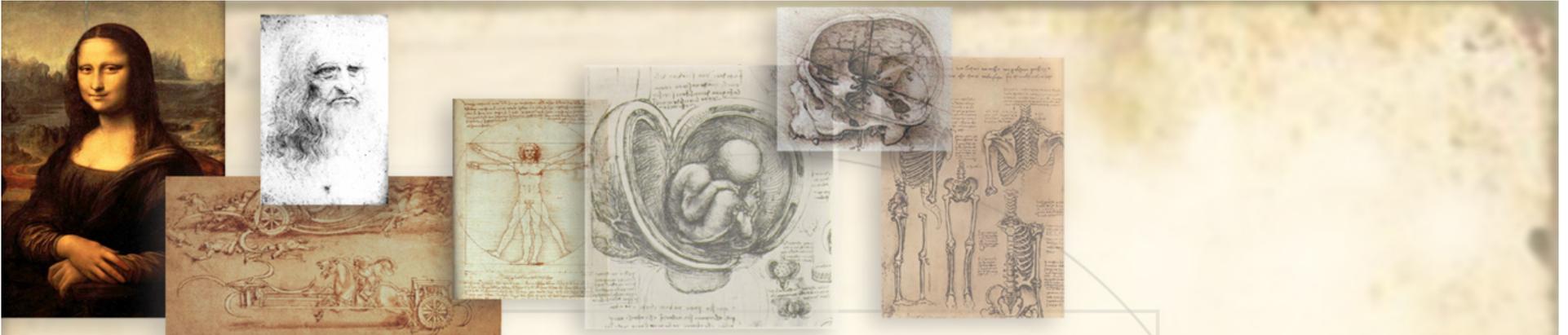
## How to... Enforce

### Do

- Be positive
- Be encouraging
- Set consequences
- Follow through
- Work with HR
- Work with Legal

### Don't

- Abuse people
- Make an example
- Give a pass
- Be unbalanced
- Forget management
- Promote fear

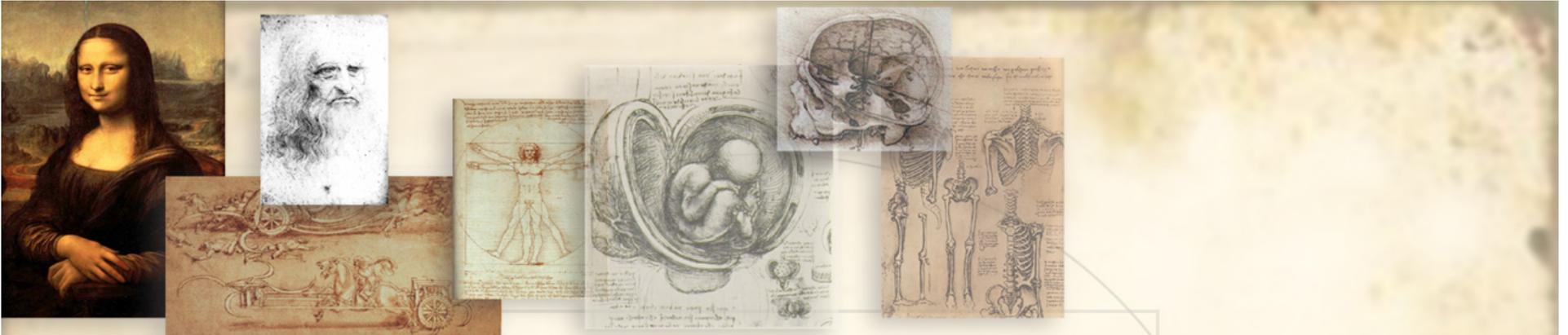


## Practical Guidance

- KISS Principle
- Publish in a couple formats
- Indexed & Searchable!
- Map to compliance requirements
- Leverage standards (e.g. ISO 27002)



**LUNCH BREAK!!!**



**WELCOME BACK! 😊**



# Agenda

## Morning

- Introduction
- Survivability
- Risk Mgmt. Fail
- TEAM for Success
- Policy Framework

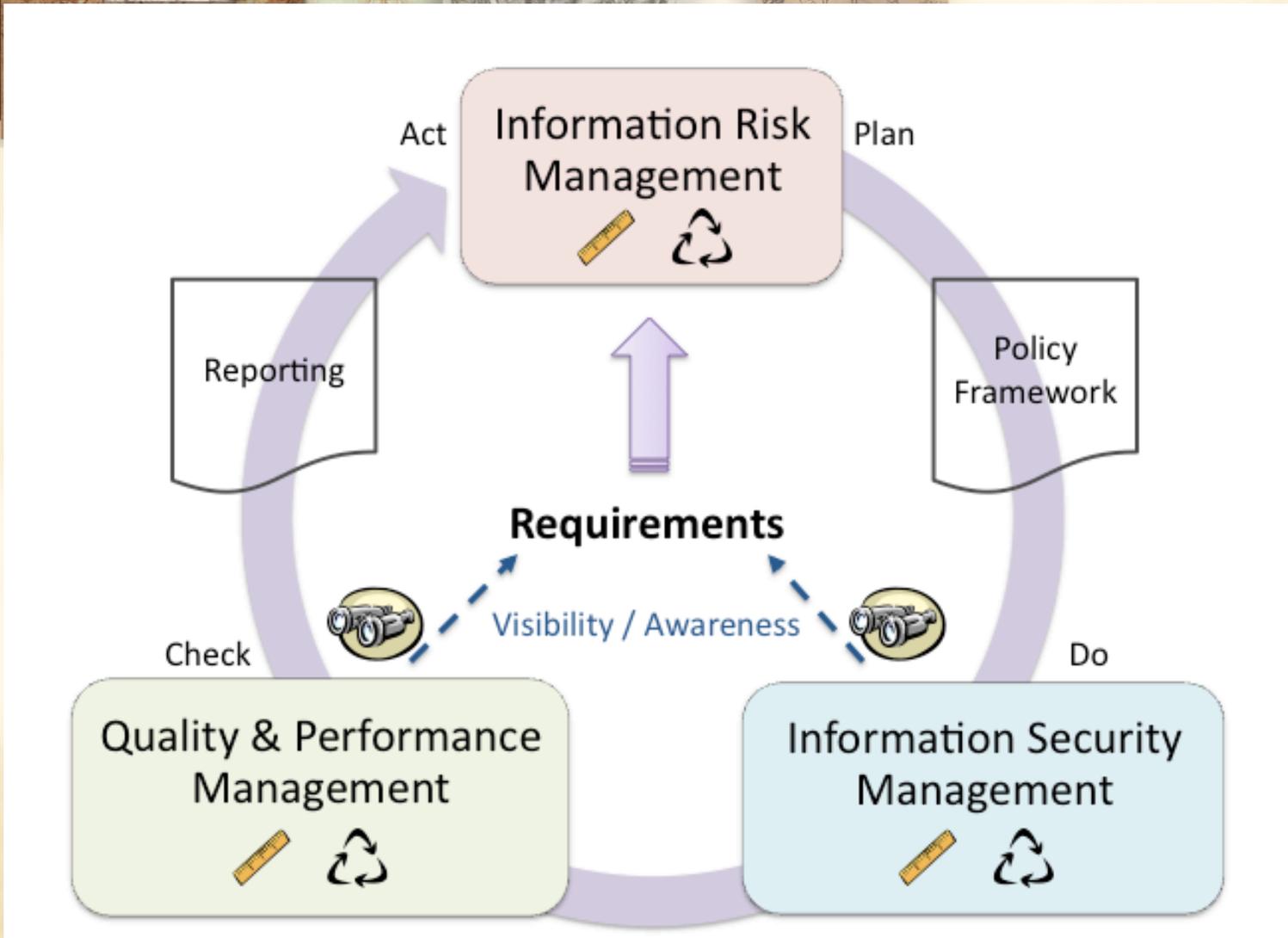
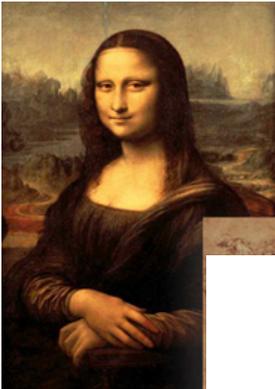
## Afternoon

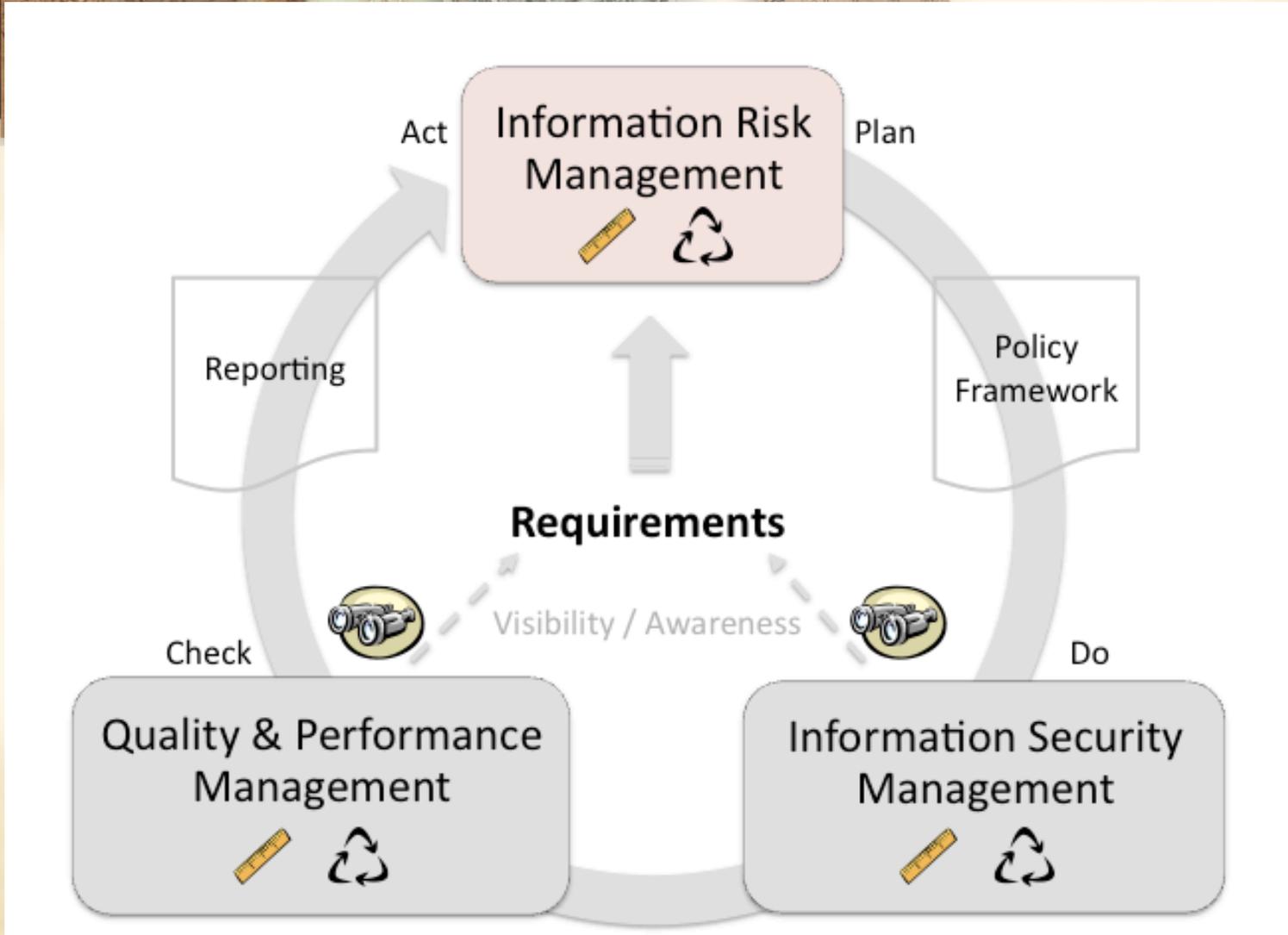
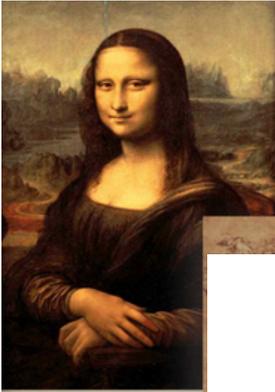
- Info. Risk Mgmt.
- Info. Sec. Mgmt.
- Q&P Mgmt.
- Putting it Together
- Advanced Topics

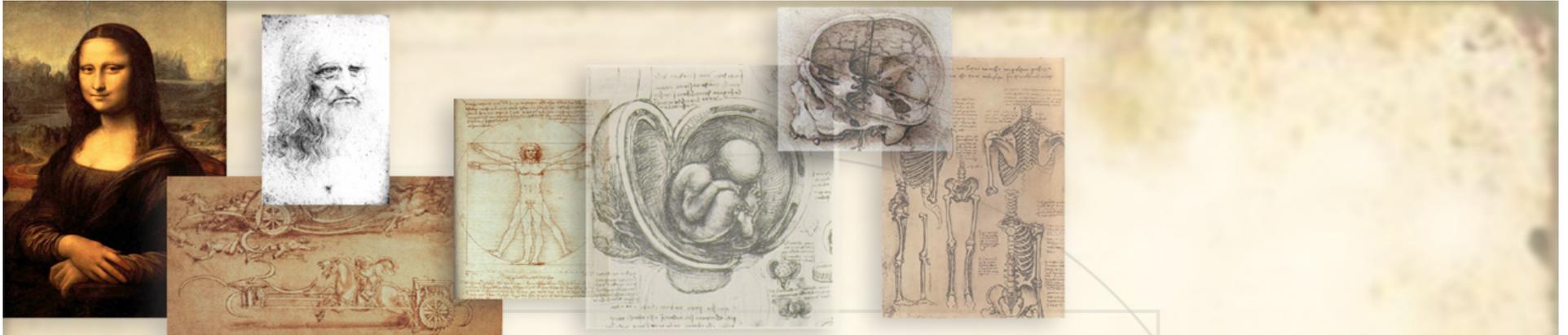


## First, a Recap...

- Any lingering questions?
- Any lingering doubts?
- Let's refresh on the TEM Model...

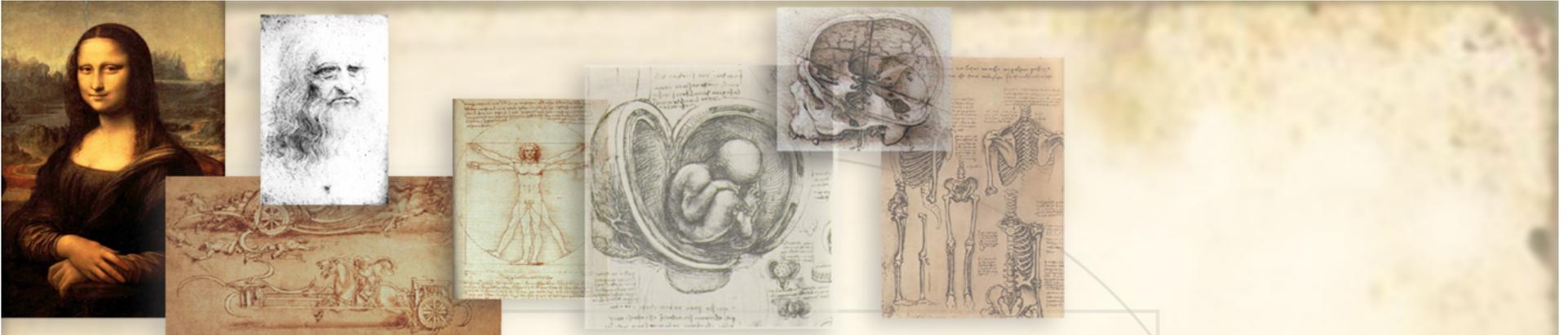






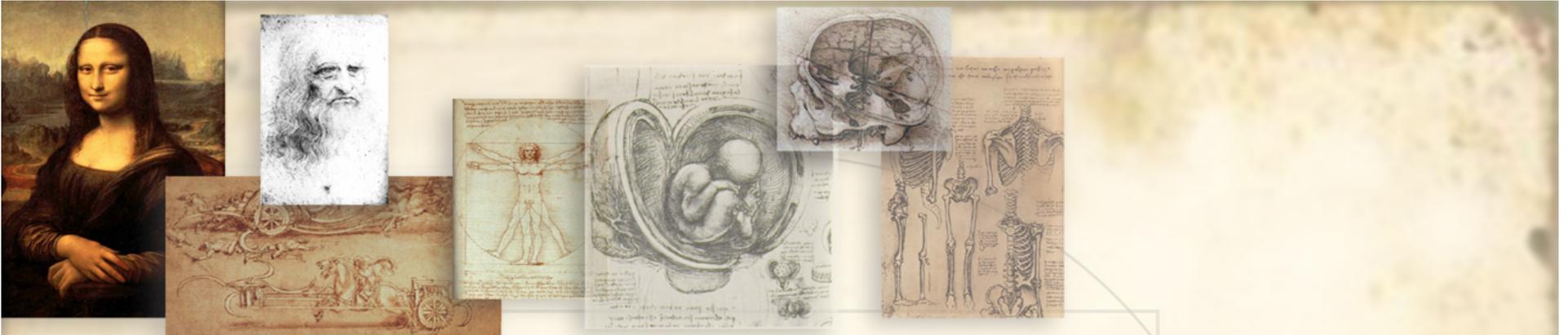
# Information Risk Management

- Risk Tolerance
- RM Lifecycle
- Formal RM Frameworks
- Maturity
- Assessments
- Risk Treatment (Remediation)
- The Importance of Metrics and Measurement



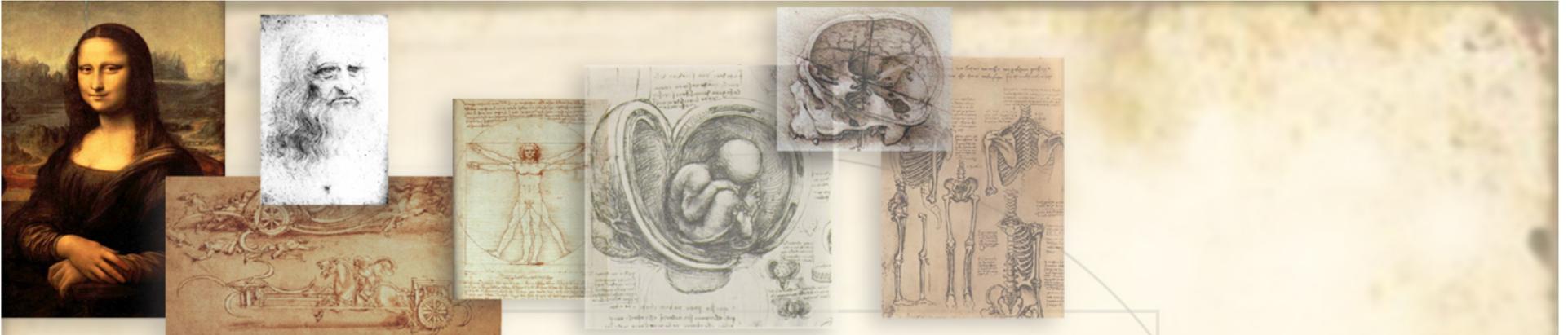
# Risk Tolerance

- Model & Define For Success
- Defense in Depth (or not?)
- Beware Biases
- Acceptable Level of Compromise
- Temporal Tolerance



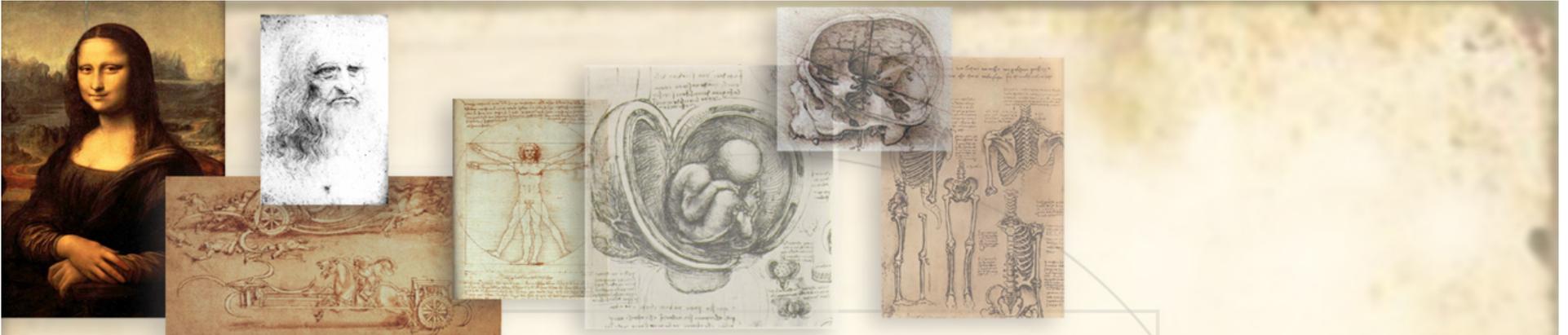
## Model & Define For Success

- Define, baseline risk levels/ratings
- Goes toward data quality...
- Good data facilitates good decisions
- Bad data, false sense of security, etc.



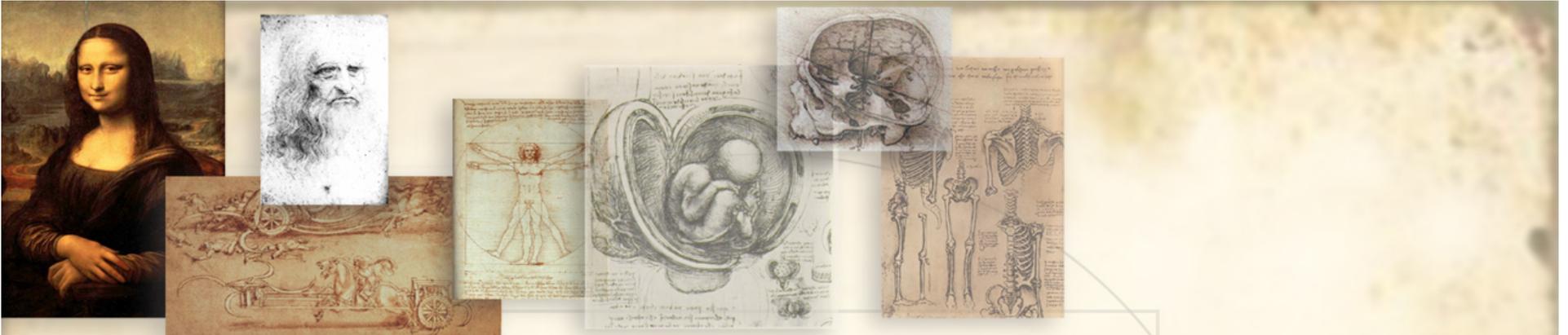
## Defense in Depth (or not?)

- The Möbius Defense
- DiD by any other name...
- The Jericho Forum approach
- Survivability!



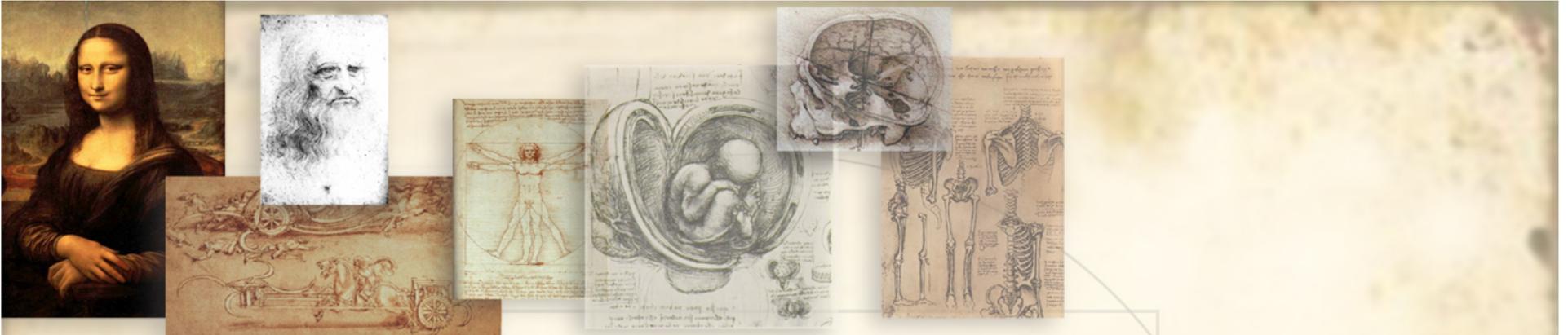
## Beware Biases

- GIGO
- Visibility → Transparency → Quality!
- Cognitive Bias
- Bayesian statistics



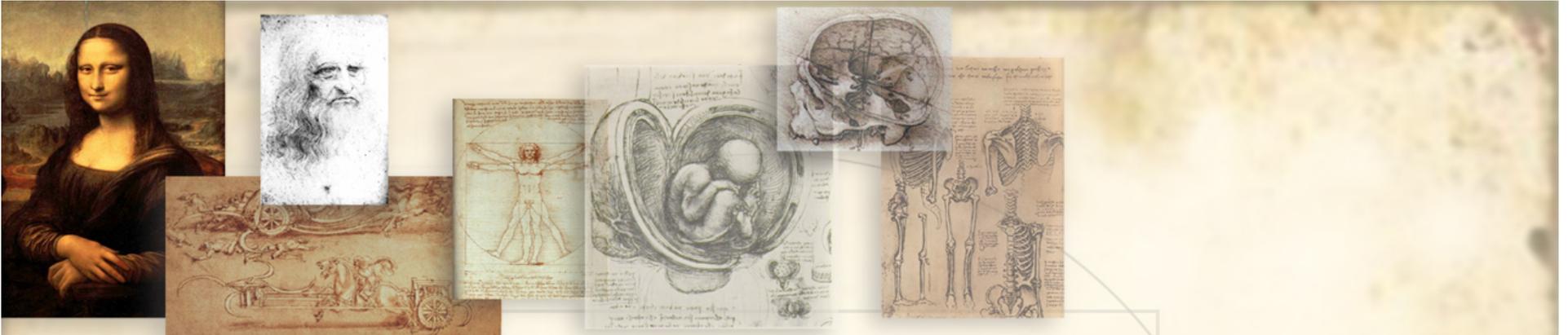
## Acceptable Level of Comp

- "The level of system compromise people and enterprises are willing to live with."
- No pain, no motivation for change.



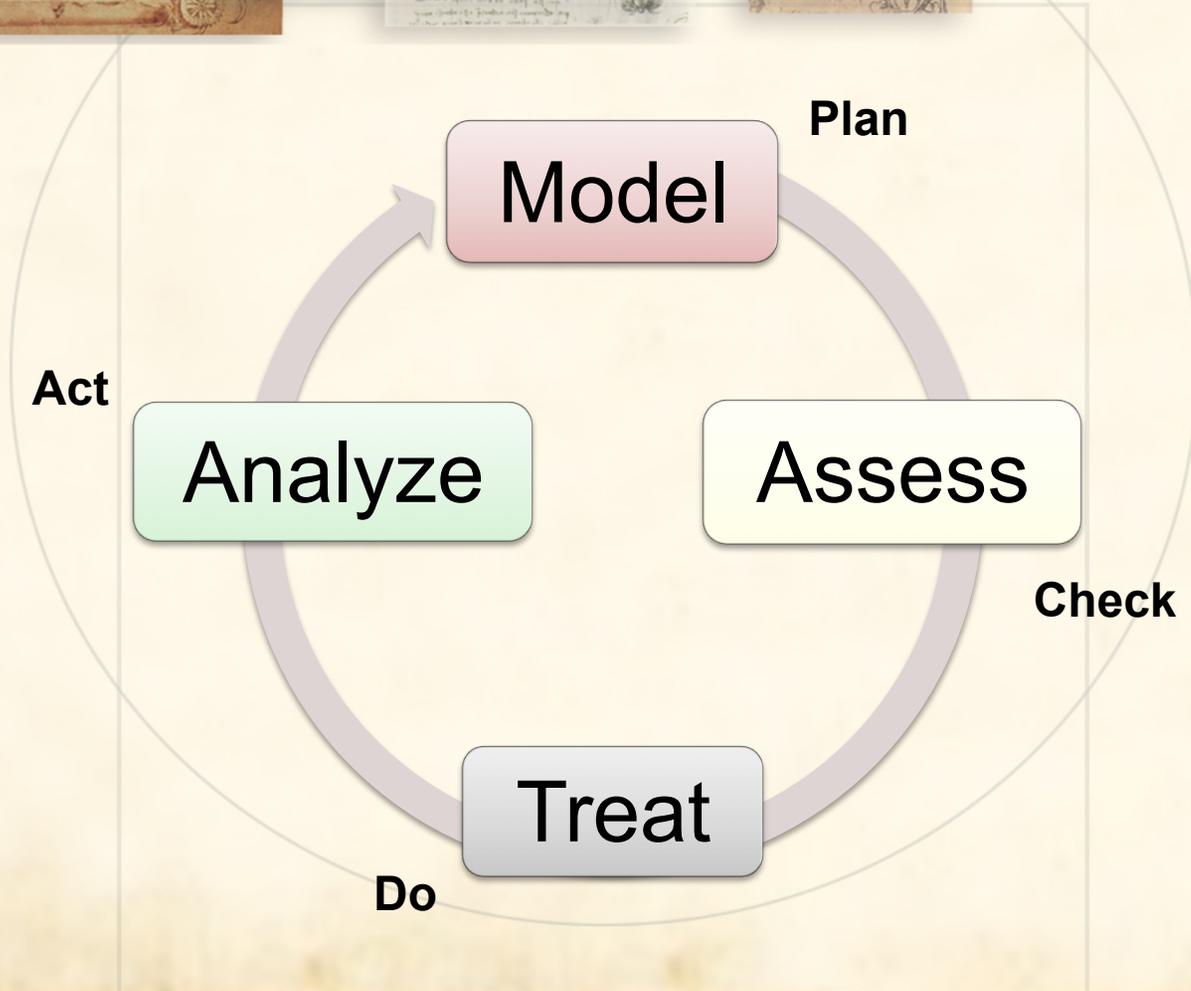
# Temporal Tolerance

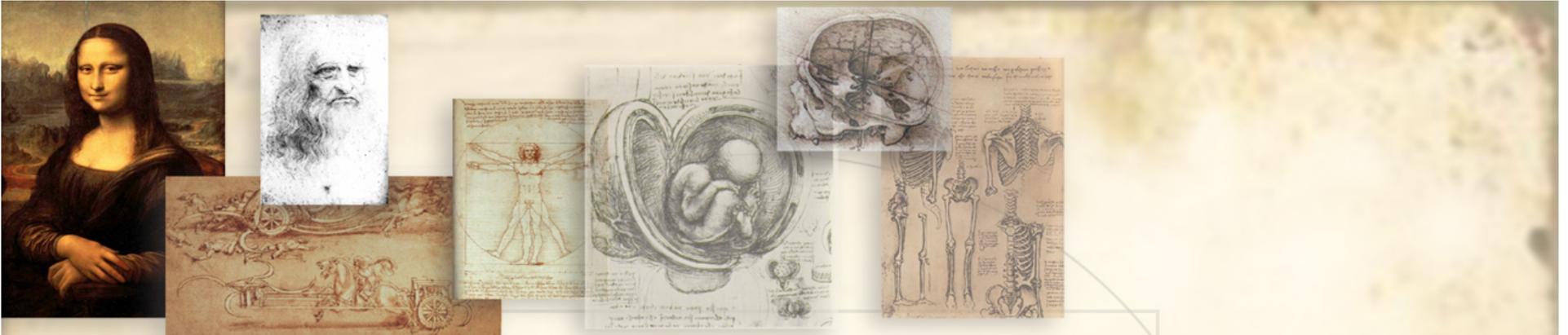
- What takes years to build can be leveled in hours or days.
- Change cannot be implemented overnight.
- Recoverability vs Defensibility



# Info Risk Mgmt Lifecycle

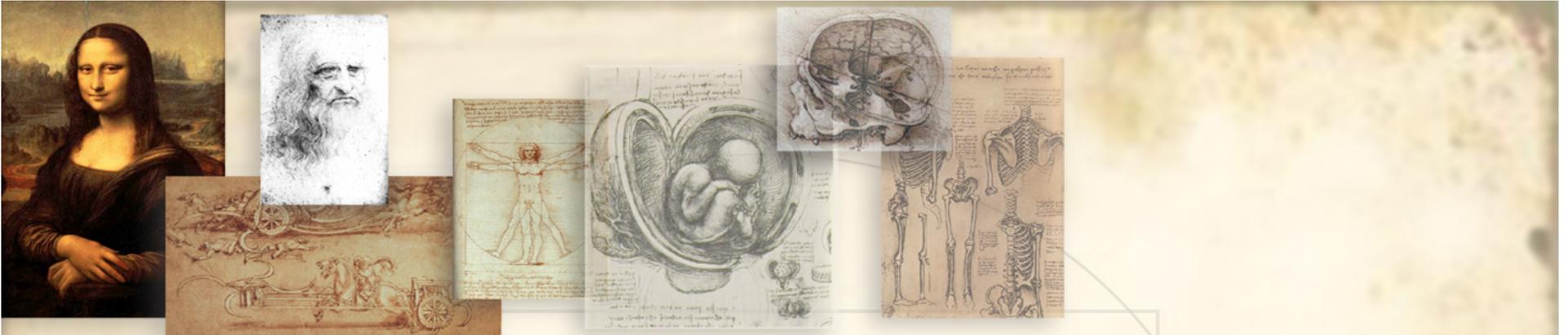
- A lifecycle approach is important
- Risk is never fully eliminated
- Adaptability is imperative
- May not match PDCA?





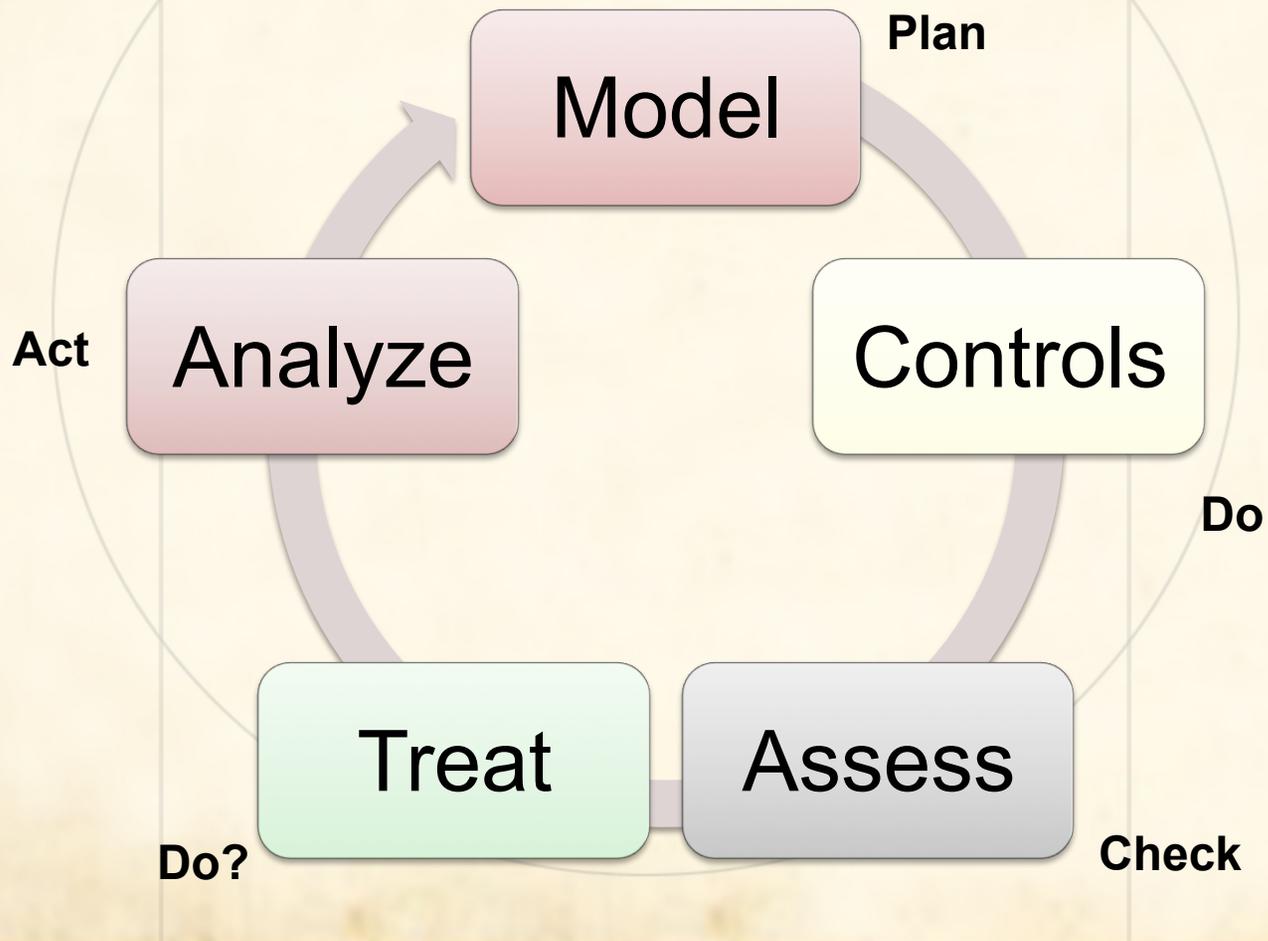
# Info Risk Mgmt Lifecycle

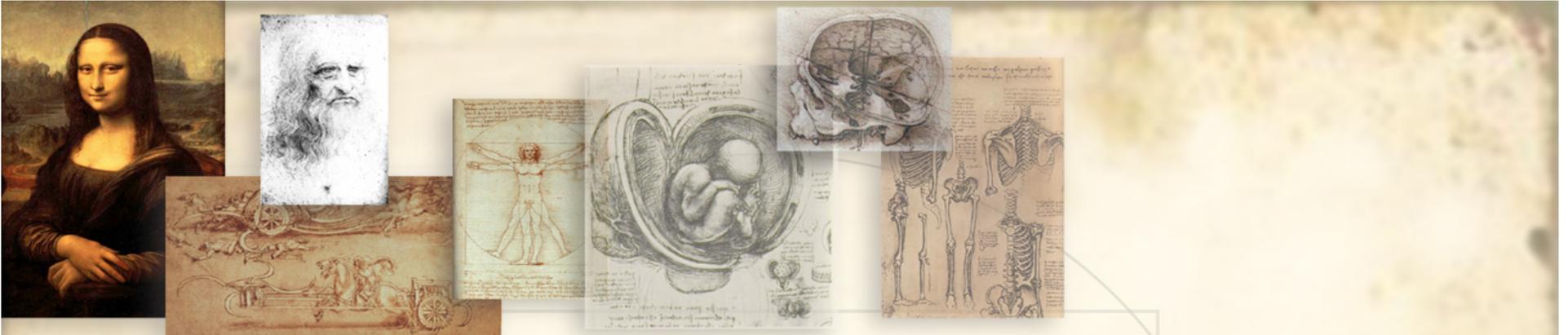
- Model: Define levels, tolerance
- Assess: Risk assessment
- Treat: Remediation
- Analyze: Actual vs expected results



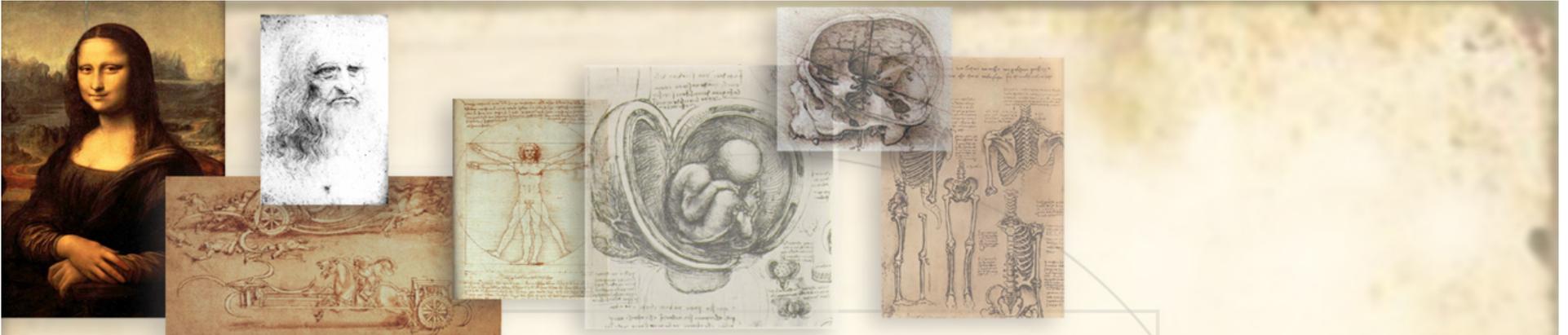
# Info. Risk Mgmt Lifecycle

- Assessing what?
  - Assumes controls implemented
- Remediation
  - Assumes implementing controls



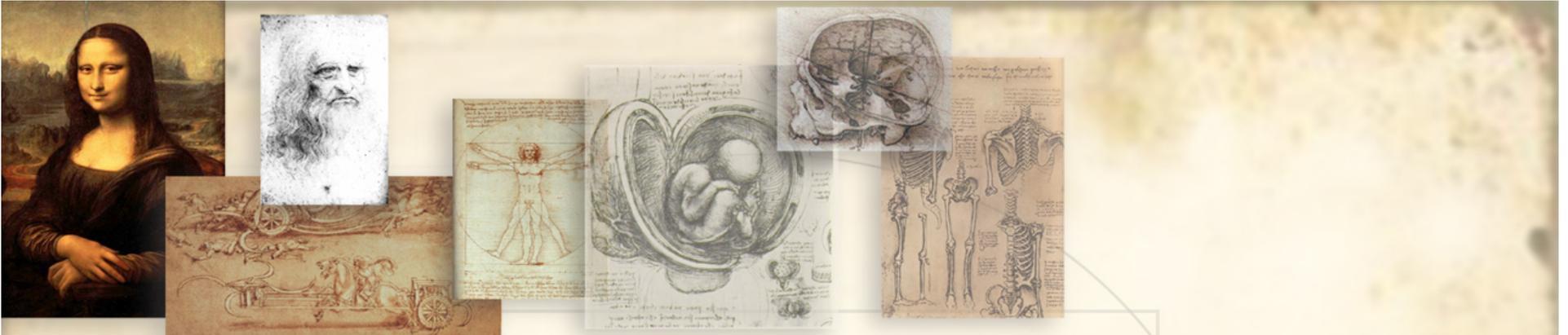


# HOW DO OTHERS DO IT?



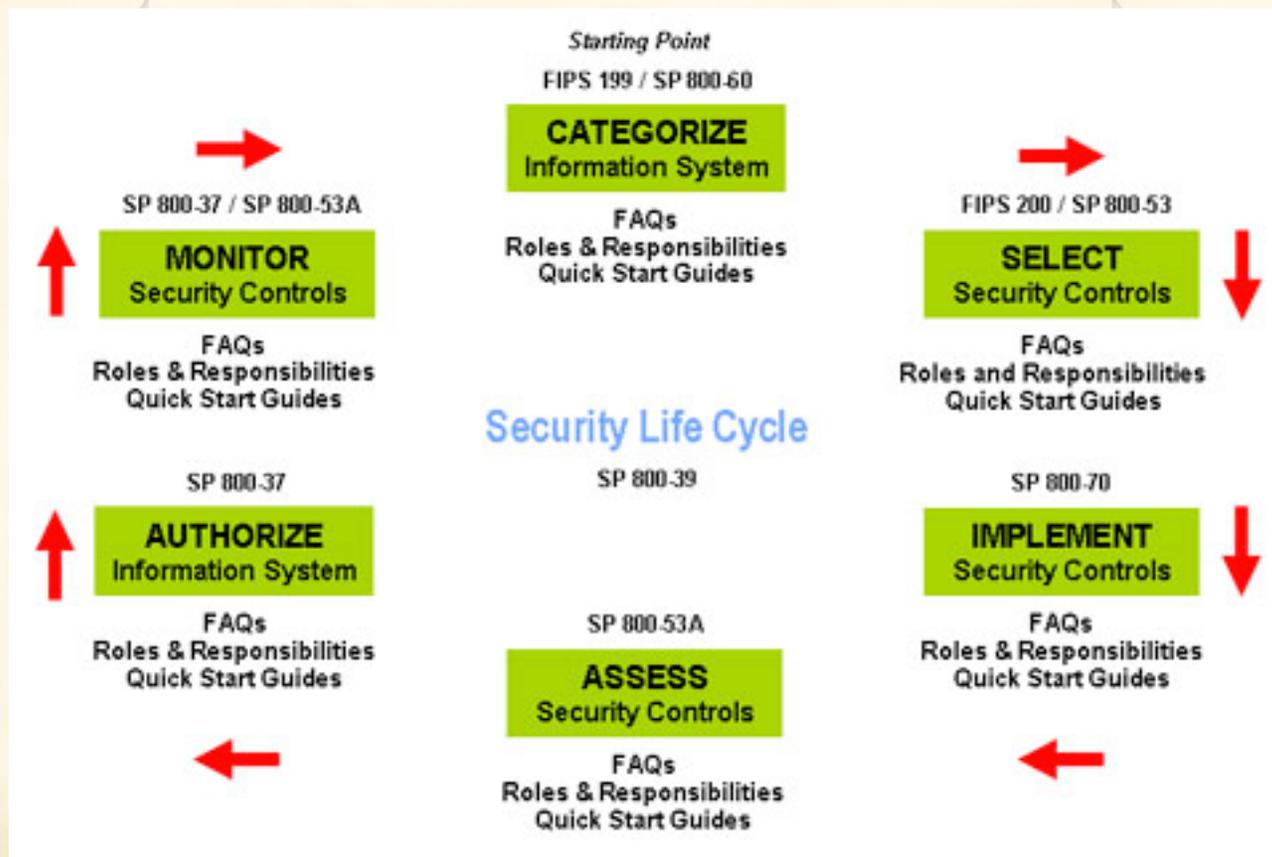
# Formal Frameworks

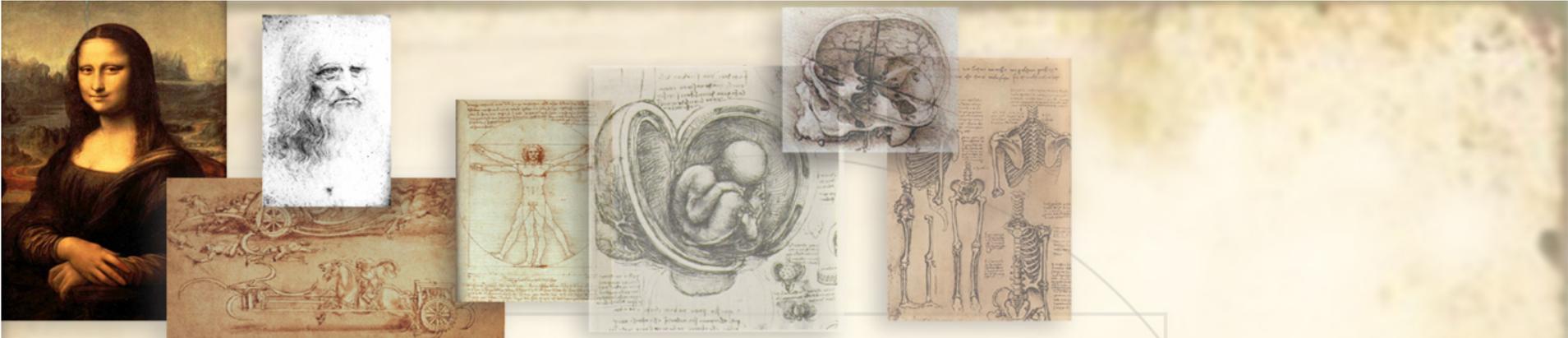
- NIST RMF
- COSO ERM Framework
- EDUCAUSE/Internet2 RMF
- ISO Standards(27005, 31000, 31010)



# NIST Risk Mgmt Framework

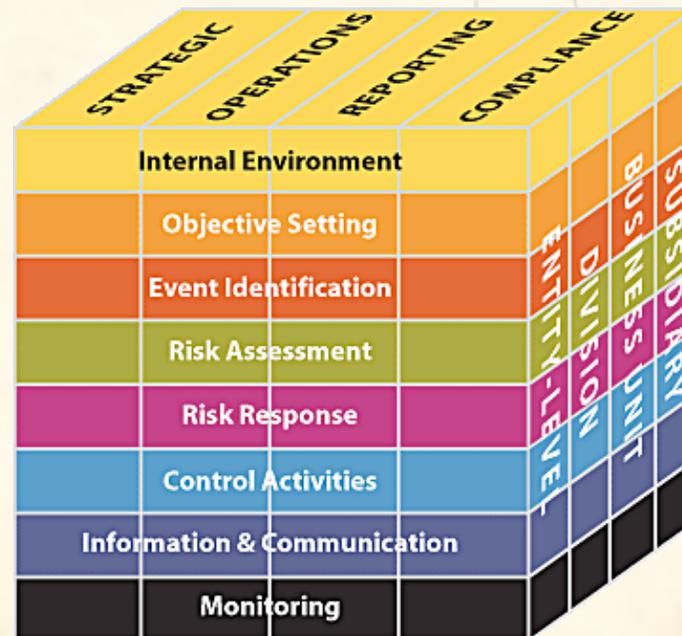
- Part of FISMA and CNSS efforts
- Generally required for Federal sector
- Based on series of documents

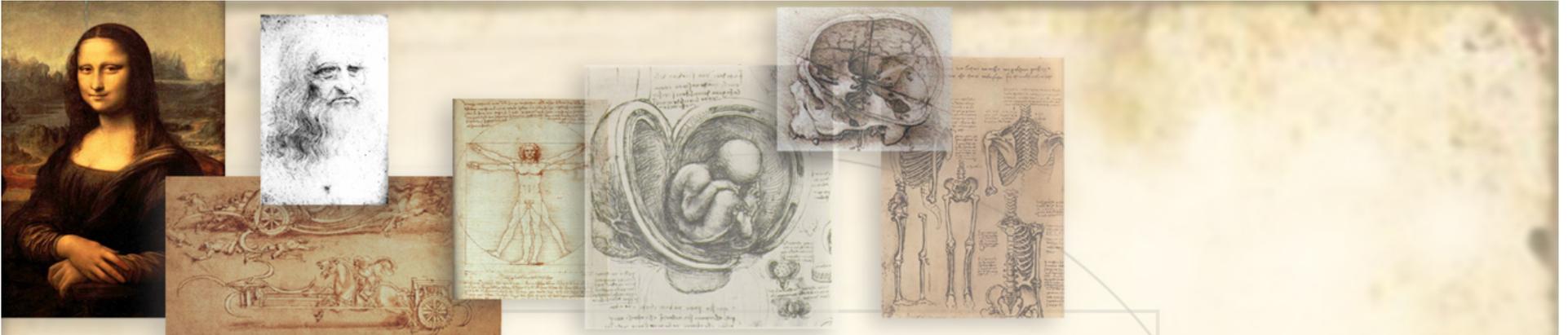




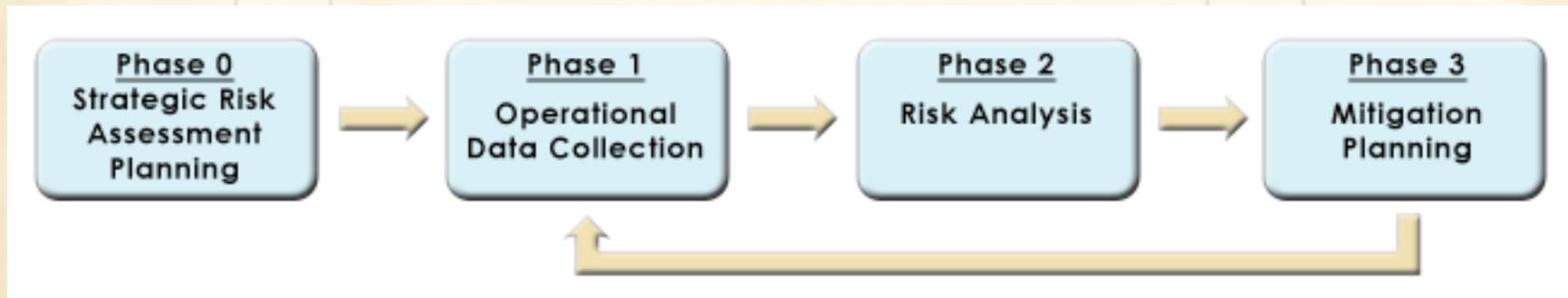
# COSO ERM Framework

- 8 objectives
- 4 categories
  - Strategic
  - Operations
  - Reporting
  - Compliance





# EDUCAUSE/Internet2 RMF

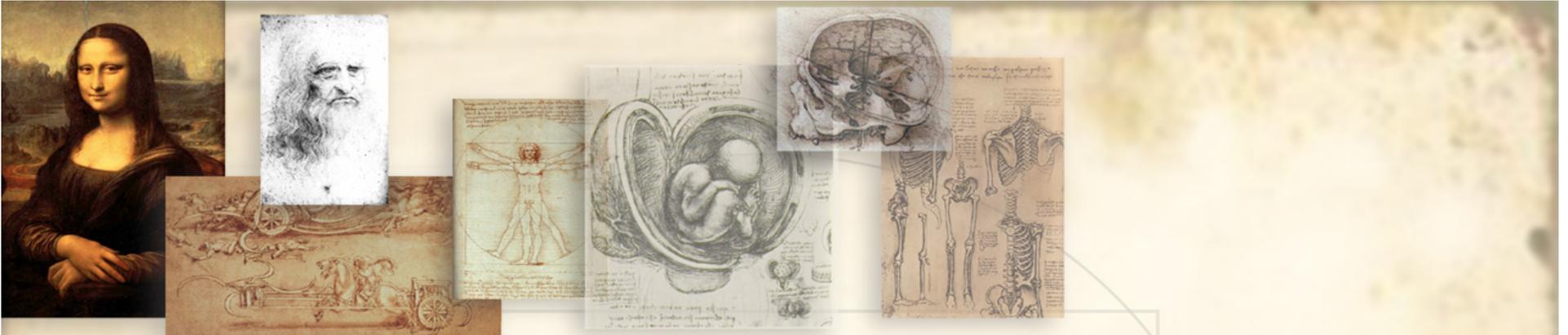


**Model**

**Assess**

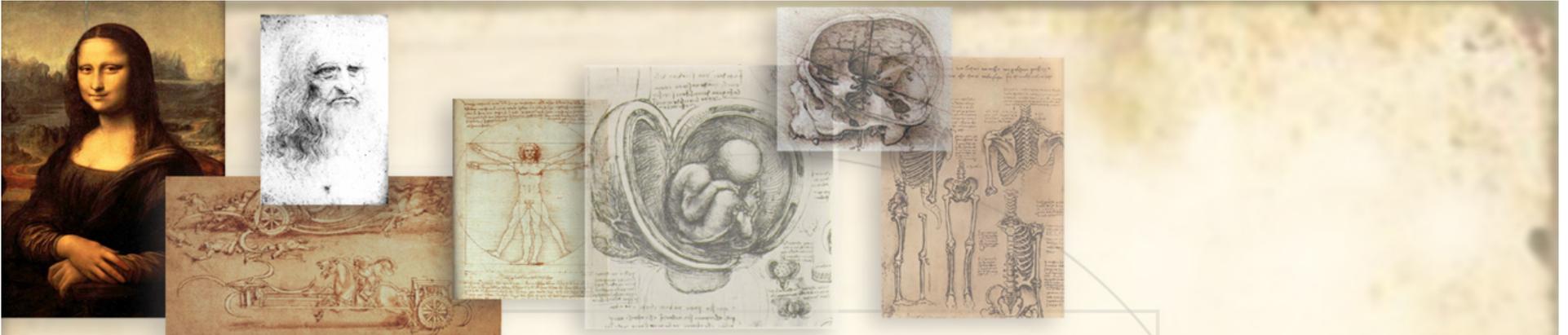
**Analyze**

**Treat**



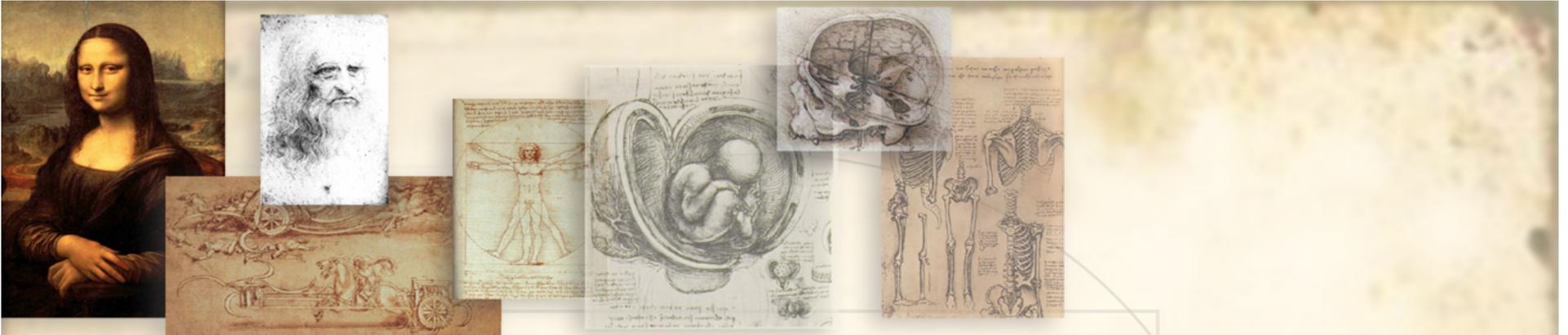
## **EDUCAUSE/Internet2 RMF**

- Ignored PDCA, which is fine
- Lightweight, simple, logical
- Model is never updated?



## ISO Standards

- 27005:2008 – InfoSec Risk Mgmt
  - Part of 27000 Series
  - Expected to re-align to 31000
- 31000 – Risk Management Principles
- 31010 – Risk Assessment Techniques



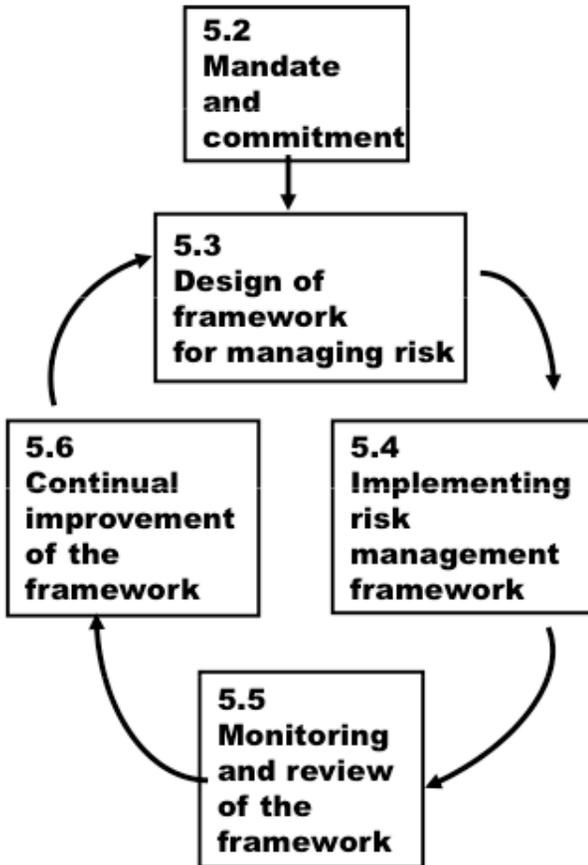
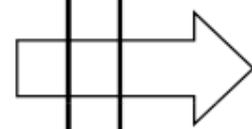
## **ISO/IEC 31000:2009**

- New standard, due out 2009
- Will be THE ISO risk mgmt approach
- Generic, extensible
- Maps to PDCA

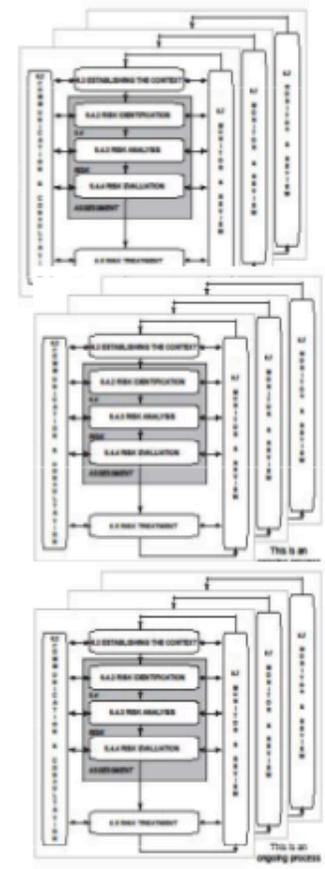
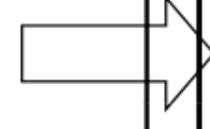


- a) Creates value
- b) Integral part of organizational processes
- c) Part of decision making
- d) Explicitly addresses uncertainty
- e) Systematic, structured and timely
- f) Based on the best available information
- g) Tailored
- h) Takes human and cultural factors into account
- i) Transparent and inclusive
- j) Dynamic, iterative and responsive to change
- k) Facilitates continual improvement and enhancement of the organization

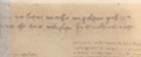
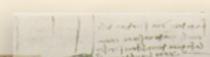
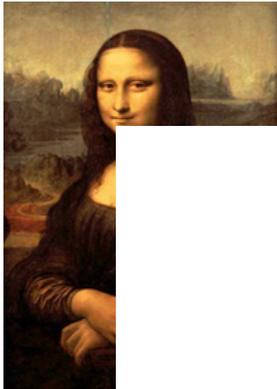
Principles for managing risk  
(Clause 4)



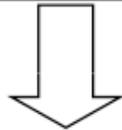
Framework for managing risk  
(Clause 5)



Processes for managing risk  
(Clause 6)



**5.2 Mandate and commitment**



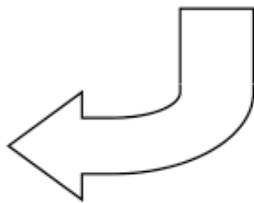
plan

- 5.3 Design of framework for managing risk**
- 5.3.1 Understanding the organization and its context
  - 5.3.2 Risk management policy
  - 5.3.3 Integration into organizational processes
  - 5.3.4 Accountability
  - 5.3.5 Resources
  - 5.3.6 Establishing internal communication and reporting mechanisms
  - 5.3.7 Establishing external communication and reporting mechanisms



do

- 5.4 Implementing risk management**
- 5.4.1 Implementing the framework for managing risk
  - 5.4.2 Implementing the risk management process

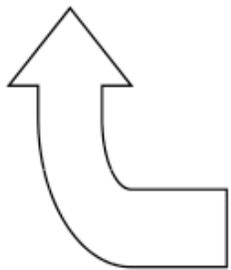


check

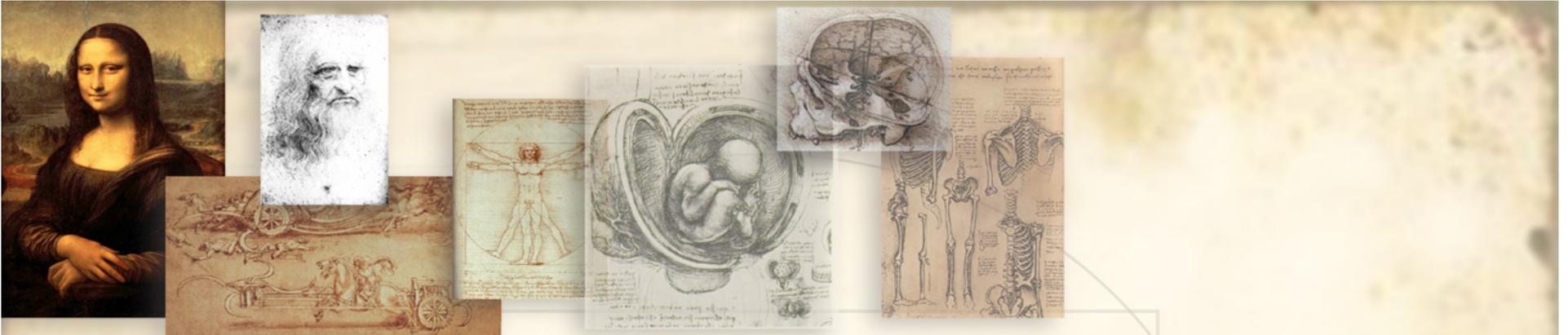
- 5.5 Monitoring and review of the framework**

act

- 5.6 Continual improvement of the framework**



Continuous Improvement of the ISO 31000 Framework for risk management



## **ISO/IEC 31000:2009**

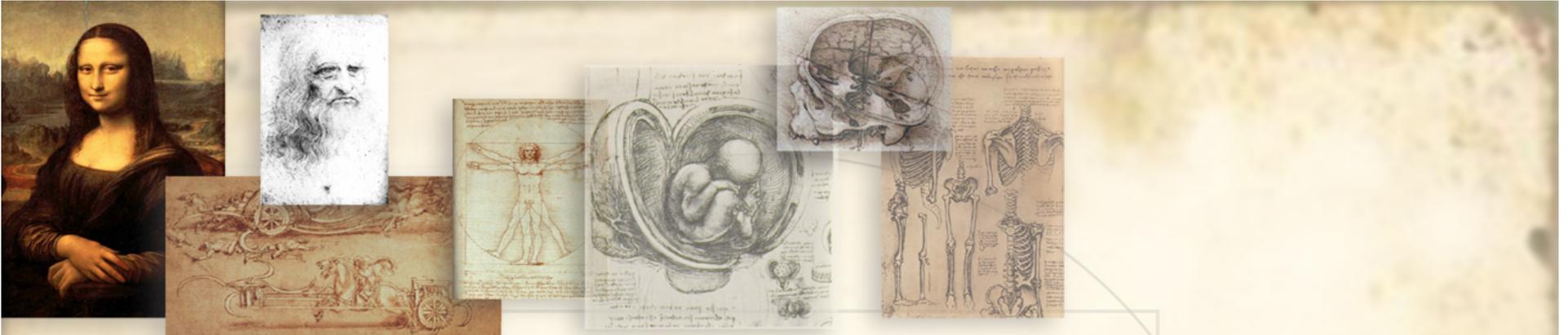
- Overall, a good model
- Heavyweight standard (as usual)
- Could resolve many questions
  - IF adopted...



# Risk Mgmt Maturity

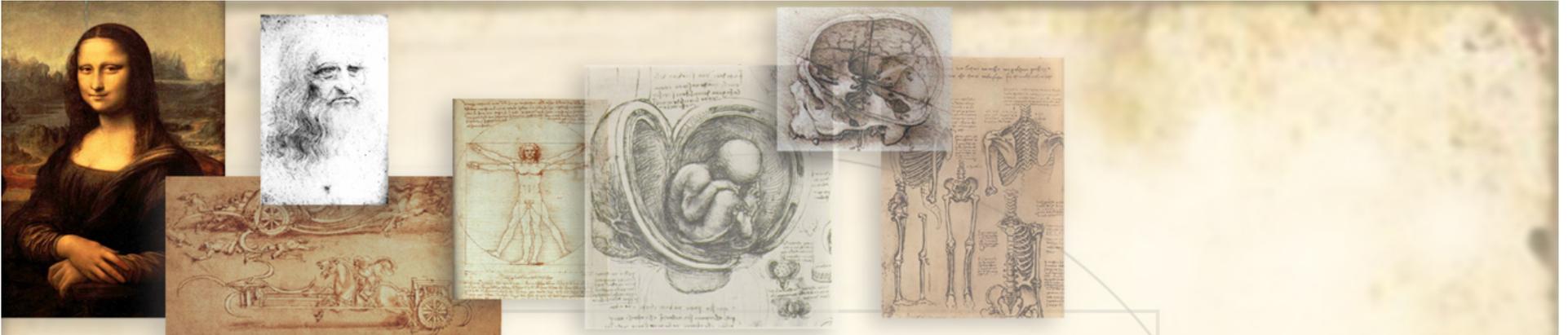
- Intro to CMM approach...
  - Why is this useful?
- Currently, no CMM for RM
- What would it look like?
  - Good question... TBD!





# Assessment Techniques

- Everybody has one...
  - Very few publish them...
- RMI FAIR recommended
- IA-CMM (was) recommended
  - ISATRP coming soon...



# Assessment Techniques

- Watch out for:
  - Lack of in-context modeling
  - Blind “value” assignments
  - Lack of in-context analysis
- Is it really High / Medium / Low?



# Assessment Techniques

- More in the Q&PM section...
- Beware absolutist statements
- 3<sup>rd</sup> party vs 1<sup>st</sup> party



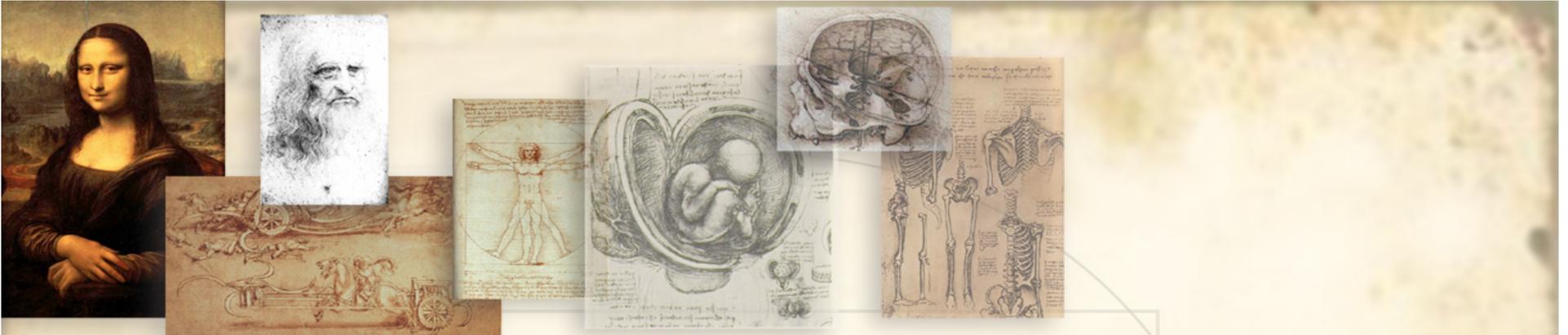
# Risk Treatment

- Various names:
  - Control
  - Countermeasure
  - Safeguard
  - Firewall 😊



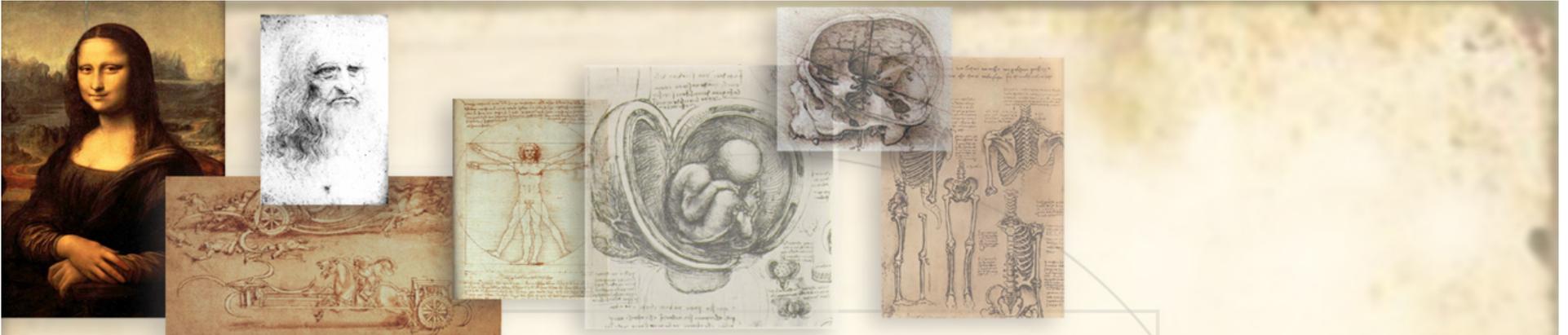
# Risk Treatment

- Beware Biases!
- Prioritize assessment results
- Look at cost + ease + effectiveness
- For example...



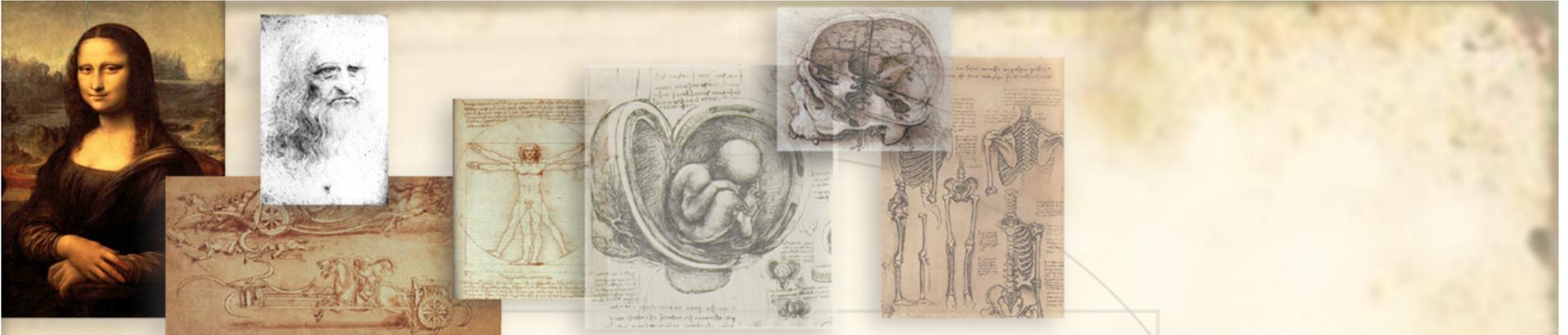
# Risk Treatment: Example 1

- Whiz-bang UTM...
  - Expensive, SPOF
  - May “solve” lots of problems
- What about open-source?
- Do you need everything?



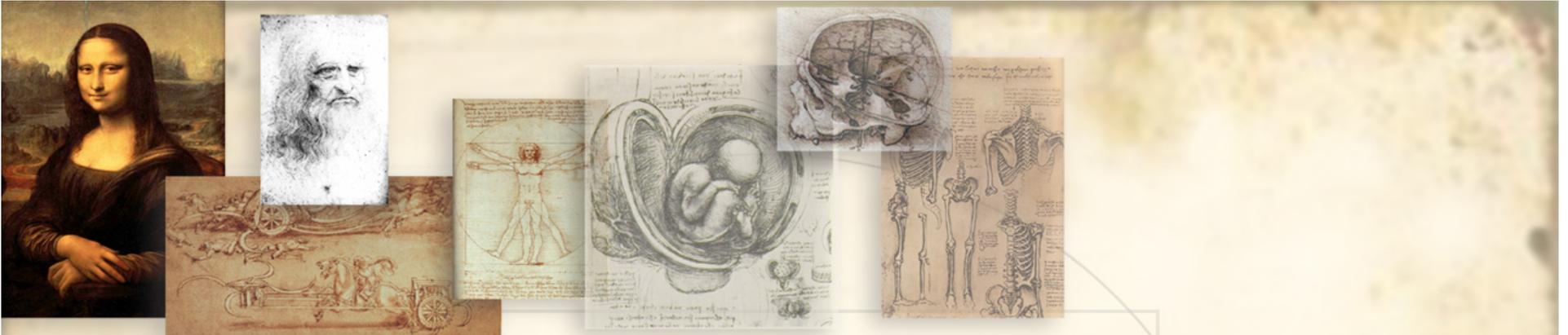
## Risk Treatment: Example 2

- Anti-virus...
  - Regs require
  - Not overly effective
  - Better than nothing?
  - Needed? (Mac vs Windows vs Linux)



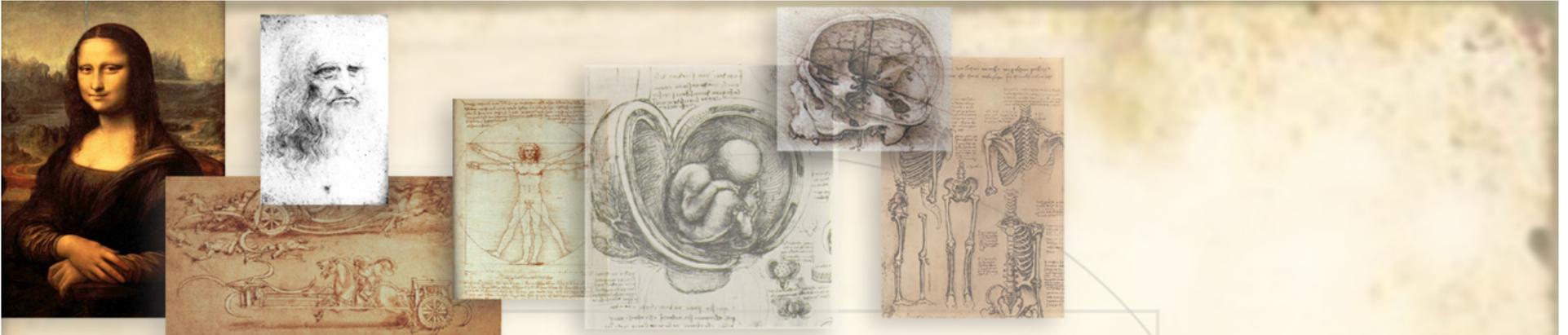
## Risk Treatment: Example 3

- Scans & penetration testing...
  - Do you know your environment?
  - Are you sure?
  - ACL drops can be deadly
  - Also consider red teams...



## Risk Treatment: Example 4

- Log management...
  - Commercial vs open-source
  - Major PITA oftentimes
  - Regs require
  - Potentially huge upside

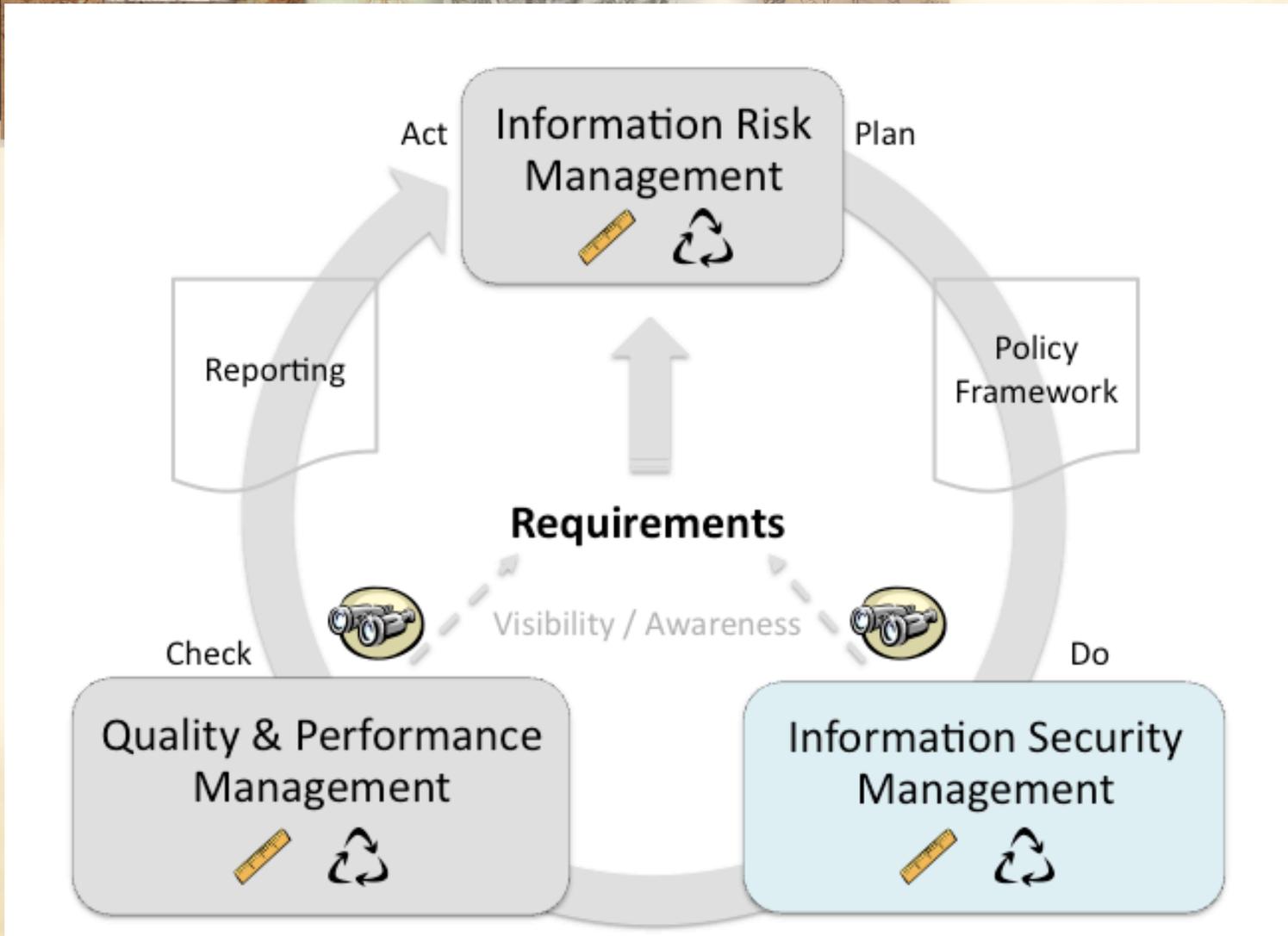
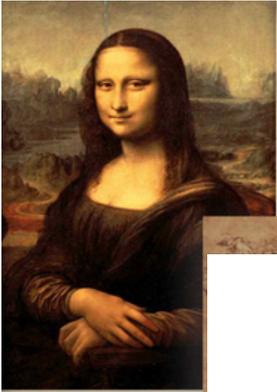


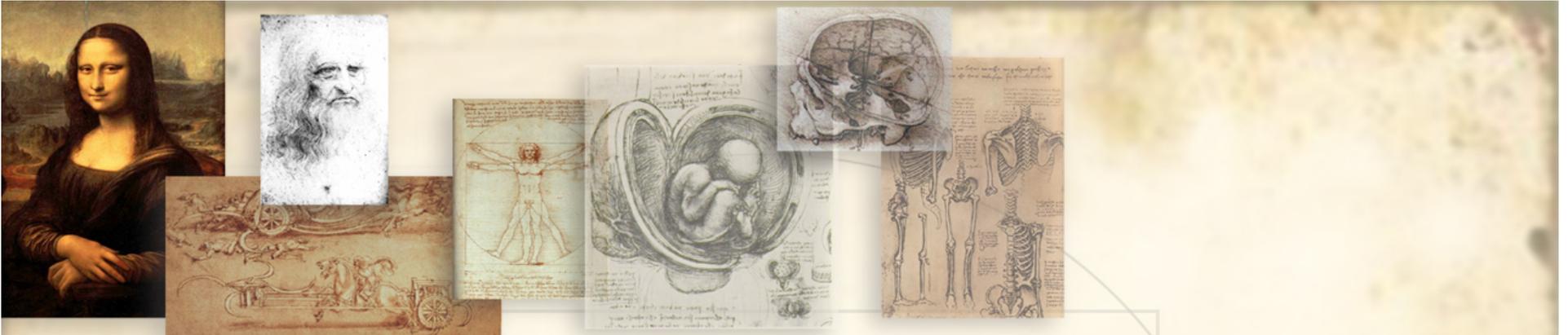
# Metrics and Measurement

- Will discuss more later, but...
- Good data & GIGO (as discussed)
- RM value directly correlates to data
- Goal: measuring progress/maturity



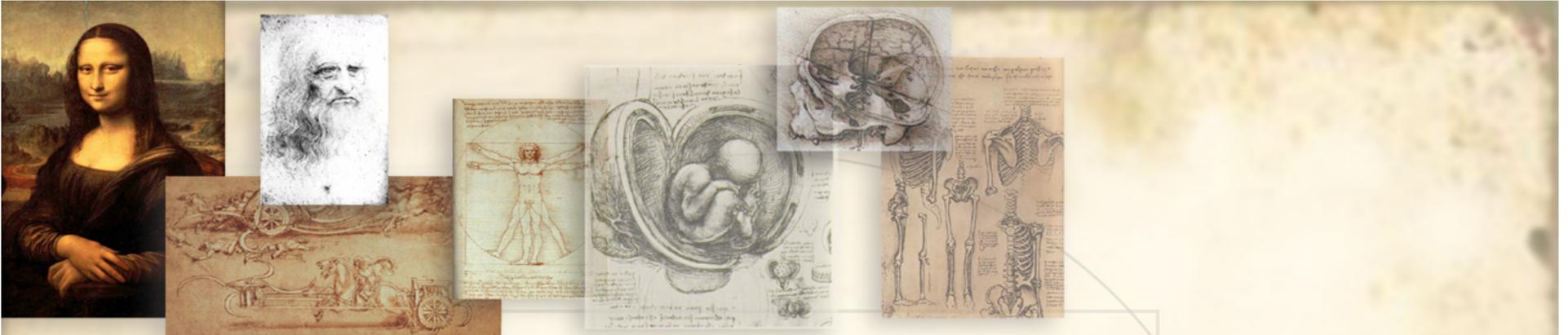
**SHORT BREAK!**





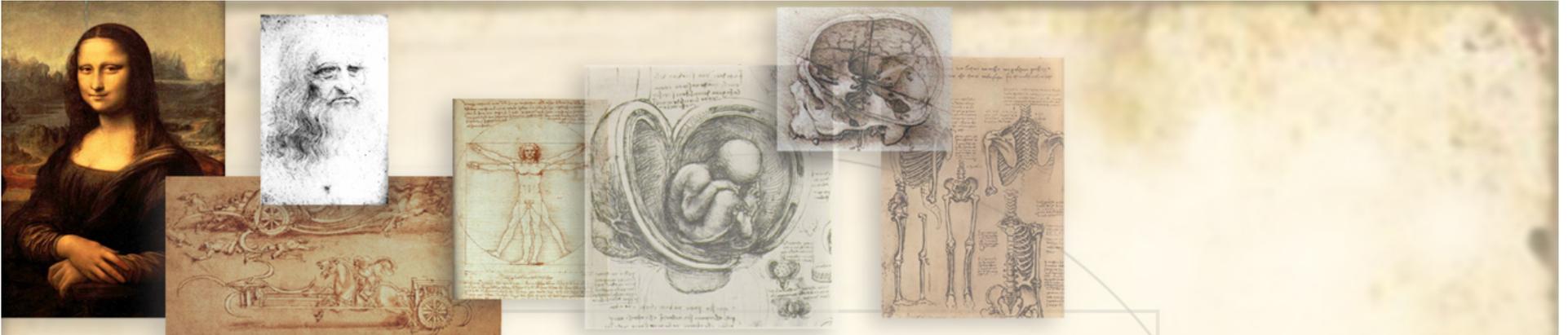
# Information Security Mgmt

- Approaches
- Building In Risk Tolerance
- Where the Rubber Meets the Road



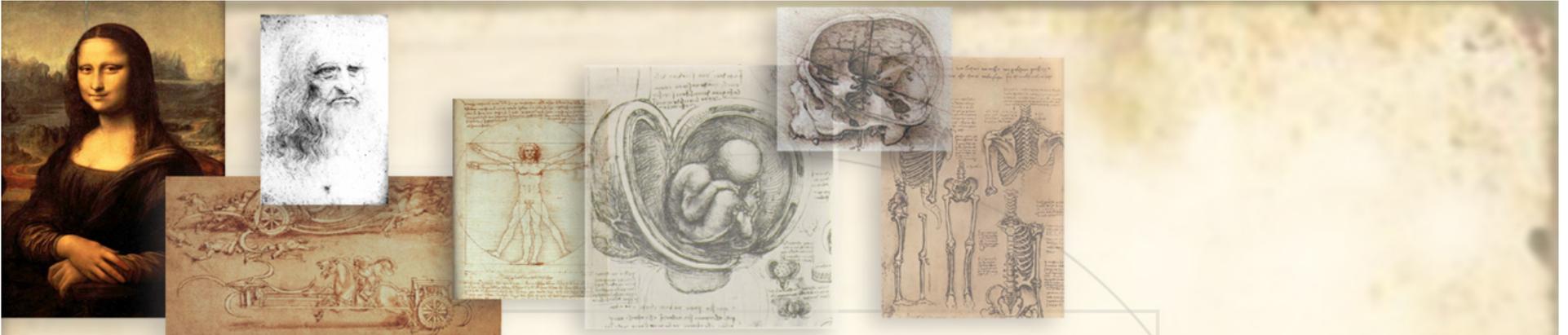
# Approaches to InfoSec Mgmt

- ISO/IEC 27001/27002
- ITIL v3
- Is it InfoSec or IT Mgmt?
  - Should there be a difference?
  - Does org. size matter?



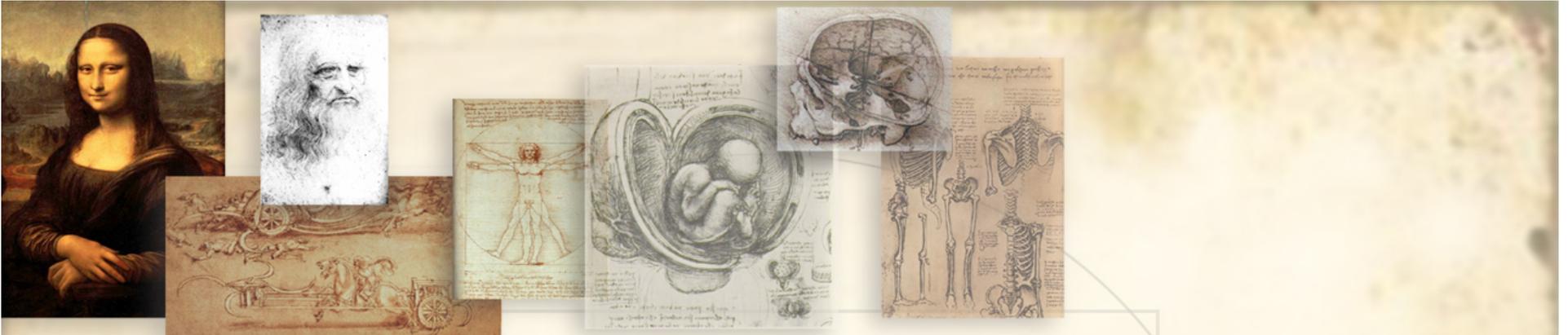
# Building In Risk Tolerance

- Defense in Depth
- Incident Response Management
- Not If, But When



## Defense in Depth

- Defensibility!
- Möbius Defense
- Jericho Forum
- Best-fit methods *for your organization!*



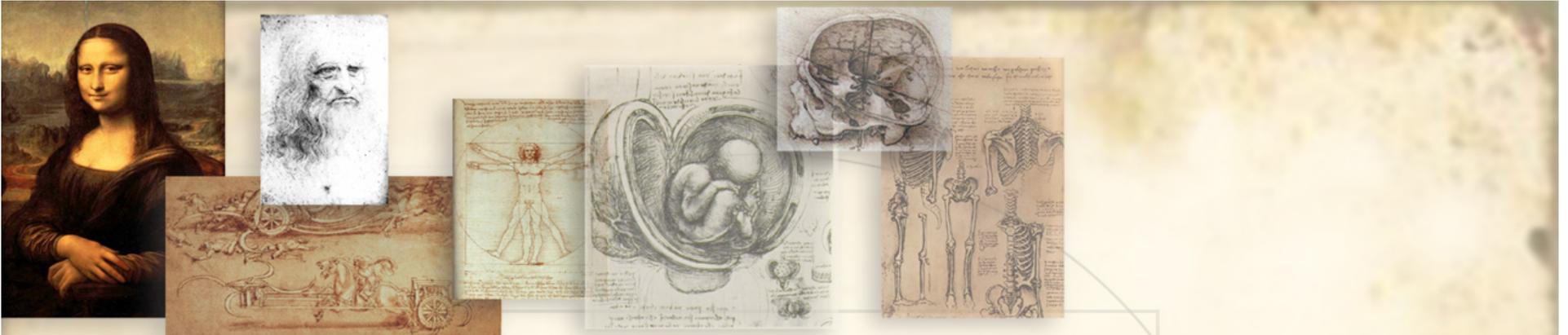
## Defense in Depth

- Really, compartmentalization
- And, logging & monitoring
- And, processes
- And, accountability for processes



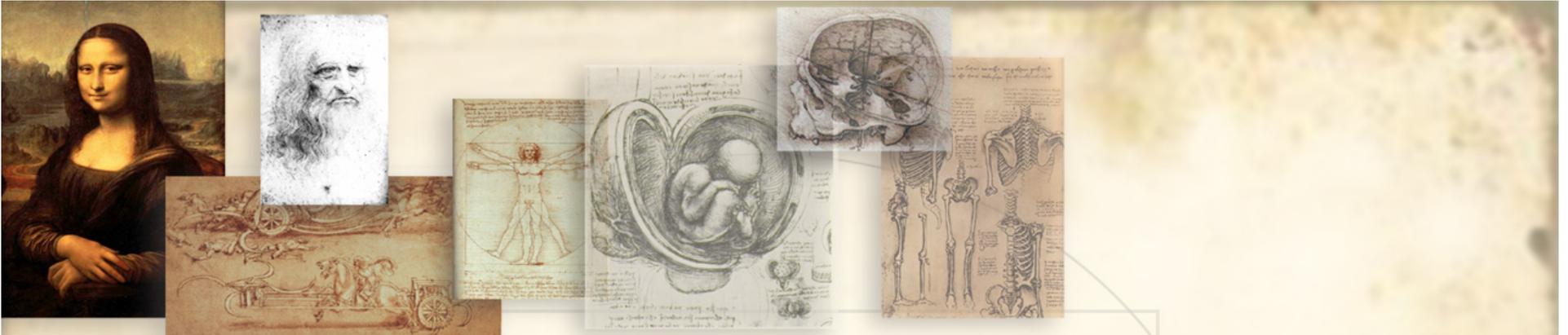
# Incident Response Mgmt

- Recoverability!
- Minimally:
  - Know who to call
  - Know what to do
  - Have BCP/DRP



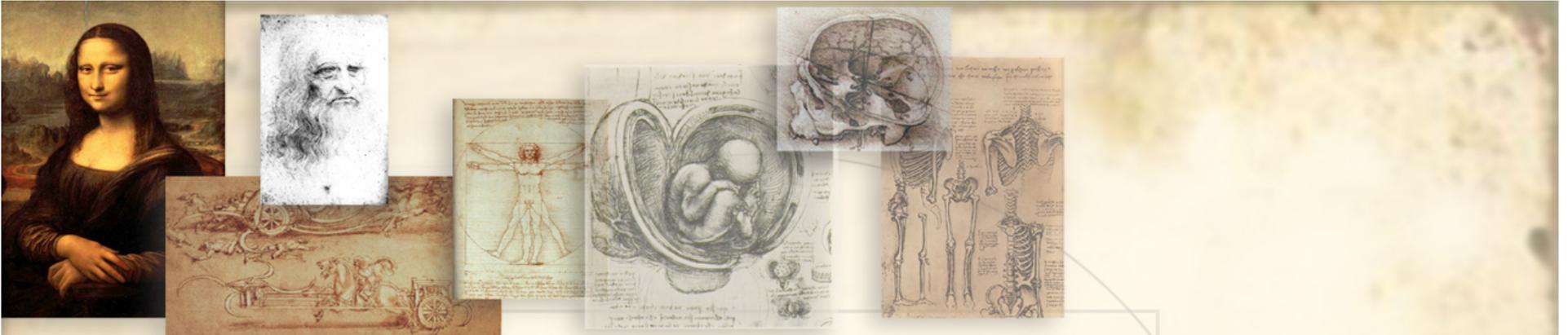
# Incident Response Mgmt

- Processes
- Documentation
- Classification
  - Type
  - Severity
- Contacts:
  - IRM Team
  - Mgmt / Execs
  - Law Enforcement
  - Card Brands
  - Etc.



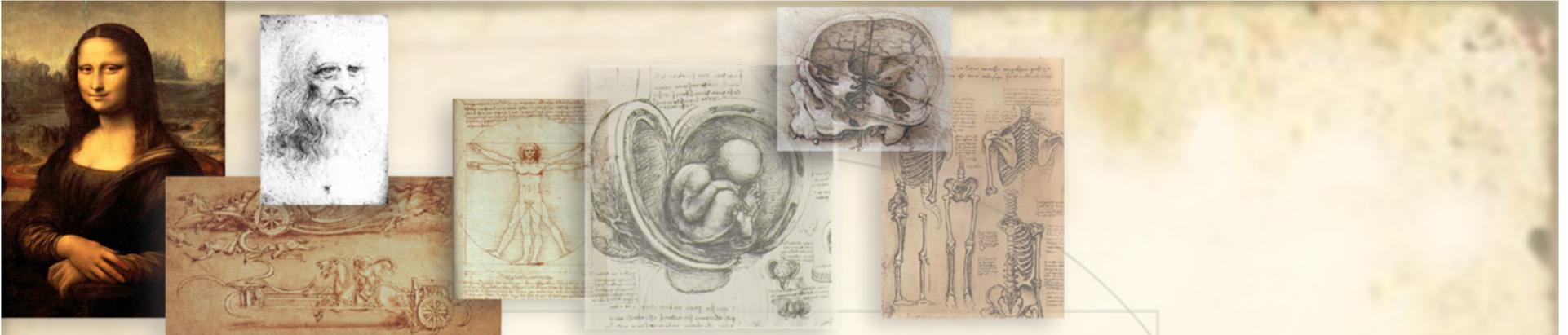
## Not If, But When

- You are being attacked already
- You will have an incident
  - You probably already have!
  - Incident != Compromise (necessarily)
- Track, adapt, win



# Training & Awareness

- Cheap!
- Effective!
- Responsibility!
- Accountability!
- Possible topics:
  - General
  - Processes
  - Suspicious behavior/activity
  - Notifications

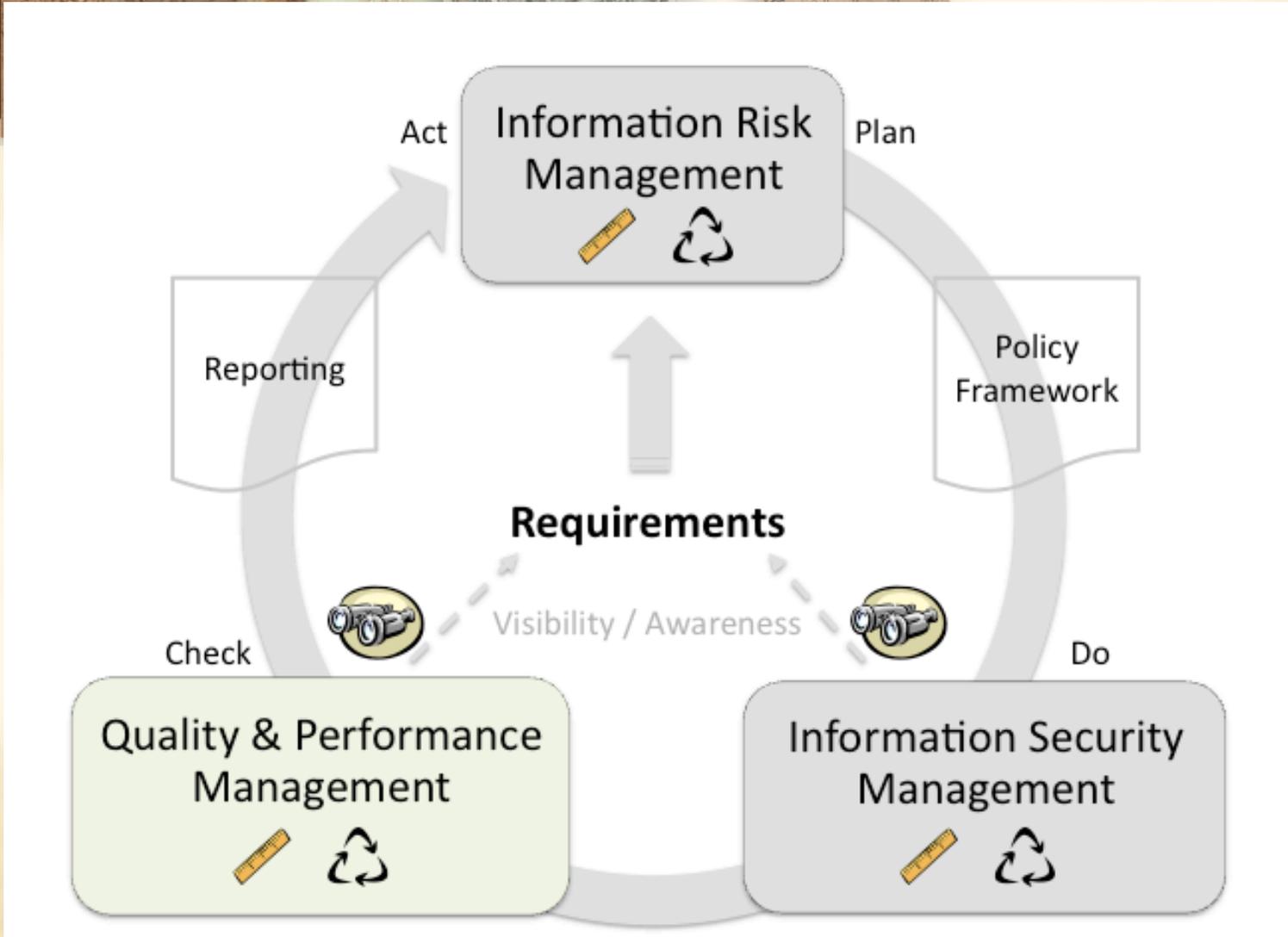


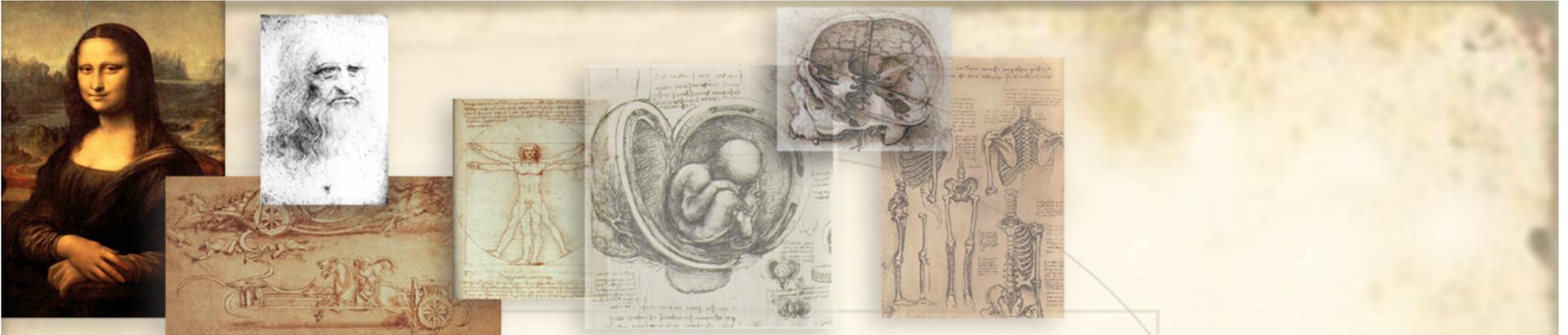
## Rubber Meets the Road

- Practical Advice:
  - You can't do everything at once
  - Need security at multiple levels
  - Don't underestimate the value of data
  - Scans, tests, logs = Visibility

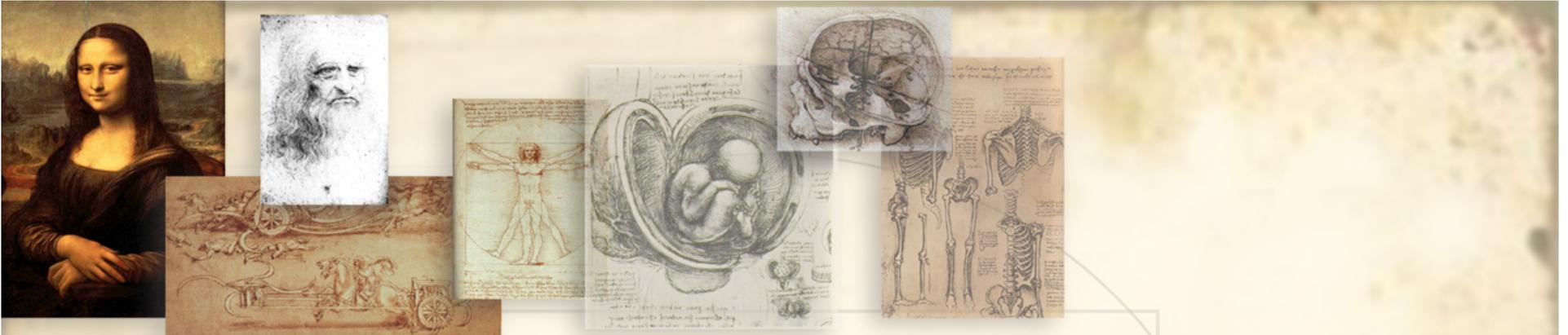


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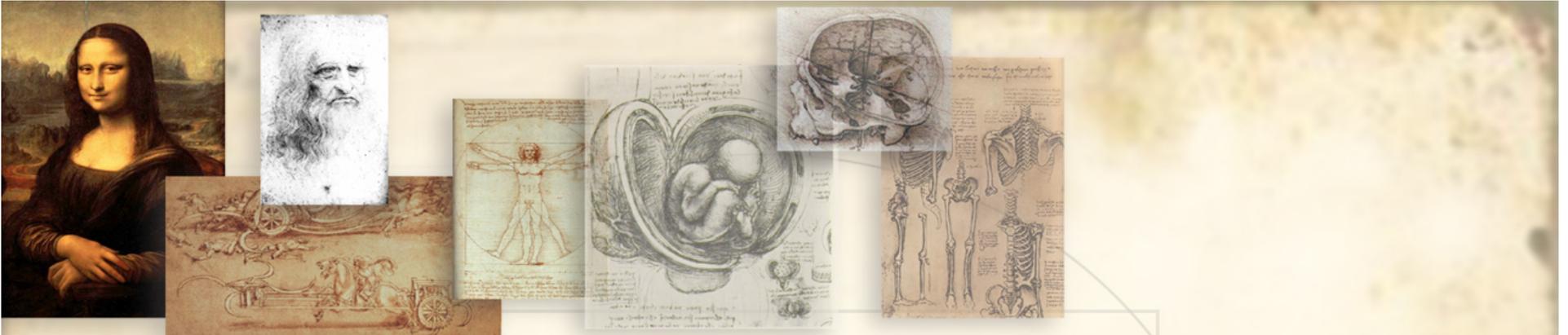




**SO, YOU DID STUFF, BUT...**

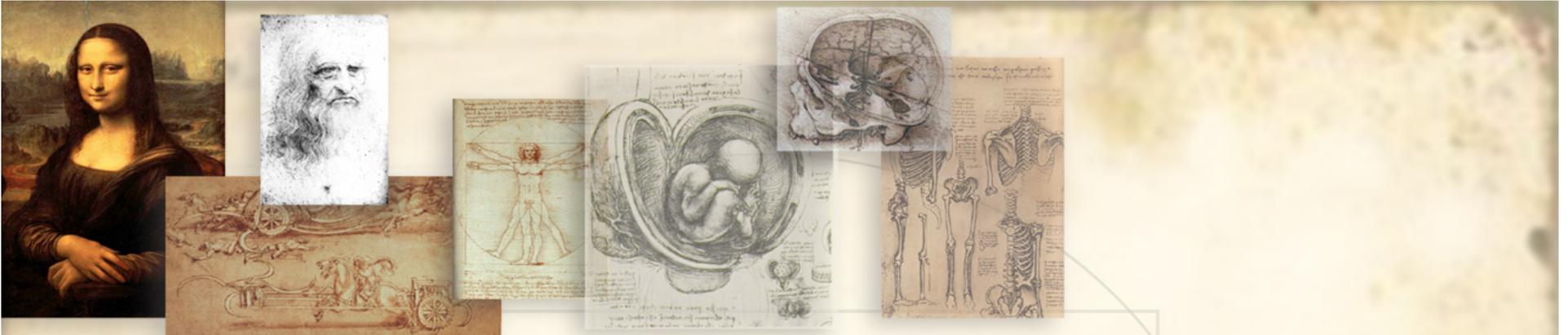


**WAS IT USEFUL STUFF?**



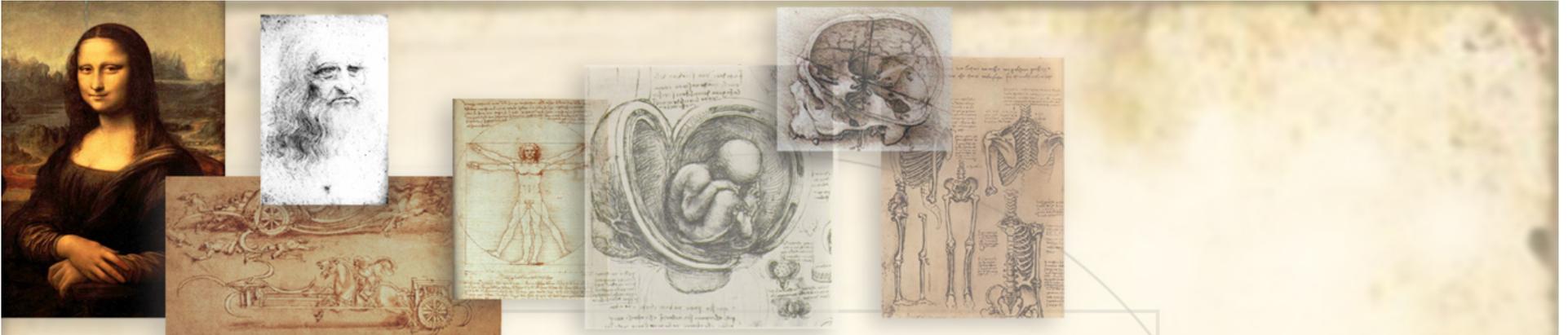
# Quality & Performance Mgmt

- ROI/ROSI
- Audit & Compliance
- Security Testing
- Metrics & Measurement
- The Importance of Time



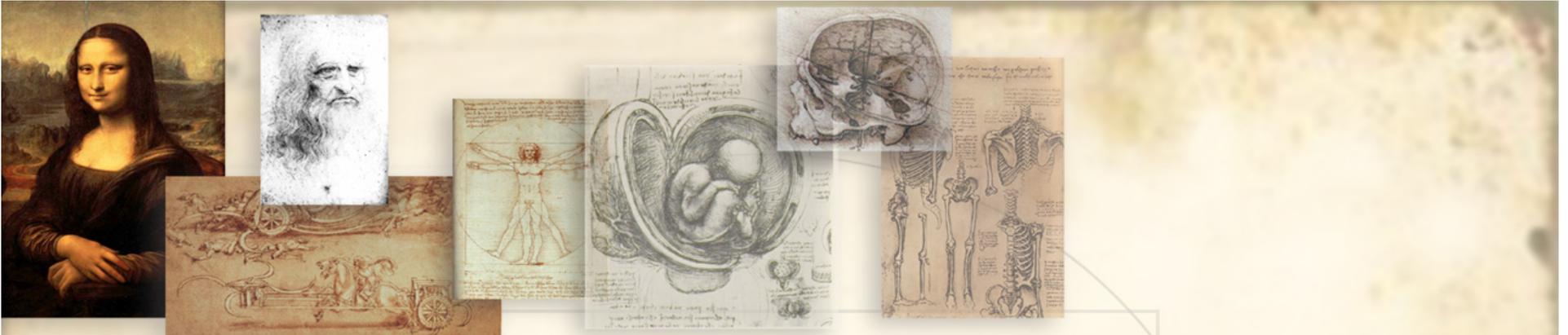
## ROI/ROSI

- Return on (Security) Investment
- Cost-Benefit vs Cost-Effectiveness
- Is any of this even valid?
- How do you prove a negative?



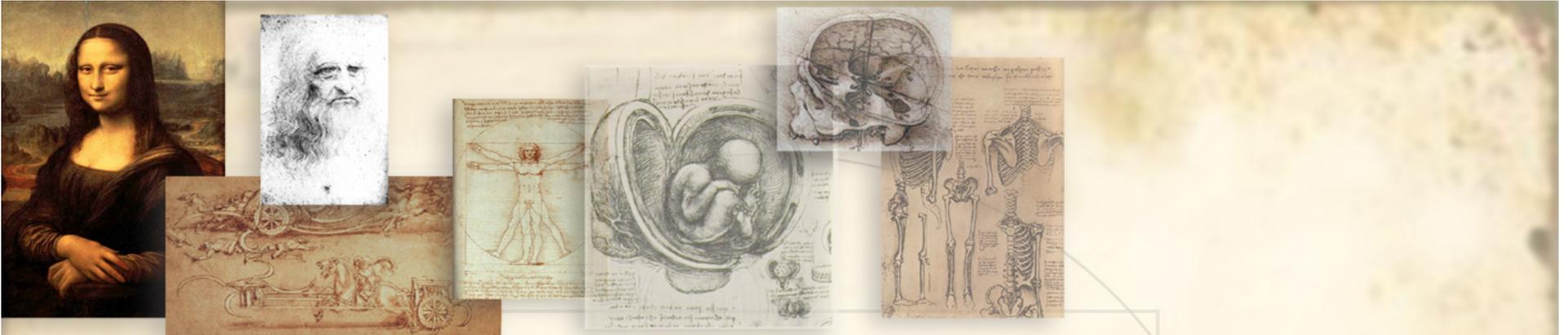
# Audit & Compliance

- Is audit important?
- Can you use COBIT?
- Which came first, the audit or auditor?
- Scoping, Checklists, and Other Myths



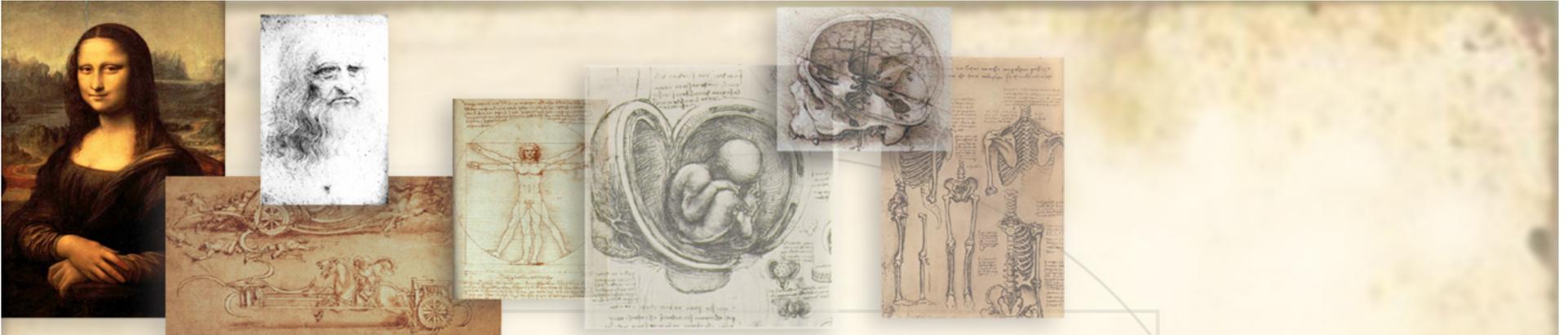
## Is Audit Important?

- Audit is important, within it's role...
- 3<sup>rd</sup> party attestation is here to stay...
- Don't settle for checklists...
- **You** make the experience valuable



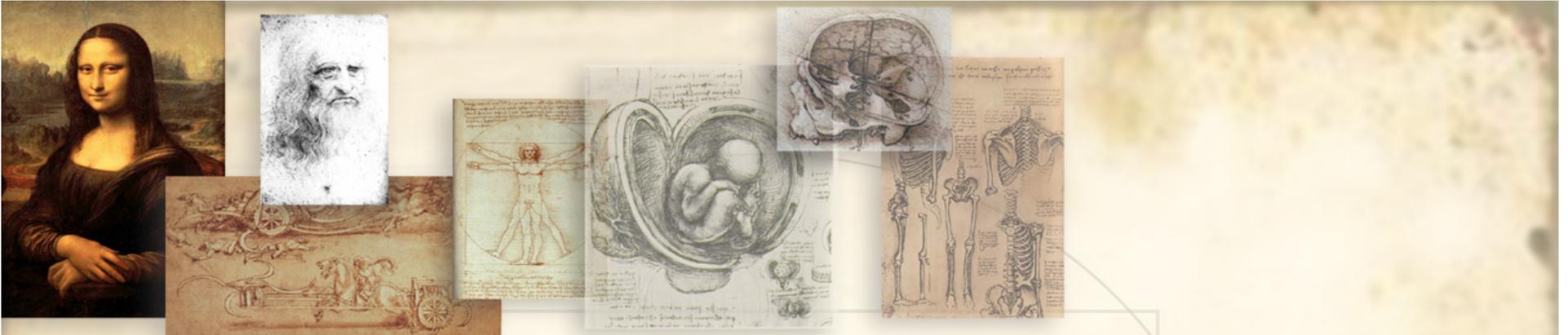
## Can You Use COBIT?

- Yes, of course...
- Is there value?
- Does it help your org.?
  - Or, does it just help your auditor?
    - ...with profitability?



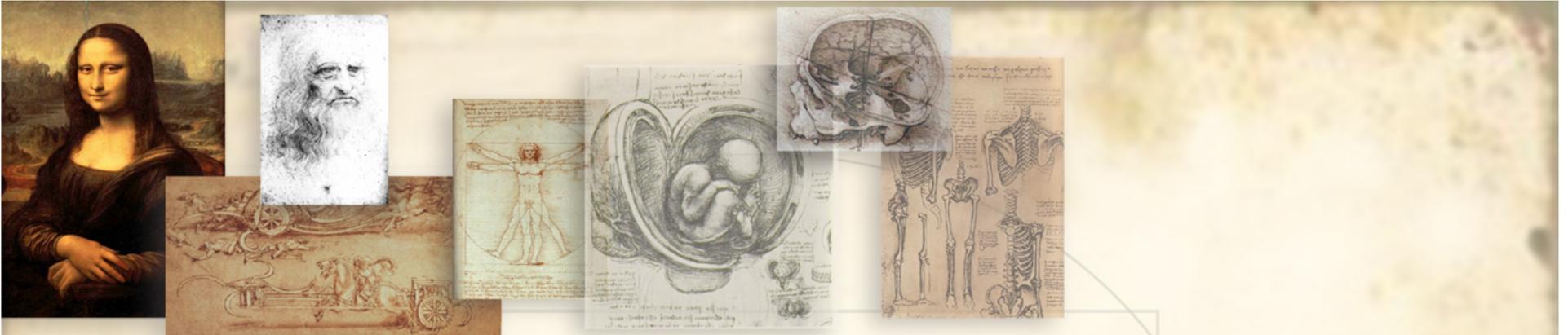
## Which Came First...

- ...the audit or the auditor?
- Make sure your auditor knows who's in charge.
- Make sure you own the audit scope.
- Be flexible.



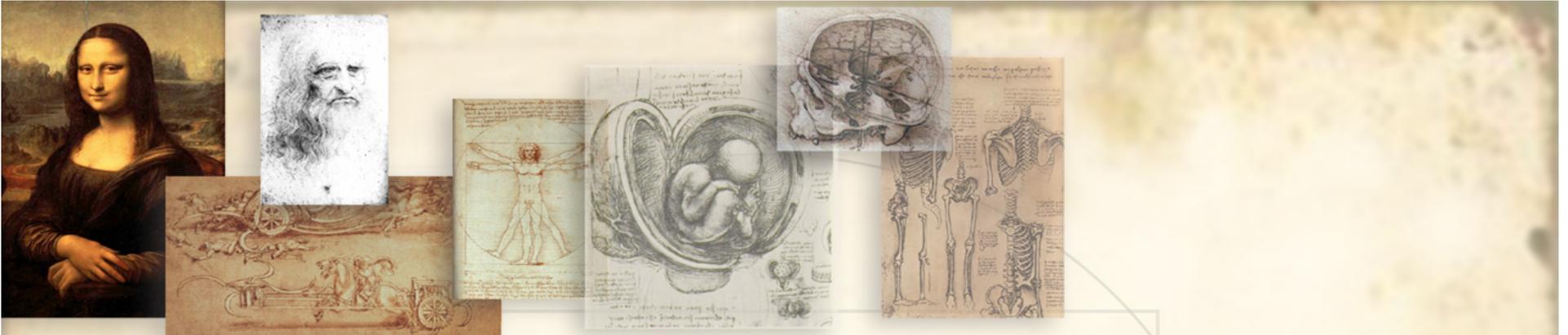
## Scoping, Checklists, Myths

- Audits live and die by scope.
- Audits often use checklists.
- Insist on experienced auditors.
  - Not just “lead” auditors – all/most of them!



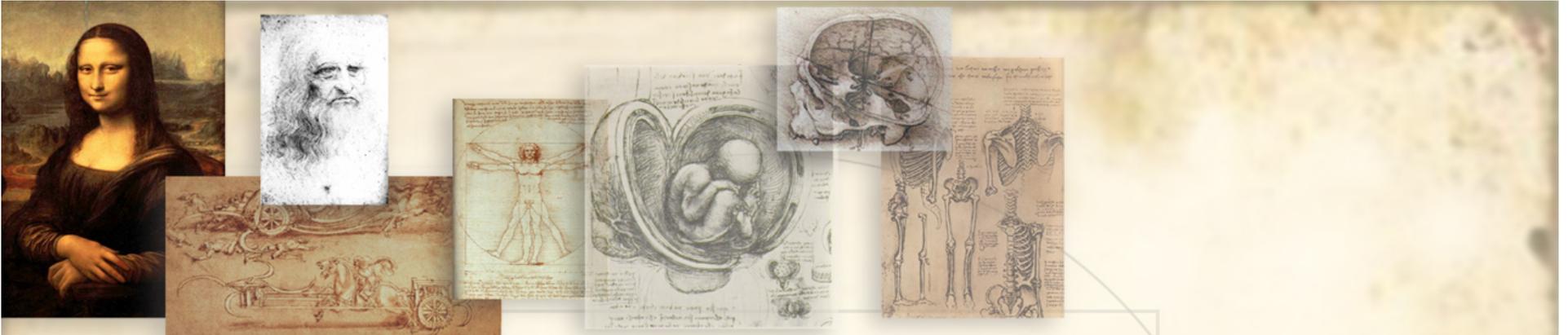
# Scoping, Checklists, Myths

- Myths:
  - auditor sets the scope
  - auditor can tell you what to do
  - auditor knows best
  - auditor knows your business



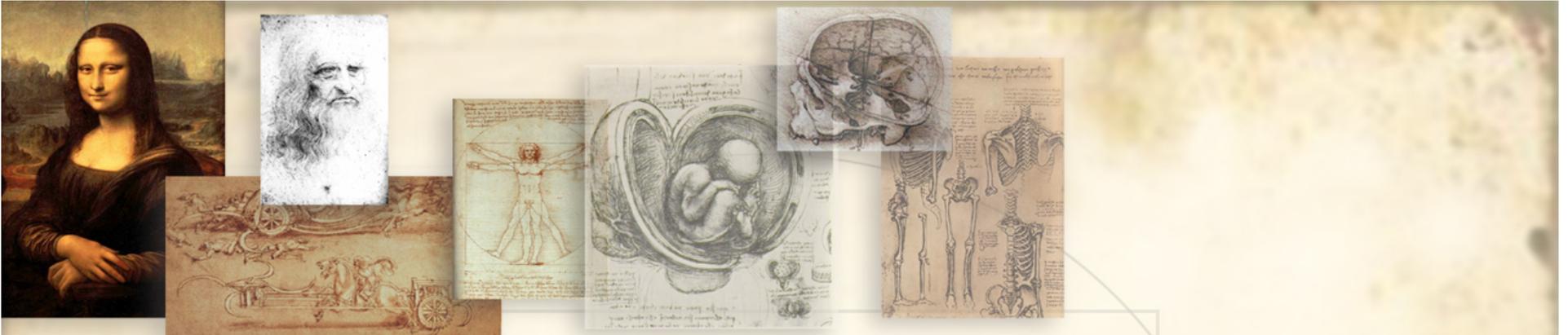
## **Bonus: Marketing Hype**

- GRC: Governance, Risk, Compliance
  - Doesn't even make sense...
  - Used to sell products you may not need!
- FUD: Fear, Uncertainty, Doubt
  - Common sales technique (in politics, too)



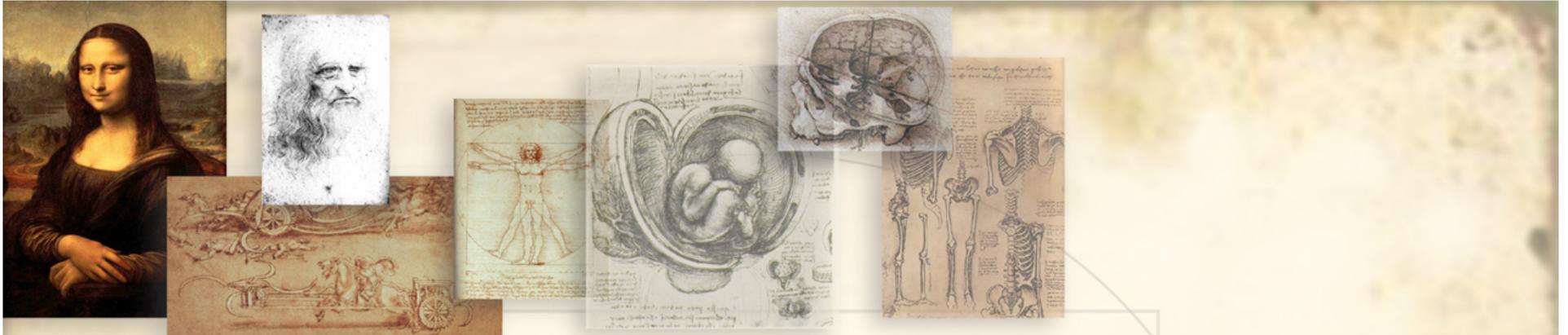
# Security Testing

- Penetration Testing
- Code Review/Assessment
- Application Security Testing
- Red Teams
- High-Level Reviews



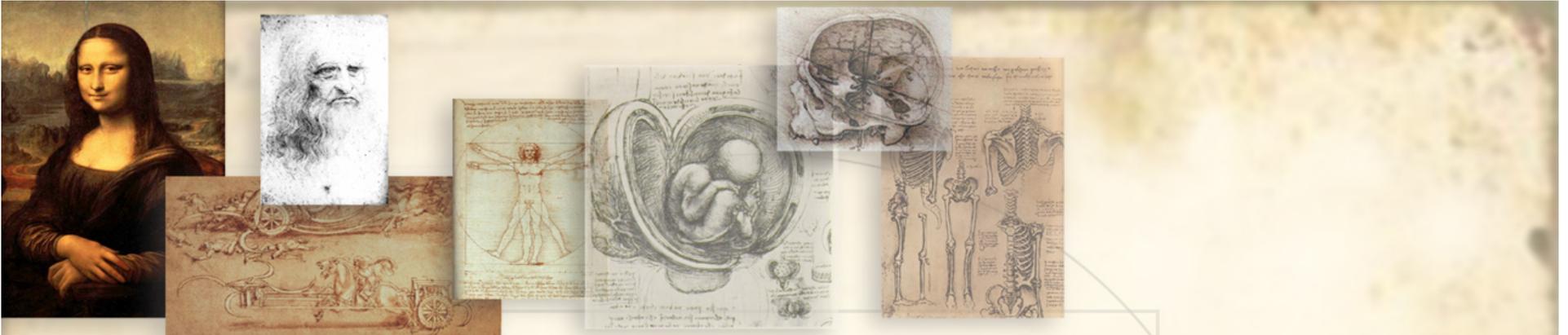
# Penetration Testing

- Networks and/or systems
- Some regs. require
- Given time, there will be findings
- Anything can be hacked OR broken



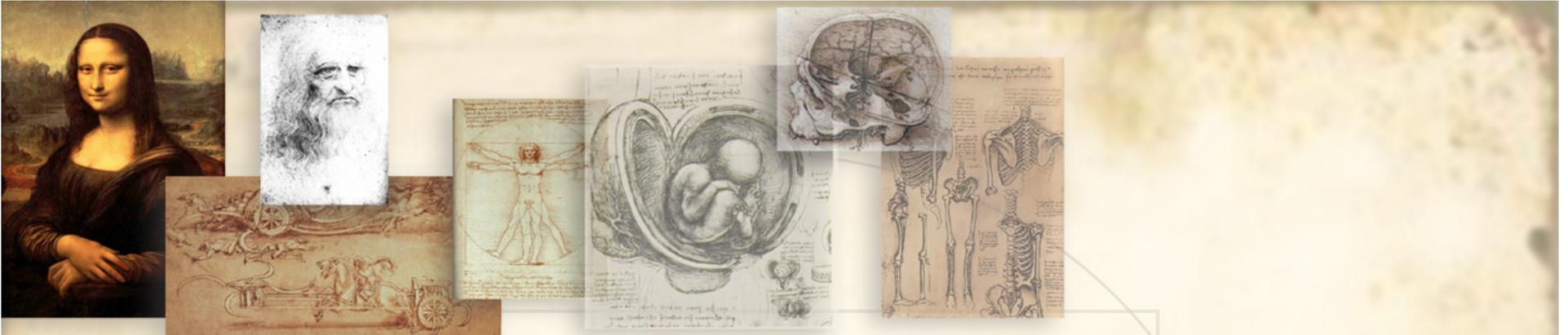
# Penetration Testing

- NOT a Nessus scan
- NOT an audit (no checklists)
- NOT a policy review
- NOT a risk assessment
- NOT an ASV scan



# Code Review/Assessment

- Dynamic vs Static
- Static:
  - Tools-oriented
  - Time-consuming
  - Often expensive



# Application Security Testing

- A type of pen-testing & code review
- Focuses on apps, is dynamic
- XSS, CSRF, SQLi
- OWASP!!!



**Open  
Web  
Application  
Security  
Project**

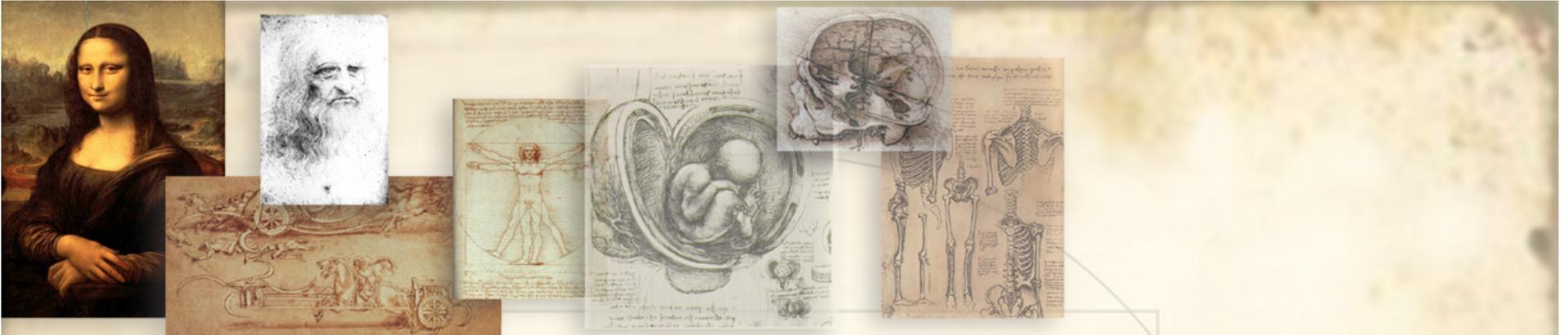
Top  
10  
List  
2007

“No OWASP  
in Montana?!”



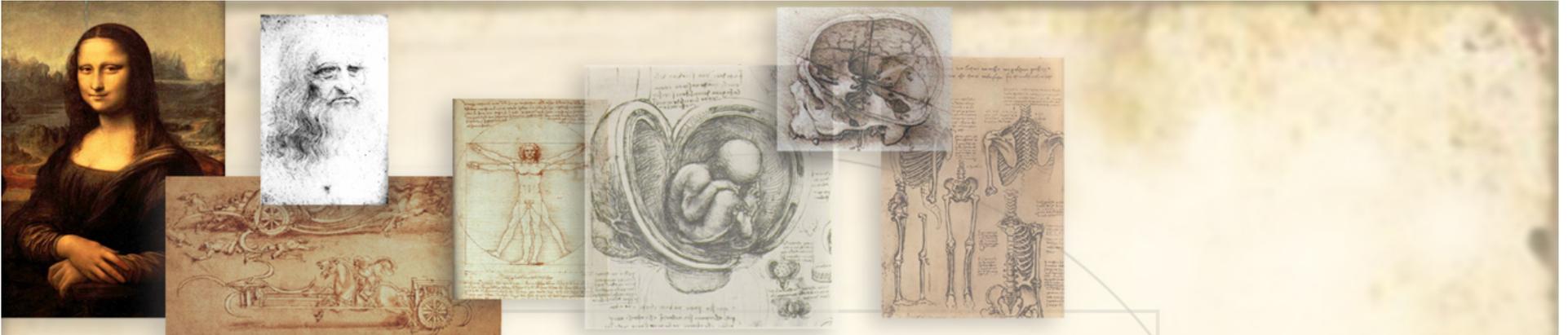
A1 - Cross Site Scripting (XSS)	XSS flaws occur in the victim's browser
A2 - Injection Flaws	Injection flaws, such as SQL, allow a malicious attacker to trick an application into performing actions that were not intended by the application developer.
A3 - Malicious File Execution	Code vulnerable to malicious file execution can affect PHP, XML, and other languages.
A4 - Insecure Direct Object Reference	A direct object reference allows an attacker to bypass application security and access data that is not intended for them. Attackers can modify or delete data.
A5 - Cross Site Request Forgery (CSRF)	A CSRF attack is performed by an attacker who masquerades as a legitimate user, performing actions on behalf of other users on a trusted website without their consent. The benefit of the attack is either the transfer of money, the acquisition of information, an unauthorized action, or the modification of data.
A6 - Information Leakage and Improper Error Handling	Applications can leak sensitive data, such as passwords, through error handling.
A7 - Broken Authentication and Session Management	Account credentials are not properly protected, authentication sessions are not properly managed, or both.
A8 - Insecure Cryptographic Storage	Web application data is not properly encrypted or stored in a secure manner, leading to fraud.
A9 - Insecure Communications	Applications frequently use insecure communications channels.
A10 - Failure to Restrict URL Access	Frequently, an application does not restrict access to unauthorized operations.

Source: [http://www.owasp.org/index.php/Top\\_10\\_2007](http://www.owasp.org/index.php/Top_10_2007)



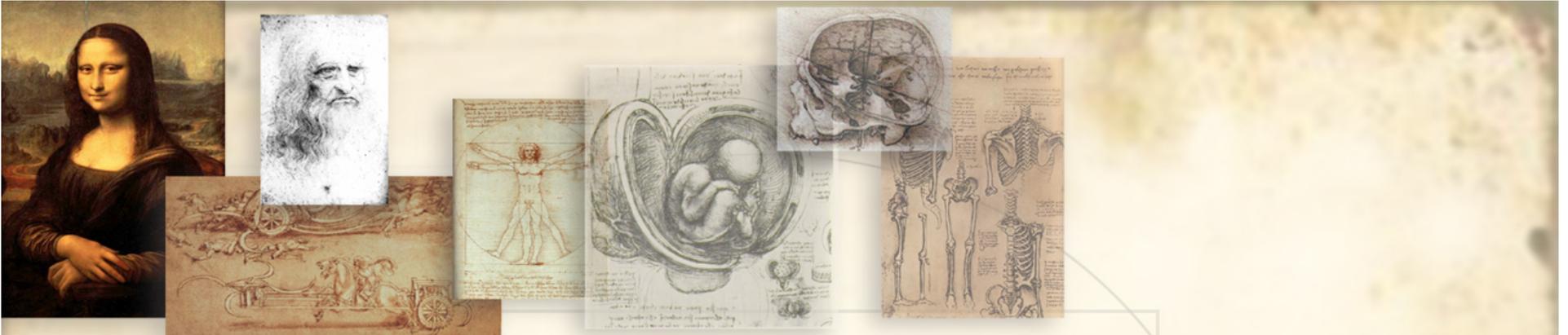
## Red Teams

- Threat modeling
- Social engineering most common
- ISATRP methodology next year



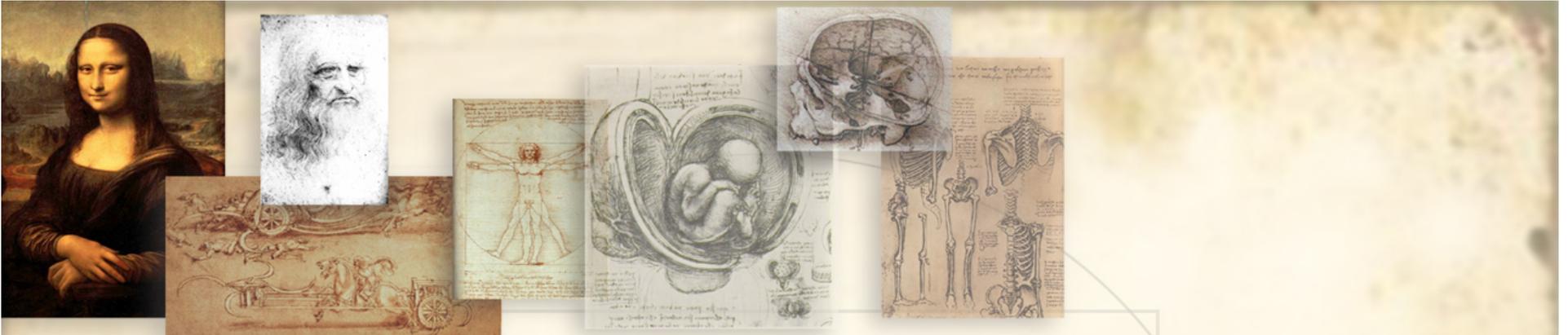
# High-Level Reviews

- Risk assessments
- Design reviews
- Generally not technical hands-on
- More “builder” than “breaker”



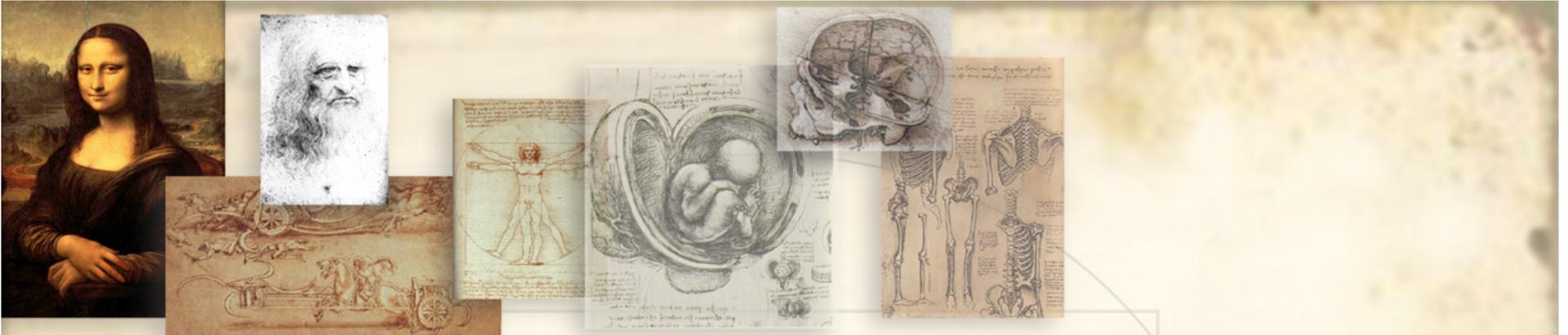
# The Importance of Context

- Not all findings are equal
- Hard to network “root” an offline box
- Findings *must* be put into biz terms
- “Tell me why this is important *to me*.”



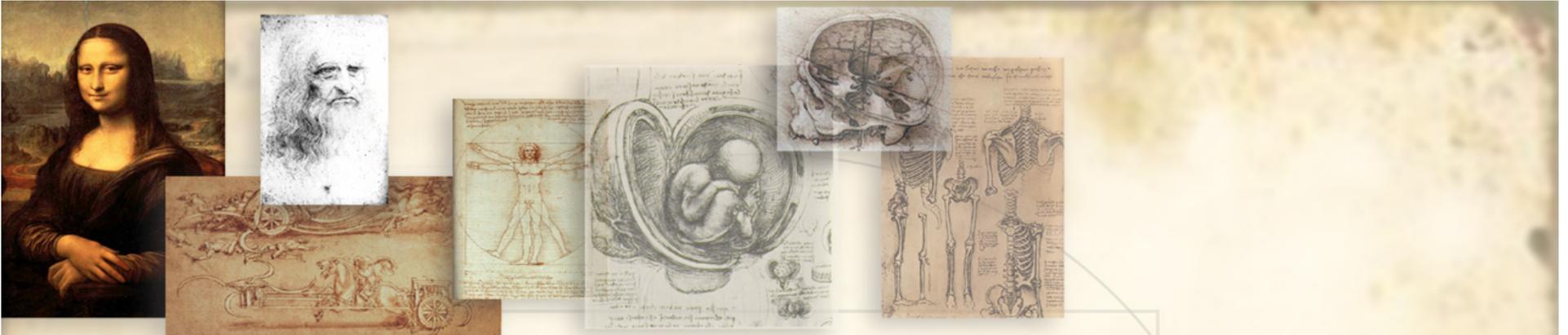
# Metrics & Measurements

- The holy grail of assurance mgmt!
- How do you know if you're improving?
- Bayesian vs. frequentist statistics
- Trending and analysis
- GIGO (again)



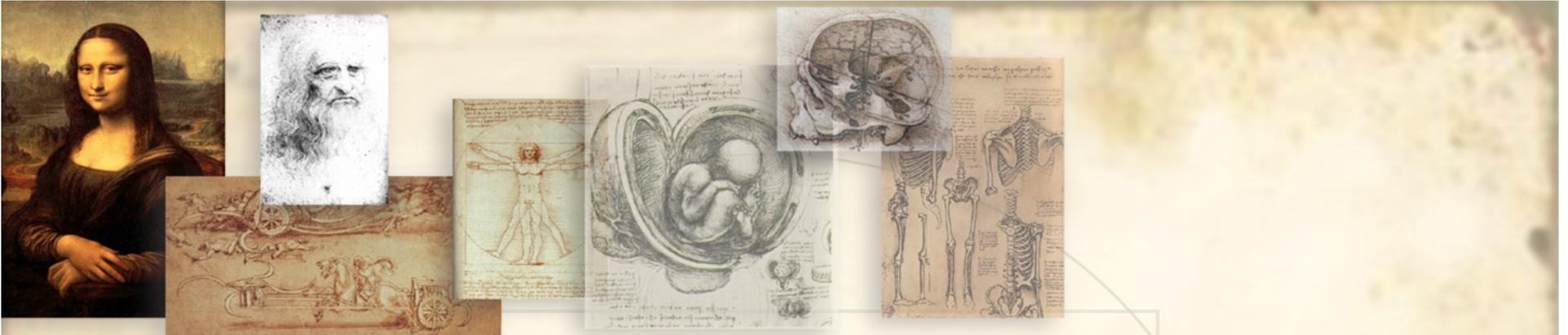
# Metrics & Measurements

- Resources:
  - CIS Security Metrics
  - SecurityMetrics.org / MetriCon
  - NIST SP 800-55



# The Importance of Time

- Necessary for incident response
- Necessary for litigation support
- Required by some regs.
- How reliable is your time source?



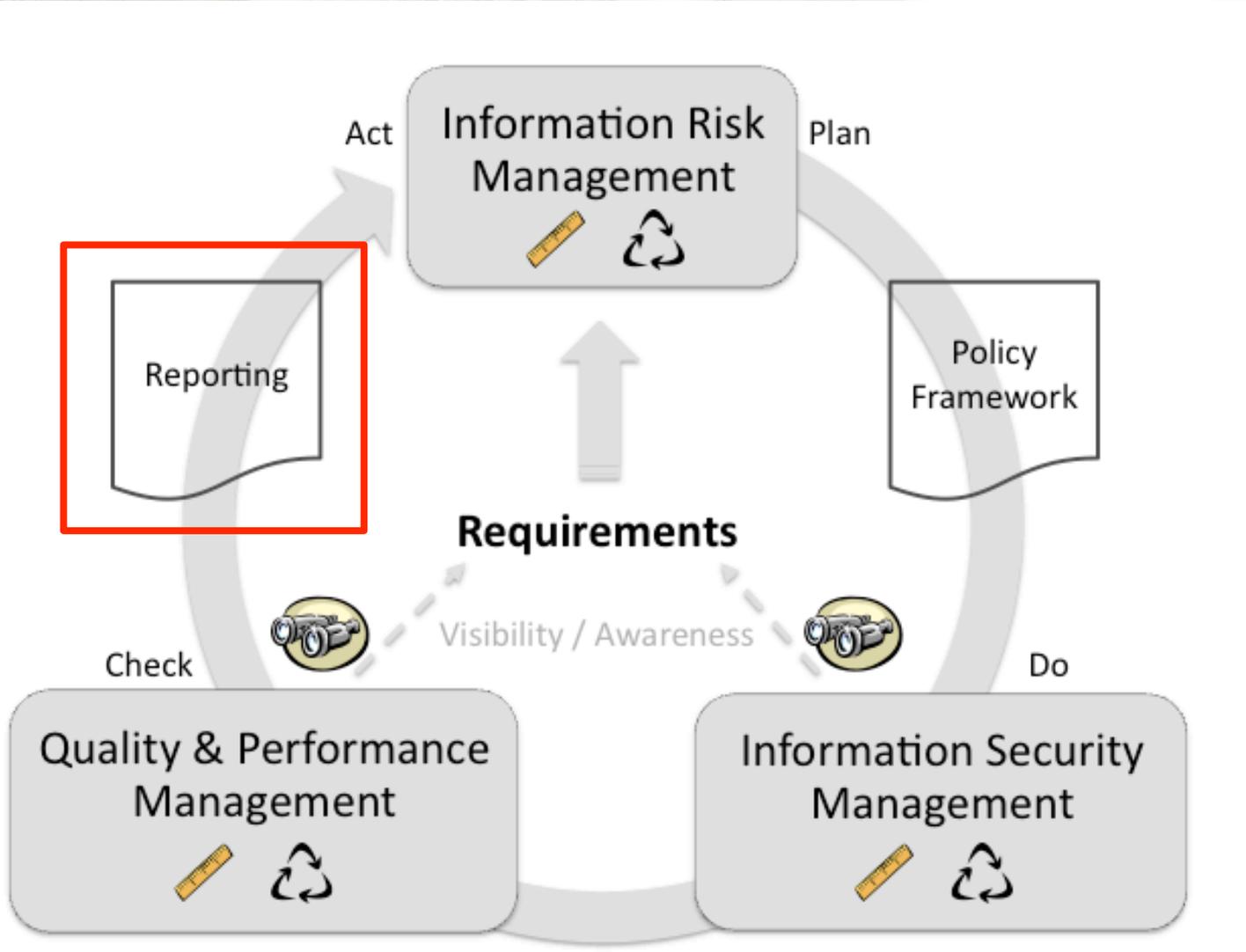
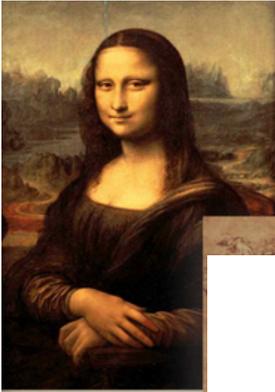
# The Importance of Time

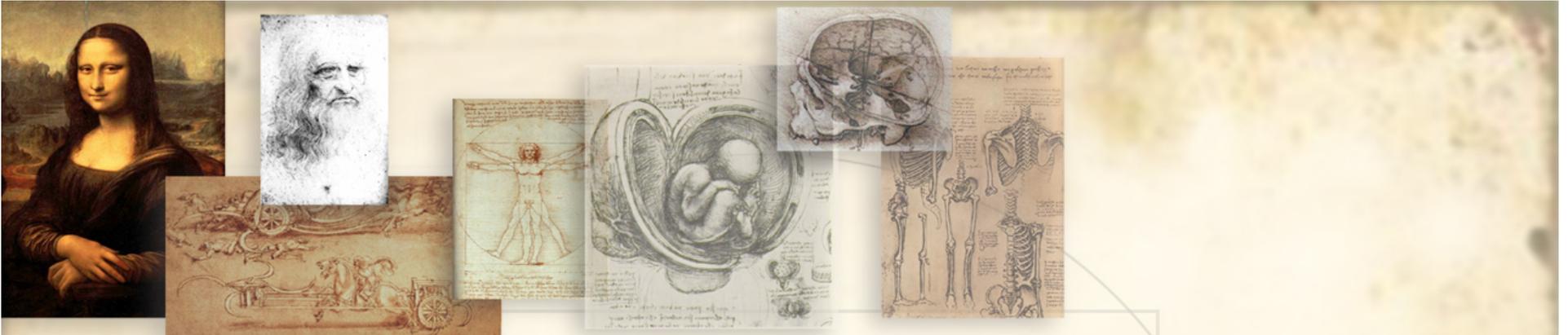
- Best Practice:
  - 2-3 time servers within your org.
  - Servers sync off known good source
  - Everything else syncs of your servers
- See Certichron ([certichron.com](http://certichron.com))...



# Reporting!

- If you'll recall...



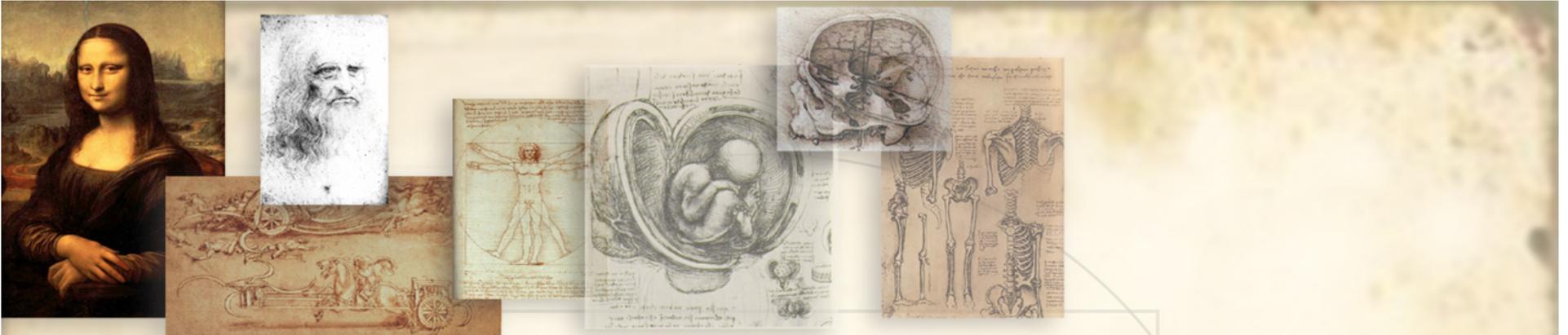


# Reporting

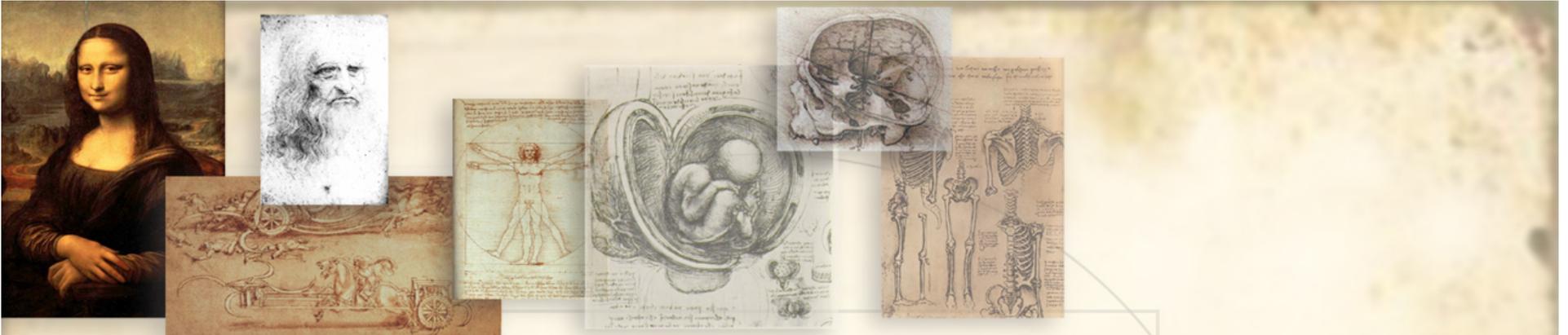
- Continuous Improvement
- Maturity and Adaptation
- Ties to Defensibility & Recoverability



**SHORT BREAK!**

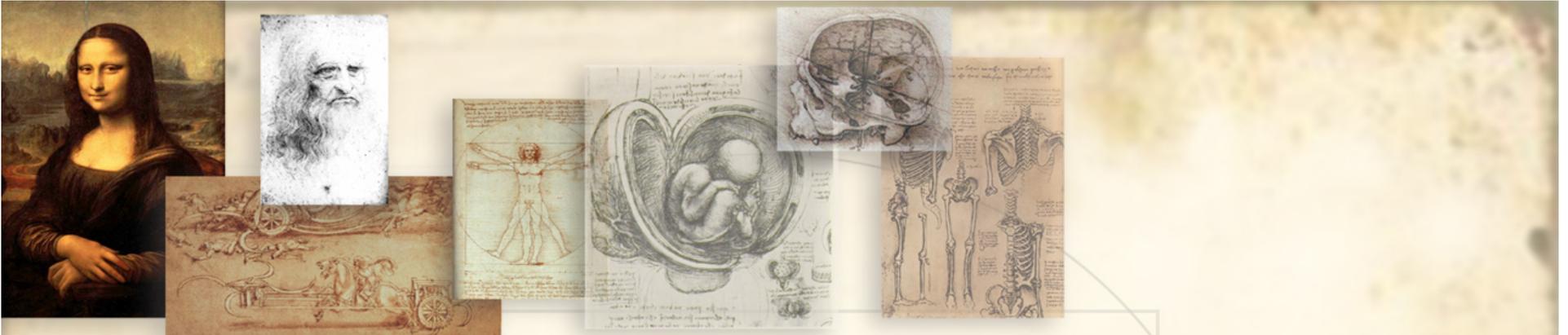


**IN THE HOME STRETCH...**



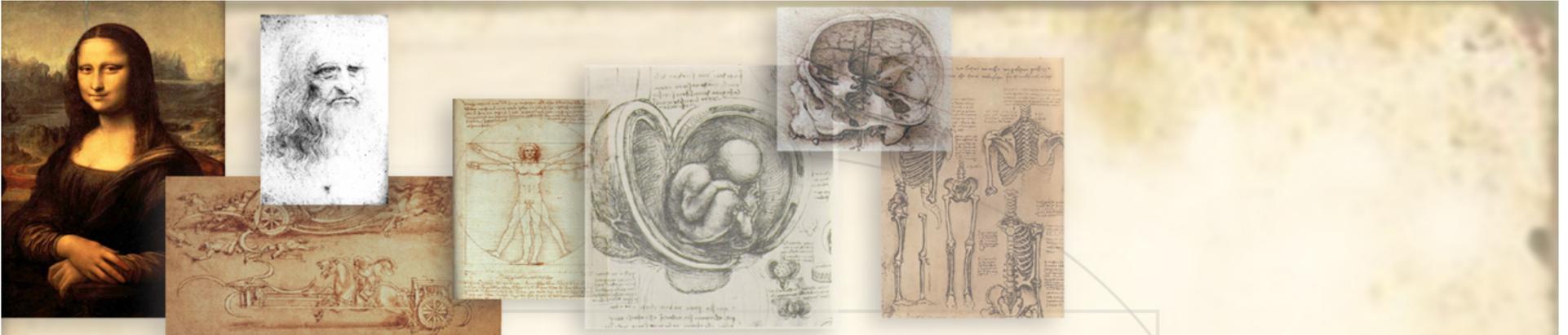
## Putting It All Together

- The Big Picture
- How TEAM Leads to Survivability
- Next Steps



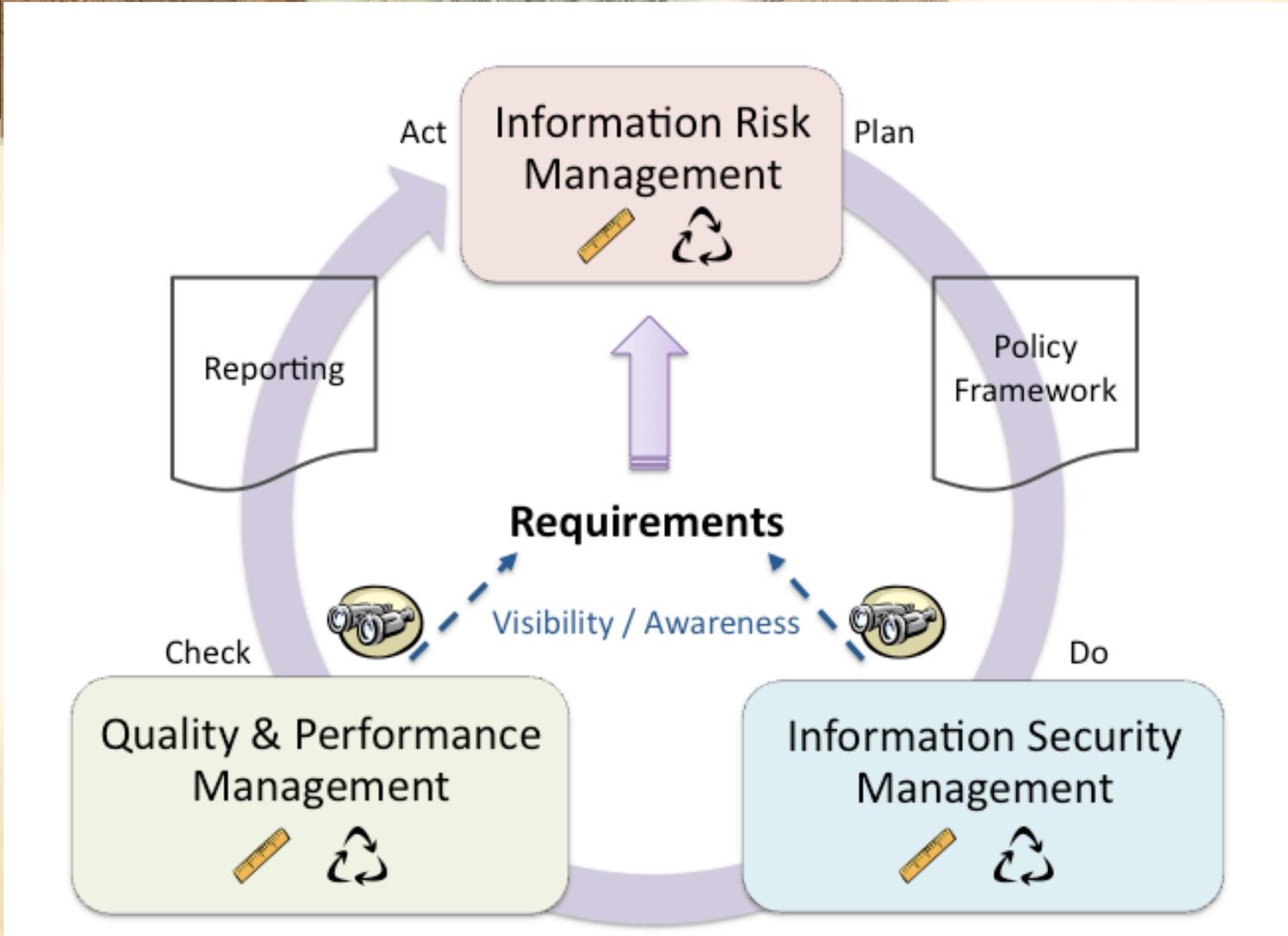
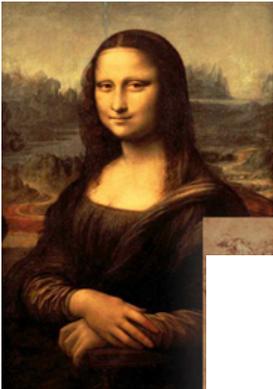
# The Big Picture

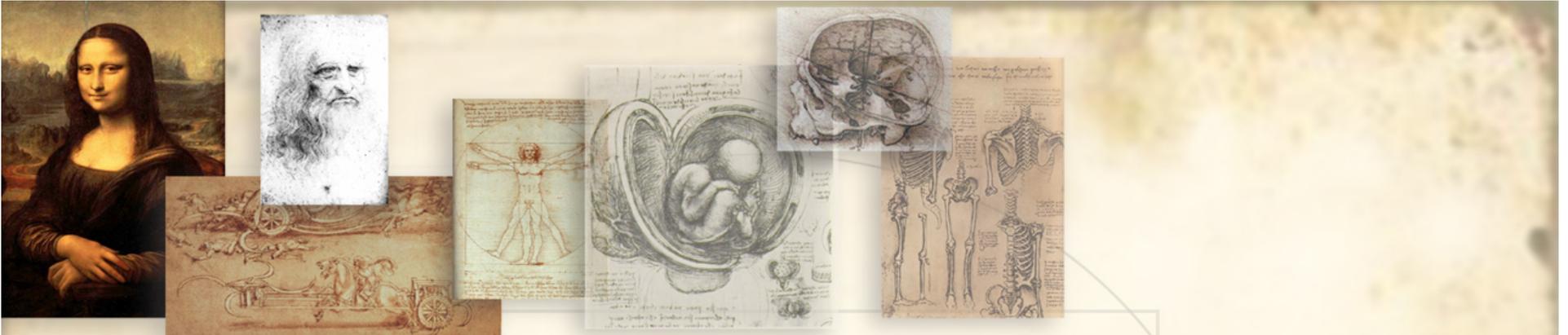
- We've covered:
  - Survivability
    - Defensibility & Recoverability
  - Policy Framework
  - The TEAM Model



# The Big Picture

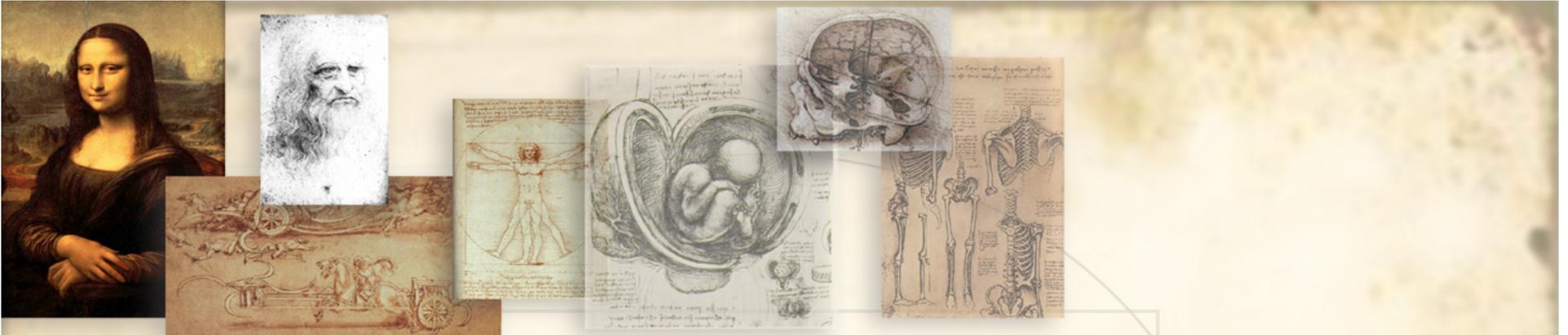
- Goals:
  - Visibility & Transparency
  - Defensibility & Recoverability
  - Quality Data for Quality Decisions
  - Proper Risk Management





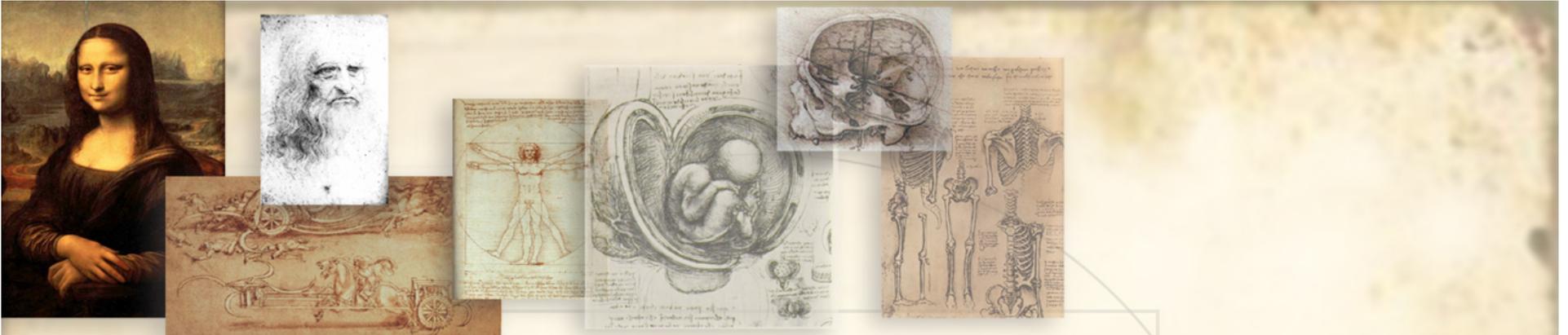
## **TEAM → Survivability**

- TEAM is a reference model
- It allows for orgs to harmonize “best-fit” approaches
- TEAM provides the framework for due diligence and reasonable care



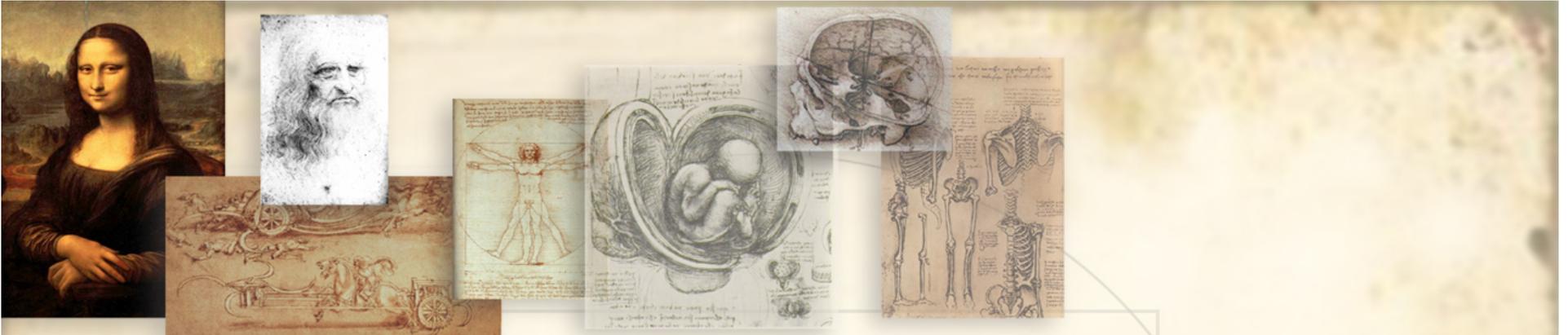
## **TEAM → Survivability**

- Survivability is the goal of TEAM
- Objective is a/an (oftentimes legally) defensible position
- Recoverability means operating despite degradation



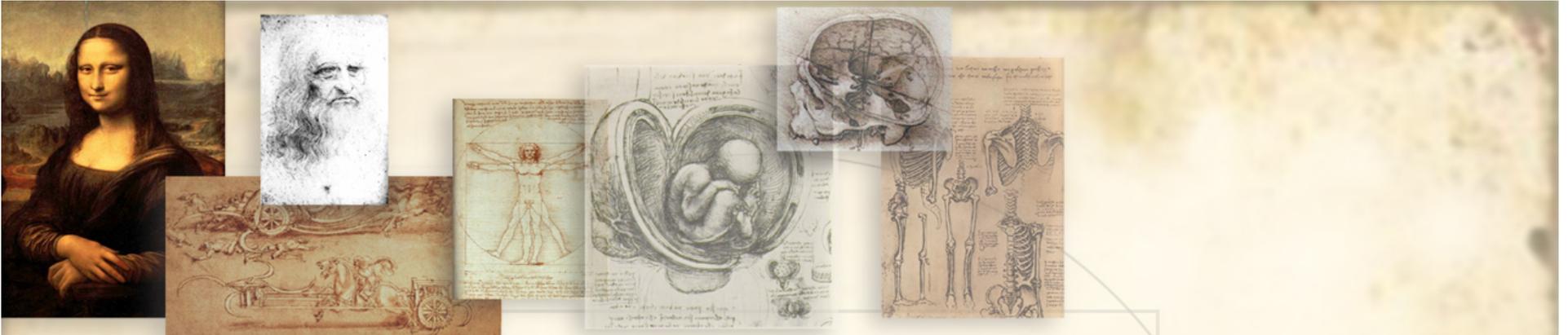
## **TEAM → Survivability**

- Do you have to use this approach?
  - Obviously, no.
- Are there alternative models today?
  - None I'm aware of.
- Mainstream vs Emerging Theory



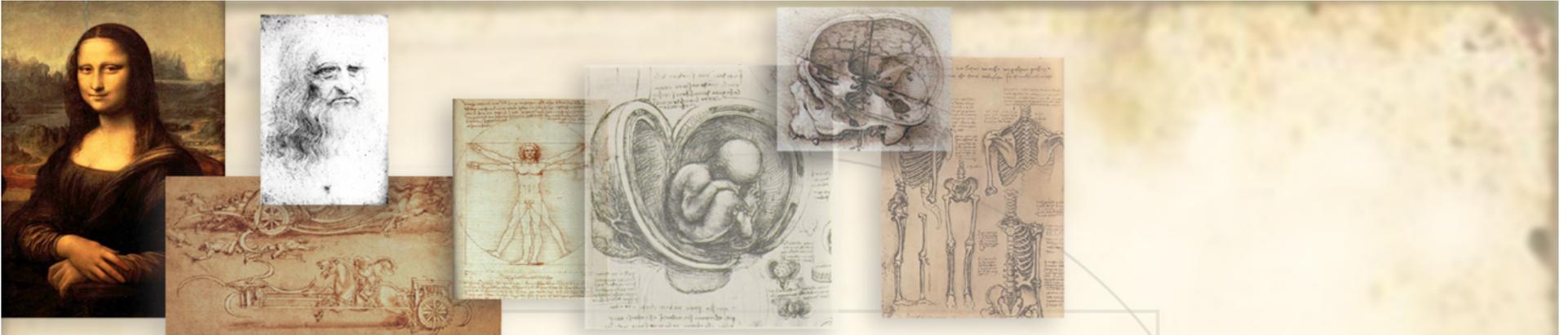
## Next Steps...

- Your org. likely already exists...
- Where to start?
  - What do you have?
  - Leverage your strengths!
  - Gap analysis?



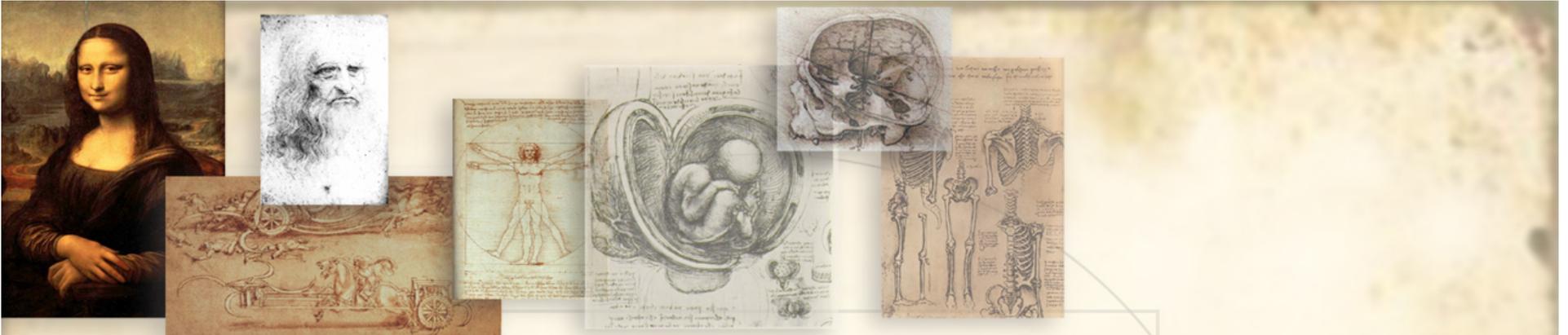
## Next Steps...

- De-conflict silos
- Clarify roles/responsibilities
- Seek good data
- Collaboration, *not* competition



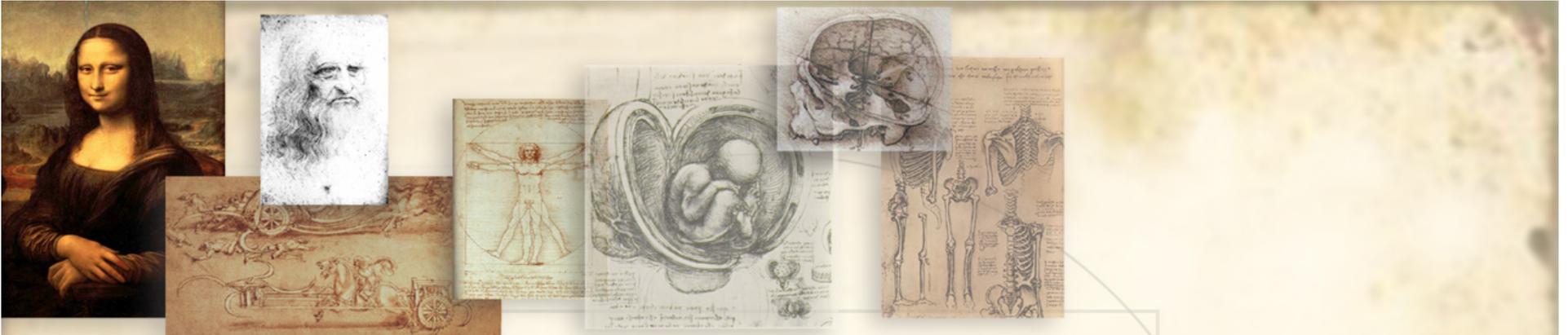
## Next Steps...

- Make an overall plan
- Prioritize efforts
- Evaluate cost-effectiveness
- One size does not fit all in solutions
  - TEAM Model fits most!



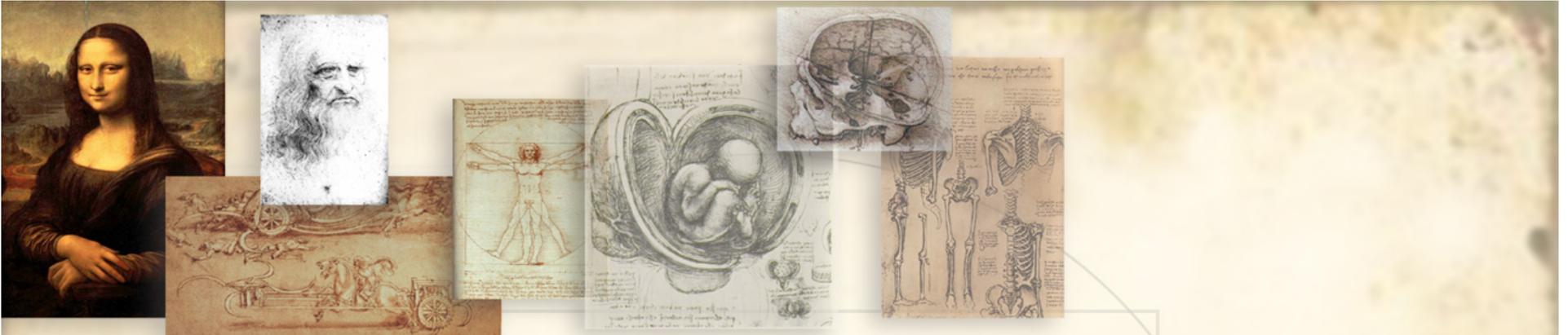
## Advanced Topics

- Planning & Design
- Needed Tech?
- Standards
- Cloud Computing & Virtualization
- Encryption
- Key Management
- Security of Psychology



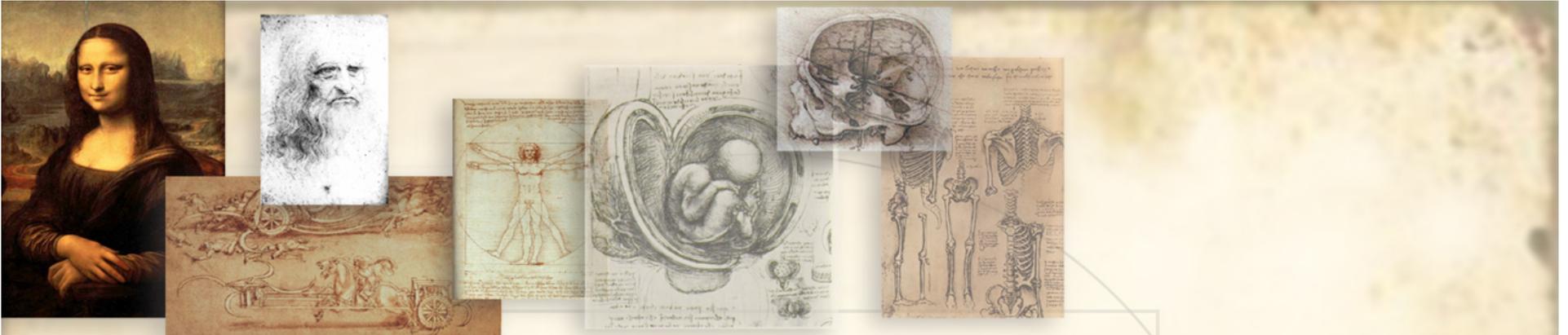
# Planning and Design

- Very important!
- Measure twice, cut once!
- **Huge** cost savings potential
- Helps achieve survivability



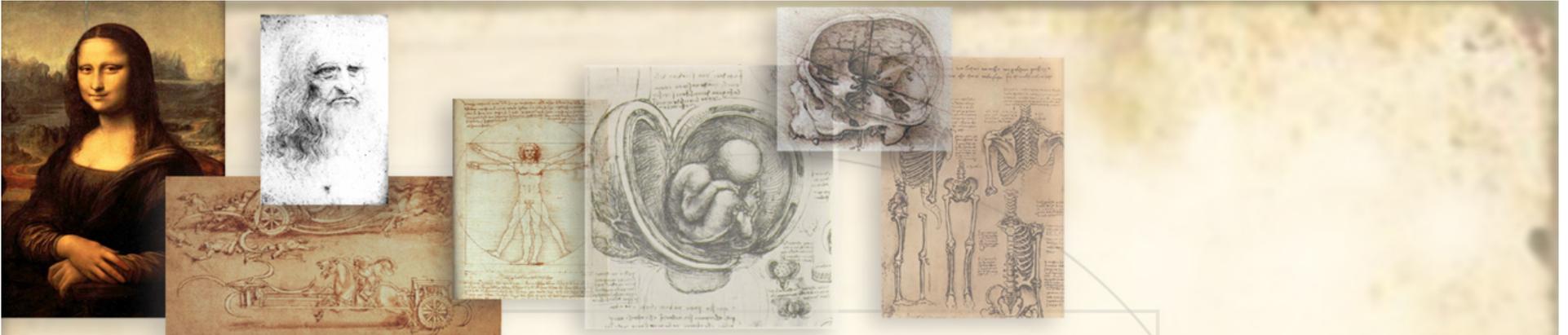
## Needed Technology?

- What Technology is Really Needed?
  - Beware the hype cycle
  - Shiny Object Syndrome
  - What's the business case?



# Standards

- Role and Importance
- IEEE, IETF, OASIS, ANSI, ISO, ISECOM, TCG, NIST, PCI, CIS, etc.



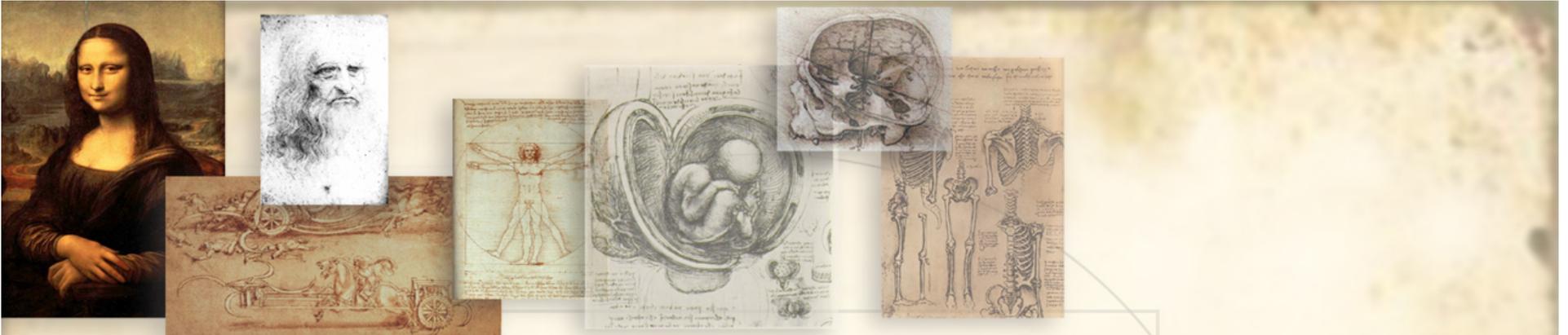
# Cloud Computing & Virtualization

- Cloud Security Alliance  
<http://www.cloudsecurityalliance.org/>
- Cloud Computing @ Wikipedia  
[http://en.wikipedia.org/wiki/Cloud\\_computing](http://en.wikipedia.org/wiki/Cloud_computing)



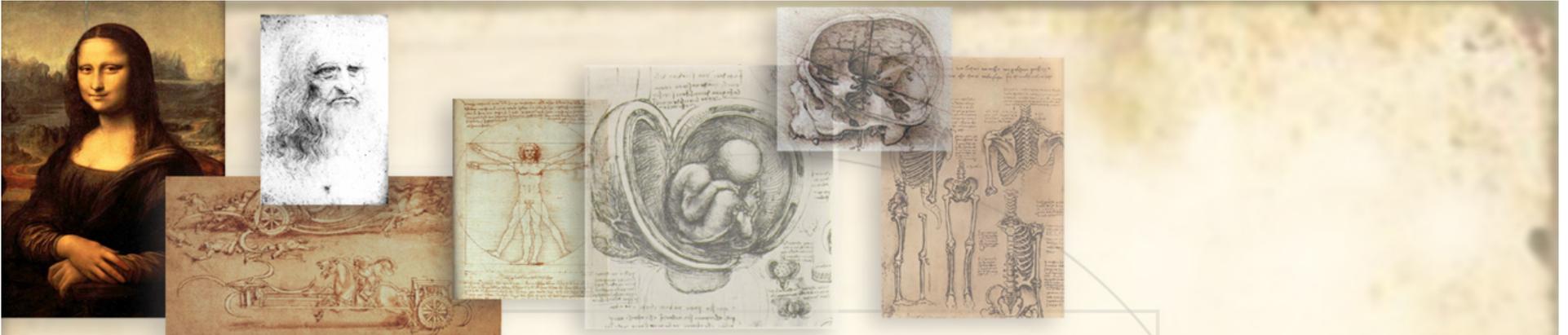
# Cloud Computing & Virtualization

- IaaS, PaaS, SaaS...
- Public vs. Private
- Jurisdictional issues
- Control issues
- SLAs, responsibilities



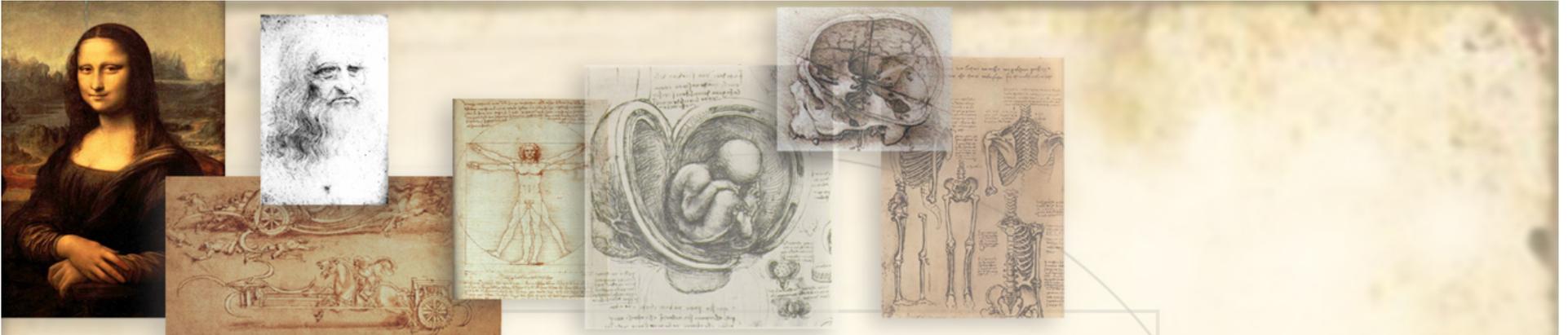
# Encryption & Key Mgmt

- Encryption: Easy
- Key management: Very Hard
- Costs to doing it wrong: Very High
- Don't roll your own code, please!



# The Psychology of Security

- Cognitive Dissonance
- Enablement
- Social Engineering



# OPEN Q&A / DISCUSSION



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<http://twitter.com/falconsview>



**THANK YOU!**